## Edgar Filing: Advaxis, Inc. - Form 4

Advaxis, Inc Form 4 July 18, 201									
FORM								OMB AF	PROVAL
-	UNITED STA		shington, D			NGE C	OMMISSION	OMB Number:	3235-0287
Check this box if no longer								Expires:	January 31, 2005
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated average		
Section 1 Form 4 c		SECURITIES						burden hours per	
Form 5		t to Section 1	6(a) of the S	Securitie	•s Fx	chang	e Act of 1934,	response	0.5
obligatio	ns Section 17(a) o						1935 or Section	1	
may con See Instr	unue.	0(h) of the In	•	<b>-</b>					
1(b).									
(Print or Type ]	Responses)								
1. Name and A O'Connor D	n <u>*</u> 2. Issuer Symbol	2. Issuer Name <b>and</b> Ticker or Trading mbol			5. Relationship of Reporting Person(s) to Issuer				
	Advaxis	dvaxis, Inc. [ADXS]				(Check all applicable)			
(Last)	(First) (Midd	e) 3. Date of	Earliest Trans	saction			(Chech		)
			Month/Day/Year)			_X_ Director		Owner	
305 COLLH	07/17/20	7/17/2014				XOfficer (give below)	below)	r (specify	
							Chief E	Executive Office	er
			If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
	ed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
PRINCETC	ON, NJ 08540						Form filed by M Person		
(City)	(State) (Zip)	Table	e I - Non-Deri	ivative Se	ecurit	ies Acq	uired, Disposed of	, or Beneficiall	y Owned
1.Title of	2. Transaction Date 24	. Deemed	3. 4.	. Securitie	es Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year) Ex		Transaction(A		•		Securities	Form: Direct	
(Instr. 3)	an (N	onth/Day/Year)	Code (Instr. 3, 4 and 5) /Day/Year) (Instr. 8)			)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership
	× ×	, and the second s					Following	(Instr. 4)	(Instr. 4)
					(A)		Reported Transaction(s)		
			Code V	Amount	or (D)	Drigo	(Instr. 3 and 4)		
Common			1	.6,468		Price \$			
Stock	07/17/2014		$F \frac{1}{(1)}$		D	ф 4.03	301,837	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
I O	Director	10% Owner	Officer	Other			
O'Connor Daniel 305 COLLEGE ROAD EAST PRINCETON, NJ 08540	Х		Chief Executive Officer				
Signatures							
/s/ Daniel O' 07 Connor 07	/18/2014						

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares forfeited by reporting person in order to pay withholding taxes associated with the vested portion of a previous stock grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.