

Dubow Adam
Form 4
March 13, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Dubow Adam

2. Issuer Name and Ticker or Trading Symbol
BRISTOL MYERS SQUIBB CO
[BMY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

BRISTOL-MYERS SQUIBB
COMPANY, 430 E. 29TH STREET,
14 FLOOR

3. Date of Earliest Transaction
(Month/Day/Year)
03/10/2019

____ Director
 Officer (give title below) _____ Other (specify below)
Chief Compliance & Ethics Offi

(Street)

NEW YORK, NY 10016

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$0.10 par value	03/10/2019		M		304 ⁽¹⁾	A	\$ 0
					15,526.152	D	
Common Stock, \$0.10 par value	03/10/2019		J		61 ⁽²⁾	D	\$ 0
					15,465.152	D	
Common Stock,	03/10/2019		F		84 ⁽³⁾	D	\$ 51.39
					15,381.152	D	

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\$0.10 par value							
Common Stock, \$0.10 par value	03/10/2019	M	369 ⁽⁴⁾	A	\$ 0	15,750.152	D
Common Stock, \$0.10 par value	03/10/2019	J	78 ⁽²⁾	D	\$ 0	15,672.152	D
Common Stock, \$0.10 par value	03/10/2019	F	100 ⁽³⁾	D	\$ 51.39	15,572.152	D
Common Stock, \$0.10 par value	03/10/2019	M	451 ⁽⁵⁾	A	\$ 0	16,023.152	D
Common Stock, \$0.10 par value	03/10/2019	J	49 ⁽²⁾	D	\$ 0	15,974.152	D
Common Stock, \$0.10 par value	03/10/2019	F	138 ⁽³⁾	D	\$ 51.39	15,836.152	D
Common Stock, \$0.10 par value	03/10/2019	M	415 ⁽⁶⁾	A	\$ 0	16,251.152	D
Common Stock, \$0.10 par value	03/10/2019	J	98 ⁽²⁾	D	\$ 0	16,153.152	D
Common Stock, \$0.10 par value	03/10/2019	F	109 ⁽³⁾	D	\$ 51.39	16,044.152	D
Common Stock, \$0.10 par value	03/10/2019	M	2,196 ⁽⁷⁾	A	\$ 0	18,240.152	D
Common Stock, \$0.10 par value	03/10/2019	F	751 ⁽³⁾	D	\$ 51.39	17,489.152	D

value

Common
Stock,
\$0.10 par
value

900.61 ⁽⁸⁾ I

By BMY
Savings and
Investment
Program

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Market Share Units	<u>(9)</u>	03/10/2019		M	304	<u>(1)</u> 03/10/2019 <u>(1)</u>	Common Stock, \$0.10 par value
Market Share Units	<u>(9)</u>	03/10/2019		M	369	<u>(4)</u> 03/10/2020 <u>(4)</u>	Common Stock, \$0.10 par value
Market Share Units	<u>(9)</u>	03/10/2019		M	451	<u>(5)</u> 03/10/2021 <u>(5)</u>	Common Stock, \$0.10 par value
Market Share Units	<u>(9)</u>	03/10/2019		M	415	<u>(6)</u> 03/10/2022 <u>(6)</u>	Common Stock, \$0.10 par value
Market Share Units	<u>(9)</u>	03/10/2019		A	4,248	<u>(10)</u> 03/10/2023 <u>(10)</u>	Common Stock, \$0.10 par value
	<u>(11)</u>	03/10/2019		M		<u>(11)</u> 03/10/2019 <u>(11)</u>	

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