

Elicker John E  
Form 4  
March 13, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Elicker John E

2. Issuer Name and Ticker or Trading Symbol  
BRISTOL MYERS SQUIBB CO  
[BMY]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
  
BRISTOL-MYERS SQUIBB  
COMPANY, 345 PARK AVENUE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/10/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
SVP, Public Affairs & IR

NEW YORK, NY 10154

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock, \$0.10 par value  | 03/10/2018                           |  | M                              |   | 1,421 <sup>(1)</sup>  | A  | \$ 0                              |
| Common Stock, \$0.10 par value  | 03/10/2018                           |  | J                              |   | 323 <sup>(2)</sup>  | A  | \$ 0                              |
| Common Stock, \$0.10 par value  | 03/10/2018                           |  | F                              |   | 479 <sup>(3)</sup>  | D  | \$ 69,207.583<br>67.92            |

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|                                |            |   |                      |   |          |            |   |
|--------------------------------|------------|---|----------------------|---|----------|------------|---|
| value                          |            |   |                      |   |          |            |   |
| Common Stock, \$0.10 par value | 03/10/2018 | M | <u>1,276</u><br>(4)  | A | \$ 0     | 70,483.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | J | 74 <u>(2)</u>        | A | \$ 0     | 70,557.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | F | 371 <u>(3)</u>       | D | \$ 67.92 | 70,186.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | M | <u>1,346</u><br>(5)  | A | \$ 0     | 71,532.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | J | 57 <u>(2)</u>        | A | \$ 0     | 71,589.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | F | 385 <u>(3)</u>       | D | \$ 67.92 | 71,204.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | M | <u>1,890</u><br>(6)  | A | \$ 0     | 73,094.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | J | 339 <u>(2)</u>       | A | \$ 0     | 73,433.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | F | 612 <u>(3)</u>       | D | \$ 67.92 | 72,821.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | M | <u>14,110</u><br>(7) | A | \$ 0     | 86,931.583 | D |
| Common Stock, \$0.10 par value | 03/10/2018 | F | <u>5,964</u><br>(3)  | D | \$ 67.92 | 80,967.583 | D |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   |
| Market Share Units                         | (8)  | 03/10/2018                           |  | M                              | 1,421   | (1) 03/10/2018(1)  | Common Stock, \$0.10 par value                    |
| Market Share Units                         | (8)  | 03/10/2018                           |  | M                              | 1,276   | (4) 03/10/2019(4)  | Common Stock, \$0.10 par value                    |
| Market Share Units                         | (8)  | 03/10/2018                           |  | M                              | 1,346   | (5) 03/10/2020(5)  | Common Stock, \$0.10 par value                    |
| Market Share Units                         | (8)  | 03/10/2018                           |  | M                              | 1,890   | (6) 03/10/2021(6)  | Common Stock, \$0.10 par value                    |
| Market Share Units                         | (8)  | 03/10/2018                           |  | A                              | 6,664   | (9) 03/10/2022(9)  | Common Stock, \$0.10 par value                    |
| Performance Shares                         | (10)   | 03/10/2018                           |  | M                              | 14,110<br>(7)   | (10) 03/10/2018(10)                                      | Common Stock, \$0.10 par value                    |
| Performance Shares                         | (11)   | 03/10/2018                           |  | A                              | 9,995   | (11) 03/10/2021(11)                                      | Common Stock, \$0.10 par value                    |

