

NATURAL ALTERNATIVES INTERNATIONAL INC
 Form 144
 November 08, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: *Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.*

OMB APPROVAL

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 Number:3235-0101

Expires: May 13, 2017

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SEC USE ONLY
DOCUMENT
SEQUENCE NO.

CUSIP NUMBER

| | | | |
|--|--|--|--|
| <p>1 (a) NAME OF ISSUER <i>(Please type or print)</i></p> <p>Natural Alternatives International, Inc.</p> <p>1 (d) ADDRESS OF STREETCITY ISSUER</p> <p style="padding-left: 40px;">c/o 1535 Faraday Carlsbad Avenue</p> | <p>(b) IRS IDENT.NO.</p> <p>84-1007839</p> <p>STATE</p> <p>California</p> | <p>(c) S.E.C. FILE NO.</p> <p>000-15701</p> <p>ZIP CODE</p> <p>92008</p> | <p>WORK LOCATION</p> <p>(e) TELEPHONE NO.</p> <p>AREA CODE NUMBER</p> <p>(760) 744-7340</p> |
| <p>2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD</p> | <p>(b) RELATIONSHIP TO ISSUER</p> <p>Mark LeDoux is an officer, director and 10% stockholder.</p> | <p>(c) ADDRESS STREET</p> <p>c/o 1535 Faraday Avenue</p> | <p>CITY</p> <p>Carlsbad</p> <p>STATE</p> <p>California</p> <p>ZIP CODE</p> <p>92008</p> |

Rule 144 to be aggregated as follows:

- Mark
 - (i) LeDoux individually;
Mark LeDoux &
 - (ii) Julie LeDoux as JTWROS;
LeDoux Family Limited Partnership,
(iii) of which Julie LeDoux is a member of the immediate family of Mark LeDoux.
Mark LeDoux is General Partner; Descendants Trust Created under the LeDoux Family Trust, of which Mark LeDoux is Trustee; and Marie LeDoux Foundation,
(iv) of which Mark LeDoux is President.
 - (v) of which Mark LeDoux is President.
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INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

| 3 (a) | (b) | SEC USE ONLY | (c) | (d) | (e) | (f) | (g) |
|---|--|--------------|--|---------------------------------------|---|--------------------------|----------------------------------|
| Title of the Class of Securities To Be Sold | Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker | SEC USE ONLY | Number of Shares Or Other Units To Be Sold | Aggregate Market Value | Number of Shares Or Other Units Outstanding | Approximate Date of Sale | Name of Each Securities Exchange |
| | | | | | | | |
| | Broker-Dealer File Number | | (See instr. 3(c)) | (See instr. 3(d)) | (See instr. 3(e)) | (See instr. 3(f)) | (See instr. 3(g)) |
| Common Stock | 12531 High Bluff Drive, # 400 | | 11,389 | \$138,945.80 (as of November 7, 2016) | 6,872,591 | November 9, 2016 | NASDAQ |
| | San Diego, CA 92130 Roth Capital Partners | | | \$669,877.60 | | | |
| Common Stock | 888 San Clemente Drive | | 54,908 | \$669,877.60 (as of November 7, 2016) | 6,872,591 | November 9, 2016 | NASDAQ |
| | Newport Beach, CA 92660 | | | | | | |

INSTRUCTIONS:

1. (a) Name of issuer
- (b) Issuer's I.R.S. Identification Number
- (c) Issuer's S.E.C. file number, if any
- (d) Issuer's address, including zip code
- (e) Issuer's telephone number, including area code
2. (a) Name of person for whose account the securities are to be sold
Such person's relationship to the issuer (e.g.,
(b) officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
(c) Such person's address, including zip code
3. (a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
Aggregate market value of the securities to be sold as of
(d) a specified date within 10 days prior to the filing of this notice
Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding,
(e) as shown by the most recent report or statement published by the issuer
- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

SEC 1147
(08-07)

TABLE I – SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

| Title of the Class | Date you Acquired | Nature of Acquisition Transaction | Name of Person from Whom Acquired <i>(If gift, also give date donor acquired)</i> | Amount of Securities Acquired | Date of Payment | Nature of Payment |
|--------------------|-------------------|-----------------------------------|--|-------------------------------|-----------------|-------------------|
| Common Stock | 2/1/1995 | Market Purchase | Market Purchase | 11,389 | 2/1/1995 | Cash |
| Common Stock | 12/10/2002 | Contribution Unknown | Marie LeDoux Unknown ¹ | 54,908 | Unknown | Gift |

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II – SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

| Name and Address of Seller | Title of Securities Sold | Date of Sale | Amount of Securities Sold | Gross Proceeds |
|----------------------------|--------------------------|--------------|---------------------------|----------------|
|----------------------------|--------------------------|--------------|---------------------------|----------------|

REMARKS: (1) Payment for shares received by donation on 12/10/2002 was made at an unknown time prior to that date.

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

_____ 11/7/2016 _____

DATE OF NOTICE

/s/ Mark LeDoux, authorized signatory for each of the persons listed in box 2(a) above
/s/ Julie LeDoux, solely with respect to the person listed in box 2(a)(ii) above
(SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)