GIGA TRONICS INC

Form 3

August 25, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0104

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Number: Expires:

response...

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Oduozor Temi

(Last) (First)

(Middle)

Statement

(Month/Day/Year)

08/15/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

GIGA TRONICS INC [GIGA]

4. Relationship of Reporting

Director

_X__ Officer

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

4650 NORRIS CANYON ROAD

(Street)

(Check all applicable)

10% Owner Other

(give title below) (specify below) Principal Acc. & Fin. Officer 6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN RAMON, CAÂ 94583

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

(Instr. 5)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02) Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date**

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of

4.

5. Form of

Ownership Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date

Exercisable Date

Amount or Title Number of Shares

Derivative Security

Direct (D) or Indirect (I) (Instr. 5)

Security:

1

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Stock Option $\hat{A} = \frac{(1)}{12/17/2024} = \frac{Common}{stock} = 35,000 + 1.48$ D \hat{A}

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Oduozor Temi

4650 NORRIS CANYON ROAD Principal Acc. & Fin. Officer SAN RAMON, CAÂ 94583

Signatures

Reporting Person

/s/ Temi
Oduozor

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option vests annually over five years beginning December 17, 2014 ("the Vesting Start Date"). The Stock Option is completely vested on the fifth anniversary of the vesting start date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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