| Van den Bol Emile<br>Form 4/A                                  |   |   |                            |  |  |  |   |  |  |
|--|---|---|----------------------------|--|--|--|---|--|--|
| October 10, 2018   |   |   |                            |  |  |  | PPROVAL   |  |  |
| - 0  | NITED STATES  |   | ITIES AND hington, D.C.    | OMB<br>Number:   | 3235-0287  |  |   |  |  |
| Section 16.<br>Form 4 or<br>Form 5                             | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |                            |  |  |  | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |  |  |
| (Print or Type Responses)                                      | )   |   |                            |  |  |  |   |  |  |
| 1. Name and Address of<br>Van den Bol Emile                    | Symbol  | Name <b>and</b> Ticke<br>T NATIONA<br>BK] | -                          | Issuer<br>P  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                      |  |   |  |  |
| (Last) (First<br>C/O PATRIOT NAT<br>BANCORP, INC., 9<br>STREET | ΓIONAL  | 3. Date of 1<br>(Month/Da<br>04/03/20     | •                          | tion   | X Director<br>Officer (give<br>below)  |  | 6 Owner<br>er (specify  |  |  |
|  | (Street) 4. If Amendment, Date Original<br>Filed(Month/Day/Year)<br>05/29/2018<br>AMFORD, CT 06901  |   |                            | Applicable Line)<br>_X_ Form filed by 0<br>Form filed by M | X Form filed by One Reporting Person<br>Form filed by More than One Reporting                      |  |   |  |  |
| (City) (State  |   | Table                                     | I - Non-Deriva             | tive Securities  | Person Acquired, Disposed of   | f, or Beneficial   | lly Owned   |  |  |
| Security (Month<br>(Instr. 3)                                  | action Date 2A. Dee<br>/Day/Year) Executio<br>any<br>(Month/  |   | TransactionAcq<br>Code Dis | posed of (D)<br>tr. 3, 4 and 5)<br>(A)<br>or               | Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |   |  |  |
| Common<br>Stock  |   |   |                            |  | 22,713 <u>(1)</u>  | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | 5.<br>tionNumber<br>of<br>) Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 5                   | Date               | Amou<br>Unde<br>Secur | le and<br>unt of<br>rlying<br>ities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|------------------------------------|--|---------------------|--------------------|-----------------------|---|---|--|
|   |   |   |   | Code V                             | V (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares            |   |  |

## Edgar Filing: Van den Bol Emile - Form 4/A

## **Reporting Owners**

 

 Reporting Owner Name / Address
 Relationships

 Director
 10% Owner
 Officer
 Other

 Van den Bol Emile C/O PATRIOT NATIONAL BANCORP, INC. 900 BEDFORD STREET STAMFORD, CT 06901
 X
 X
 Van Version
 Version

 Signatures
 /s/ Emile Van den Bol
 10/10/2018
 Version
 Version
 Version

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Form 4 filed on May 29, 2018 contained a typographical error regarding the total number of shares of common stock (i.e. 22,756 (1) shares) owned by the Reporting Person. As of the date of this report, the correct number of shares of common stock of the Issuer owned
- by the Reporting Person is 22,713 of which 3,203 are unvested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.