Edgar Filing: Sullivan James - Form 4

Sullivan Jam Form 4 October 13, 2 FORN Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	2017 1 4 UNITED S is box ger 5 6. r Filed pur inue. uction	IENT OF suant to Se a) of the P	Was CHAN ection 10 ublic Ut	Shington, GES IN SECUR 6(a) of the	D.C. 205 BENEFI ITIES e Securiti ling Com	549 CIAI ies Ex ipany	L OW chang Act of	COMMISSION NERSHIP OF e Act of 1934, E 1935 or Sectio 40	OMB Number: Expires: Estimated a burden hou response		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Sullivan James			2. Issuer Name and Ticker or Trading Symbol Groupon, Inc. [GRPN]				g	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N		•	Earliest Tr	_			(Chec	k all applicable	e)	
C/O GROUPON, INC., 600 W. CHICAGO AVE., SUITE 400			(Month/Day/Year) 10/12/2017					Director 10% Owner X Officer (give title Other (specify below) below) Chief Product Officer			
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CHICAGO,	IL 60654								Nore than One Re		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Code (Instr. 8)	4. Securit on(A) or Dia (Instr. 3, 4	sposed 4 and 5 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common	10/12/2017			Code V M	Amount 46,833	(D) A	Price \$ 0	400,357	D		
Stock	10/12/2017			1 V1	+0,033	A	φU	+00,337	D		
Common Stock	10/12/2017			F <u>(1)</u>	24,438	D	\$ 4.67	375,919	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>	10/12/2017		М		46,833	01/12/2016(3)	(3)	Common Stock	46,833

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Sullivan James C/O GROUPON, INC. 600 W. CHICAGO AVE., SUITE 400 CHICAGO, IL 60654			Chief Product Officer				
Signatures							
/s/ Erin G. Stone, by Power of Attorney	10/13/20)17					

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by the issuer to satisfy the mandatory tax withholding requirement upon vesting of restricted stock units. This is not an open market sale of securities.
- (2) Each restricted stock unit represents a contingent right to receive one share of Common Stock.

187,333 of the restricted stock units reported on this line vested on January 12, 2016, and the remainder of the restricted stock units will(3) vest quarterly in equal increments through January 12, 2018, in each case subject to Mr. Sullivan's continued employment with the Company through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.