ServisFirst Bancshares, Inc.

Form 4

October 09, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

(Instr. 4)

D

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

**COMMON** 

**STOCK** 

10/01/2015

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person ** Kattos Andrew N			Issuer Name <b>and</b>	Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			rvisFirst Banc	shares, Inc. [SFBS]				
(Last)	(First) (N	Middle) 3. D	Date of Earliest Ti	ransaction				
		(Mo	onth/Day/Year)		Director	10%	Owner	
P. O. BOX 18127			/01/2015		_X_ Officer (give title Other (specify below)			
					· · · · · · · · · · · · · · · · · · ·	Regional CEO		
(Street)			f Amendment, Da	ate Original	6. Individual or Joint/Group Filing(Check			
		File	ed(Month/Day/Year	•)	Applicable Line) _X_ Form filed by One Reporting Person			
HUNTSVILI	LE, AL 35804				Form filed by M Person			
(City)	(State)	(Zip)	Table I - Non-I	Derivative Securities Acq	quired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Date, if Transact Code	4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Code V Amount

S

3,600

(1)

(D)

D

Price \$

40.367

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s) (Instr. 3 and 4)

 $167,400 \frac{(3)}{}$ 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title a	nd	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyi	ng	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	S	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 a	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Δ1	mount		
								or			
						Date	Expiration		ımber		
						Exercisable	Date	of			
				Code V	(A) (D)				ares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Kattos Andrew N P.O. BOX 18127

EVP, Regional CEO

HUNTSVILLE, AL 35804

## **Signatures**

/s/Davis S. Mange, 10/09/2015 attorney-in-fact

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a Rule 10b5-1 plan.
- The price reported in column 4 is a weighted average price. The shares sold in multiple transactions ranging in price from \$40.00 to (2) \$40.95, inclusive. The reporting person undertakes to provide ServisFirst Bancshares, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price set forth in this footnote (2) to this Form 4.
- On June 16, 2014 ServisFirst Bancshares, Inc. declared a 3-for-1 stock split in the form of a stock dividend. On July 16, 2014, all holders of record of common stock as of the close of business on July 9, 2014 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 120,000 shares of ServisFirst Bancshares,
- Inc. common stock as of July 16, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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