

Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 8-K

Firsthand Technology Value Fund, Inc.
Form 8-K
November 10, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report: November 10, 2016
(Date of earliest event reported)

Firsthand Technology Value Fund, Inc.
(Exact name of registrant as specified in its charter)

Maryland	814-00830	27-3008946
(State or other jurisdiction	(Commission File Number)	(IRS Employer
of incorporation)		Identification Number)

150 Almaden Blvd., Suite 1250	95113
San Jose, CA	(Zip Code)
(Address of principal executive offices)	

(800) 976-8776
(Registrant's telephone number, including area code)

Not Applicable
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 8.01. Other Events

On November 10, 2016, Firsthand Technology Value Fund, Inc. issued a press release announcing its top portfolio holdings at the end of October 2016. The top holdings include IntraOp Medical, Pivotal Systems, Wrightspeed, Nutanix and Turn. The press release also announced that, as of October 31, 2016, the Fund's top holdings constituted 54.9% of the Fund's estimated net assets.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated November 10, 2016.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: November 10, 2016

FIRSTHAND
TECHNOLOGY
VALUE FUND,
INC.

By: /s/ Kevin Landis
Kevin Landis
President

Exhibit Index

Exhibit No. Description

99.1	Press release dated November 10, 2016
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