

ETHAN ALLEN INTERIORS INC
Form 8-K
November 16, 2017

United states

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

FORM 8-K

Current Report
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 15, 2017

ETHAN ALLEN INTERIORS INC.

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of
incorporation)

1-11692

(Commission File Number) (I.R.S. Employer Identification No.)

06-1275288

Ethan Allen Drive

Danbury, CT

(Address of principal executive offices) (Zip Code)

06811

Registrant's telephone number, including area code: **(203) 743-8000**

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

INFORMATION TO BE INCLUDED IN Report**Item 5.07 Submission of Matters to a Vote of Security Holders**

The Company held its Annual Meeting on November 15, 2017. Set forth below are the final voting results for each of the proposals submitted to stockholders.

| 1. Election of Directors | Votes For | Votes Against | Abstentions | Broker Non-Votes |
|---------------------------------|------------------|----------------------|--------------------|-------------------------|
| M. Farooq Kathwari | 23,644,054 | 1,014,428 | 4,715 | 656,222 |
| James B. Carlson | 24,433,391 | 211,738 | 18,068 | 656,222 |
| John J. Dooner, Jr. | 24,124,619 | 520,510 | 18,068 | 656,222 |
| Domenick J. Esposito | 24,439,335 | 205,906 | 17,956 | 656,222 |
| Mary Garrett | 24,435,298 | 209,945 | 17,954 | 656,222 |
| James W. Schmotter | 24,123,978 | 521,150 | 18,069 | 656,222 |
| Tara I. Stacom | 24,124,139 | 521,067 | 17,991 | 656,222 |

| Proposal | Votes For | Votes Against | Abstentions | Broker Non-Votes |
|----------------------|------------------|----------------------|--------------------|-------------------------|
| 2. Say on Pay | 22,051,463 | 2,606,917 | 4,817 | 656,222 |

| Proposal | Votes 1 Year | Votes 2 Years | Votes 3 Years | Abstentions | Broker Non-Votes |
|---|---------------------|----------------------|----------------------|--------------------|-------------------------|
| 3. Frequency of Future Advisory Votes for Named Executive Officer Compensation | 20,623,785 | 43,243 | 3,994,226 | 1,943 | 656,222 |

| Proposal | Votes For | Votes Against | Abstentions | Broker Non-Votes |
|---------------------------|------------------|----------------------|--------------------|-------------------------|
| 4. Ratify Auditors | 25,200,381 | 114,630 | 4,408 | -- |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ETHAN ALLEN
INTERIORS INC.

Date: November 16, 2017 By: /s/ Eric D. Koster
Eric D. Koster
*Vice President,
General Counsel
& Secretary*