

CORNERSTONE STRATEGIC VALUE FUND INC
Form N-PX
August 10, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-05150

Cornerstone Strategic Value Fund, Inc.

(Exact name of registrant as specified in charter)

48 Wall Street New York, NY 10005
(Address of principal executive offices) (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22nd Floor, New York, NY 10005

(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2014 - June 30, 2015

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponent | Mgmt Recomme |
|-------------------------------------|--------|-----------|--------------|-----------------|---|-----------|--------------|
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.1 | Elect Robert J. Alpern | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.2 | Elect Roxanne S. Austin | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.3 | Elect Sally E. Blount | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.4 | Elect W. James Farrell | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.5 | Elect Edward M. Liddy | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.6 | Elect Nancy McKinstry | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.7 | Elect Phebe N. Novakovic | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.8 | Elect William A. Osborn | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.9 | Elect Samuel C. Scott III | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.10 | Elect Glenn F. Tilton | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 1.11 | Elect Miles D. White | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 4 | Shareholder Proposal Regarding Genetically Modified Ingredients | ShrHoldr | Against |
| Abbott Laboratories | ABT | 002824100 | 4/24/2015 | 5 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| AbbVie Inc. | ABBV | 00287Y109 | 5/8/2015 | 1.1 | Elect Roxanne S. Austin | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/8/2015 | 1.2 | Elect Richard A. Gonzalez | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/8/2015 | 1.3 | Elect Glenn F. Tilton | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/8/2015 | 2 | Ratification of Auditor | Mgmt | For |
| AbbVie Inc. | ABBV | 00287Y109 | 5/8/2015 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aberdeen Australia Equity Fund Inc. | IAF | 003011103 | 3/26/2015 | 1.1 | Elect William J. Potter | Mgmt | For |
| | IAF | 003011103 | 3/26/2015 | 1.2 | Elect John T. Sheehy | Mgmt | For |

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Aberdeen Australia
Equity Fund Inc.

| | | | | | | | |
|--------------------------------|-----|-----------|-----------|-----|----------------------------------|------|-----|
| Aberdeen Israel Fund Inc | ISL | 00301L109 | 3/26/2015 | 1.1 | Elect Enrique R. Arzac | Mgmt | For |
| Aberdeen Japan Equity Fund Inc | JEQ | 00306J109 | 6/18/2015 | 1.1 | Elect Radhika Ajmera | Mgmt | For |
| Aberdeen Japan Equity Fund Inc | JEQ | 00306J109 | 6/18/2015 | 1.2 | Elect Martin J. Gruber | Mgmt | For |
| ABERDEEN SINGAPORE FUND, INC. | SGF | 003244100 | 6/18/2015 | 1.1 | Elect Moritz Sell | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 1 | Accounts and Reports | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 2 | Allocation of Profits | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 3 | Dividends from Reserves | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 4 | Ratification of Board Acts | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 5 | Appointment of Statutory Auditor | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 6 | Appointment of Auditor | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 7 | Appointment of Special Auditor | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 8 | Elect Evan G. Greenberg | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 9 | Elect Robert M. Hernandez | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 10 | Elect Michael G. Atieh | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 11 | Elect Mary A. Cirillo | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 12 | Elect Michael P. Connors | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 13 | Elect John A. Edwardson | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 14 | Elect Peter Menikoff | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 15 | Elect Leo F. Mullin | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 16 | Elect Kimberly Ross | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 17 | Elect Robert W. Scully | Mgmt | For |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 18 | | Mgmt | For |

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|-------------|-----|-----------|-----------|----|---|--------------------------------|-----|--|
| | | | | | | Elect Eugene B. Shanks, Jr. | | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 19 | Elect Theodore Shasta | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 20 | Elect David H. Sidwell | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 21 | Elect Olivier Steimer | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 22 | Elect Evan G. Greenberg as chairman of the board | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 23 | Elect Michael P. Connors | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 24 | Elect Mary A. Cirillo | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 25 | Elect John A. Edwardson | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 26 | Elect Robert M. Hernandez | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 27 | ELECTION OF HOMBURGER AG AS INDEPENDENT PROXY | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 28 | Amendments to Articles Relating to VeguV (General Amendments) | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 29 | Amendments to Articles Relating to VeguV (Compensation Provisions) | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 30 | Compensation of the Board until the Next AGM | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 31 | Executive Compensation | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 32 | Advisory Vote on Executive Compensation | Mgmt | For | |
| ACE Limited | ACE | H0023R105 | 5/21/2015 | 33 | Additional or Miscellaneous Proposals | ShrHoldr | For | |
| Actavis plc | ACT | G0083B108 | 3/10/2015 | 1 | Merger/Acquisition | Mgmt | For | |
| Actavis plc | ACT | G0083B108 | 3/10/2015 | 2 | Right to Adjourn Meeting | Mgmt | For | |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 1 | Elect Paul M. Bisaro | Mgmt | For | |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 2 | Elect Nesli Basgoz | Mgmt | For | |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 3 | Elect James H. Bloem | Mgmt | For | |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 4 | Elect Christopher W. Bodine | Mgmt | For | |

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|-------------------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 5 | Elect Christopher J. Coughlin | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 6 | Elect Michael R. Gallagher | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 7 | Elect Catherine M. Klema | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 8 | Elect Peter J. McDonnell | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 9 | Elect Patrick J. O'Sullivan | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 10 | Elect Brenton L. Saunders | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 11 | Elect Ronald R. Taylor | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 12 | Elect Fred G. Weiss | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 15 | Name Change to Allergan plc | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 16 | Approval of the Amended 2013 Incentive Award Plan | Mgmt | For |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 17 | Shareholder Proposal Regarding Sustainability Report | ShrHoldr | Against |
| Actavis plc | ACT | G0083B108 | 6/5/2015 | 18 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.1 | Elect Enrique R. Arzac | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.2 | Elect Phyllis O. Bonanno | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.3 | Elect Kenneth J. Dale | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.4 | Elect Frederic A. Escherich | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.5 | Elect Roger W. Gale | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|----------|---------|
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.6 | Elect Kathleen T. McGahran | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.7 | Elect Craig R. Smith | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 1.8 | Elect Mark E. Stoeckle | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 3 | Amend Fundamental Investment Policy to Increase Limit on Commodities Investments | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 4 | Rendering of Investment Advisory Services to Others | Mgmt | For |
| Adams Diversified Equity Fund, Inc. | ADX | 006212104 | 4/30/2015 | 5 | Shareholder Proposal to Authorize a Self-Tender Offer for All Outstanding Shares | ShrHoldr | Against |
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 9/30/2014 | 1 | Elect Michael A. Smart | Mgmt | For |
| Advent-Claymore Enhanced Growth & Income Fund | LCM | 00765E104 | 9/30/2014 | 2 | Elect Daniel L. Black | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 1 | Elect Andres R. Gluski | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 2 | | Mgmt | For |

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|-----------------|-----|-----------|-----------|----|--|---|----------|---------|
| | | | | | | Elect Charles L. Harrington | | |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 3 | | Elect Kristina M. Johnson | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 4 | | Elect Tarun Khanna | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 5 | | Elect Holly K. Koepfel | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 6 | | Elect Philip Lader | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 7 | | Elect James H. Miller | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 8 | | Elect John B. Morse, Jr. | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 9 | | Elect Moises Naim | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 10 | | Elect Charles O. Rossotti | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 11 | | Amendment to the 2003 Long-Term Compensation Plan | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 12 | | Amendment to the Performance Incentive Plan | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 13 | | Ratification of Auditor | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 14 | | Advisory Vote on Executive Compensation | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 15 | | Management Proposal Regarding the Right of Shareholders to Call a Special Meeting | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 16 | | Management Proposal Regarding Proxy Access | Mgmt | For |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 17 | | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| AES Corporation | AES | 00130H105 | 4/23/2015 | 18 | | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 1 | | Elect Fernando Aguirre | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 2 | | Elect Mark T. Bertolini | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 3 | | Elect Frank M. Clark, Jr. | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 4 | | Elect Betsy Z. Cohen | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 5 | | Elect Molly J. Coye | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 6 | | Elect Roger N. Farah | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 7 | | Elect Barbara H. Franklin | Mgmt | For |

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| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 8 | Elect Jeffrey E. Garten | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 9 | Elect Ellen M. Hancock | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 10 | Elect Richard J. Harrington | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 11 | Elect Edward J. Ludwig | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 12 | Elect Joseph P. Newhouse | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 13 | Elect Olympia J. Snowe | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 16 | Shareholder Proposal Regarding Report on Indirect Political Spending | ShrHoldr | Against |
| Aetna Inc. | AET | 00817Y108 | 5/15/2015 | 17 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 1 | Elect Daniel P. Amos | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 2 | Elect Paul S. Amos II | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 3 | Elect W. Paul Bowers | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 4 | Elect Kriss Cloninger III | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 5 | Elect Elizabeth J. Hudson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 6 | Elect Douglas W. Johnson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 7 | Elect Robert B. Johnson | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 8 | Elect Thomas J. Kenny | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 9 | Elect Charles B. Knapp | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 10 | Elect Joseph L. Moskowitz | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 11 | Elect Barbara K. Rimer | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 12 | Elect Melvin T. Stith | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 13 | Elect Takuro Yoshida | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Aflac Incorporated | AFL | 001055102 | 5/4/2015 | 15 | Ratification of Auditor | Mgmt | For |

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| Air Products and Chemicals, Inc. | APD | 009158106 | 1/22/2015 | 1 | Elect Susan K. Carter | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/22/2015 | 2 | Elect Seifi Ghasemi | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/22/2015 | 3 | Elect David H. Y. Ho | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/22/2015 | 4 | Ratification of Auditor | Mgmt | For |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/22/2015 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 3/10/2015 | 1 | Merger/Acquisition | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 3/10/2015 | 2 | Right to Adjourn Meeting | Mgmt | For |
| Allergan, Inc. | AGN | 018490102 | 3/10/2015 | 3 | Advisory Vote on Golden Parachutes | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 6/2/2015 | 1.1 | Elect James A. Jacobson | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 6/2/2015 | 1.2 | Elect F. Ford Drummond | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 6/2/2015 | 1.3 | Elect Davey S. Scoon | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 6/2/2015 | 1.4 | Elect James S. MacLeod | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 6/2/2015 | 1.5 | Elect Julian Sluyters | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 6/2/2015 | 1.6 | Elect Susan M. King | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.1 | Elect Hans W. Kertess | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.2 | Elect William B. Ogden IV | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.3 | Elect F. Ford Drummond | Mgmt | For |

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| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.4 | Elect Davey S. Scoon | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.5 | Elect James S. MacLeod | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.6 | Elect Julian Sluyters | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 01883A107 | 6/2/2015 | 1.7 | Elect Susan M. King | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 7/31/2014 | 1.1 | Elect Alan Rappaport | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 7/31/2014 | 1.2 | Elect Hans W. Kertess | Mgmt | For |
| AllianzGI Convertible & Income Fund II | NCZ | 018829101 | 7/31/2014 | 1.3 | Elect William B. Ogden IV | Mgmt | For |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 5/20/2015 | 1.1 | Elect Samuel A. Lieber | Mgmt | For |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 5/20/2015 | 2 | Transaction of Other Business | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 1 | Elect W. Don Cornwell | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 2 | Elect Peter R. Fisher | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 3 | Elect John Fitzpatrick | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 4 | Elect Peter D. Hancock | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 5 | Elect William G. Jurgensen | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 6 | Elect Christopher S. Lynch | Mgmt | For |

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| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 7 | Elect George L. Miles, Jr. | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 8 | Elect Henry S. Miller | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 9 | Elect Robert S. Miller | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 10 | Elect Suzanne Nora Johnson | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 11 | Elect Ronald A. Rittenmeyer | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 12 | Elect Douglas M. Steenland | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 13 | Elect Theresa M. Stone | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| American International Group, Inc. | AIG | 026874784 | 5/13/2015 | 15 | Ratification of Auditor | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 1 | Elect David Baltimore | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 2 | Elect Frank J. Biondi, Jr. | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 3 | Elect Robert A. Bradway | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 4 | Elect Francois de Carbonnel | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 5 | Elect Vance D. Coffman | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 6 | Elect Robert A. Eckert | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 7 | Elect Greg C. Garland | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 8 | Elect Rebecca M. Henderson | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 9 | Elect Frank C. Herringer | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 10 | Elect Tyler Jacks | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 11 | Elect Judith C. Pelham | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 12 | Elect Ronald D. Sugar | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 13 | Elect R. Sanders Williams | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 14 | Ratification of Auditor | Mgmt | For |
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |

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|--------------|------|-----------|-----------|----|---|----------|---------|
| AMGEN Inc. | AMGN | 031162100 | 5/14/2015 | 16 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| Anthem Inc | WLP | 94973V107 | 11/5/2014 | 1 | Company Name Change | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 1 | Elect Julie A. Hill | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 2 | Elect Ramiro G. Peru | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 3 | Elect John H. Short | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 4 | Ratification of Auditor | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 6 | Shareholder Proposal Regarding Declassification of the Board | ShrHoldr | N/A |
| Anthem, Inc. | ANTM | 036752103 | 5/13/2015 | 7 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Aon plc | AON | G0408V102 | 6/17/2015 | 1 | Elect Lester B. Knight | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 2 | Elect Gregory C. Case | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 3 | Elect Fulvio Conti | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 4 | Elect Cheryl A. Francis | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 5 | Elect James W. Leng | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 6 | Elect J. Michael Losh | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 7 | Elect Robert S. Morrison | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 8 | Elect Richard B. Myers | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 9 | Elect Richard C. Notebaert | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 10 | Elect Gloria Santona | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 11 | Elect Carolyn Y. Woo | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 12 | Accounts and Reports | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 14 | Ratification of Statutory Auditor | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 15 | Authority to Set Auditor's Fees | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |

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|--------------------------------|------|-----------|-----------|----|--|----------|---------|
| Aon plc | AON | G0408V102 | 6/17/2015 | 17 | Remuneration Report (Advisory) | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 18 | Approval of Share Repurchase Contracts and Repurchase Counterparties | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 19 | Authority to Issue Shares w/ Preemptive Rights | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 20 | Authority to Issue Shares w/o Preemptive Rights | Mgmt | For |
| Aon plc | AON | G0408V102 | 6/17/2015 | 21 | Authorization of Political Donations and Expenditures | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 1 | Elect Timothy D. Cook | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 2 | Elect Albert A. Gore, Jr. | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 3 | Elect Robert A. Iger | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 4 | Elect Andrea Jung | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 5 | Elect Arthur D. Levinson | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 6 | Elect Ronald D. Sugar | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 7 | Elect Sue Wagner | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 8 | Ratification of Auditor | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 9 | Advisory Vote on Executive Compensation | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 10 | Amendment to the Employee Stock Purchase Plan | Mgmt | For |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 11 | Shareholder Proposal Regarding Renewable Energy and Climate Change Policy Risk | ShrHoldr | Against |
| Apple Inc. | AAPL | 037833100 | 3/10/2015 | 12 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 1 | Elect Alan L. Boeckmann | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 2 | Elect Mollie Hale Carter | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 3 | Elect Terrell K. Crews | Mgmt | For |

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| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 4 | Elect Pierre Dufour | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 5 | Elect Donald E. Felsinger | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 6 | Elect Juan Richardo Luciano | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 7 | Elect Antonio dos Santos Maciel Neto | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 8 | Elect Patrick J. Moore | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 9 | Elect Thomas F. O'Neill | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 10 | Elect Francisco Sanchez | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 11 | Elect Daniel Shih | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 12 | Elect Kelvin R. Westbrook | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 13 | Elect Patricia A. Woertz | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 16 | Reapproval of the 2009 Incentive Compensation Plan | Mgmt | For |
| Archer-Daniels-Midland Company | ADM | 039483102 | 5/7/2015 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 1 | Elect Randall L. Stephenson | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 2 | Elect Scott T. Ford | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 3 | Elect Glenn H. Hutchins | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 4 | Elect William E. Kennard | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 5 | Elect Jon C. Madonna | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 6 | Elect Michael B. McCallister | Mgmt | For |

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| AT&T Inc. | T | 00206R102 | 4/24/2015 | 7 | Elect John B. McCoy | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 8 | Elect Beth E. Mooney | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 9 | Elect Joyce M. Roche | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 10 | Elect Matthew K. Rose | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 11 | Elect Cynthia B. Taylor | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 12 | Elect Laura D'Andrea Tyson | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 13 | Ratification of Auditor | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 15 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| AT&T Inc. | T | 00206R102 | 4/24/2015 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 1 | Elect Uma Chowdhry | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 2 | Elect James R. Gavin III | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 3 | Elect Peter S. Hellman | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 4 | Elect Kees J. Storm | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 5 | Ratification of Auditor | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 6 | Advisory Vote on Executive Compensation | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 7 | 2015 Incentive Plan | Mgmt | For |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 8 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Baxter International Inc. | BAX | 071813109 | 5/5/2015 | 9 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.1 | Elect Warren E. Buffett | Mgmt | For |
| | BRK.A | 084670702 | 5/2/2015 | 1.2 | Elect Charles T. Munger | Mgmt | For |

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| Berkshire Hathaway Inc. | | | | | | | | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.3 | Elect Howard G. Buffett | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.4 | Elect Stephen B. Burke | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.5 | Elect Susan L. Decker | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.6 | Elect William H. Gates III | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.7 | Elect David S. Gottesman | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.8 | Elect Charlotte Guyman | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.9 | Elect Thomas S. Murphy | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.10 | Elect Ronald L. Olson | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.11 | Elect Walter Scott, Jr. | Mgmt | For | |
| Berkshire Hathaway Inc. | BRK.A | 084670702 | 5/2/2015 | 1.12 | Elect Meryl B. Witmer | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 1 | Elect Alexander J. Denner | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 2 | Elect Caroline Dorsa | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 3 | Elect Nancy L. Leaming | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 4 | Elect Richard C. Mulligan | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 5 | Elect Robert W. Pangia | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 6 | Elect Stelios Papadopoulos | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 7 | Elect Brian S. Posner | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 8 | Elect Eric K. Rowinsky | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 9 | Elect George A. Scangos | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 10 | Elect Lynn Schenk | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 11 | Elect Stephen A. Sherwin | Mgmt | For | |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 12 | Ratification of Auditor | Mgmt | For | |

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|---|------|-----------|-----------|------|---|------|-----|
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 14 | Approval of the 2015 Employee Stock Purchase Plan | Mgmt | For |
| Biogen Inc. | BIIB | 09062X103 | 6/10/2015 | 15 | Approval of an Amendment to the 2006 Non-Employee Directors Equity Plan | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.3 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.4 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.5 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.6 | Elect James T. Flynn | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.7 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.8 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.9 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.10 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Corporation High Yield Fund III Inc | HYT | 09255P107 | 7/30/2014 | 1.11 | Elect Karen P. Robards | Mgmt | For |

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| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.3 | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.4 | Elect Frank J. Fabozzi | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.5 | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.6 | Elect James T. Flynn | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.7 | Elect Henry Gabbay | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.8 | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.9 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.10 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Debt Strategies Fund, Inc. | DSU | 09255R103 | 7/30/2014 | 1.11 | Elect Karen P. Robards | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |

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| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| Blackrock Ecosolutions Investment Trust | BQR | 092546100 | 11/10/2014 | 1 | Reorganization into the BlackRock Resources & Commodities Trust | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Enhanced Equity Dividend Trust | BDJ | 09251A104 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Floating Rate Income Trust | BGT | 091941104 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| | BGT | 091941104 | 7/30/2014 | 1.2 | | Mgmt | For |

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| BlackRock Floating Rate Income Trust | | | | | Elect Michael J. Castellano | | |
| BlackRock Floating Rate Income Trust | BGT | 091941104 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Floating Rate Income Trust | BGT | 091941104 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Global Opportunities Equity Trust | BOE | 092501105 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 11/10/2014 | 1 | Reorganization of the BlackRock Real Asset Equity Trust | Mgmt | For |
| | BCX | 09257A108 | 11/10/2014 | 2 | | Mgmt | For |

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| BlackRock Municipal 2020 Term Trust | | | | | | Reorganization of the BlackRock Real Ecosolutions Investment Trust | | |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.1 | | Elect Paul L. Audet | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.2 | | Elect Michael J. Castellano | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.3 | | Elect Richard E. Cavanagh | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.4 | | Elect Kathleen F. Feldstein | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.5 | | Elect James T. Flynn | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.6 | | Elect Henry Gabbay | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.7 | | Elect Jerrold B. Harris | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.8 | | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock MuniHoldings Quality Fund Inc | MUS | 09254A101 | 7/30/2014 | 1.9 | | Elect Karen P. Robards | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2014 | 1.1 | | Elect Paul L. Audet | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2014 | 1.2 | | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2014 | 1.3 | | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 7/30/2014 | 1.4 | | Elect W. Carl Kester | Mgmt | For |

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|--|-----|-----------|------------|-----|---|------|-----|
| BlackRock Real Asset Equity Trust | BCF | 09254B109 | 11/10/2014 | 1 | Reorganization into the BlackRock Resources & Commodities Trust | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2014 | 1.1 | Elect Paul L. Audet | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2014 | 1.2 | Elect Michael J. Castellano | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2014 | 1.3 | Elect R. Glenn Hubbard | Mgmt | For |
| BlackRock Utility and Infrastructure Trust | BUI | 09248D104 | 7/30/2014 | 1.4 | Elect W. Carl Kester | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 1 | Elect Abdlatif Yousef Al-Hamad | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 2 | Elect Mathis Cabiallavetta | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 3 | Elect Pamela Daley | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 4 | Elect William S. Demchak | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 5 | Elect Jessica P. Einhorn | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 6 | Elect Laurence D. Fink | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 7 | Elect Fabrizio Freda | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 8 | Elect Murry S. Gerber | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 9 | Elect James Grosfeld | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 10 | Elect Robert S. Kapito | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 11 | Elect David H. Komansky | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 12 | Elect Sir Deryck C. Maughan | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 13 | Elect Cheryl D. Mills | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 14 | Elect Thomas H. O'Brien | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 15 | Elect Ivan G. Seidenberg | Mgmt | For |

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|--|-----|-----------|-----------|-----|--|----------|---------|
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 16 | Elect Marco Antonio Slim Domit | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 17 | Elect John S. Varley | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 18 | Elect Susan L. Wagner | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 19 | Amendment to the 1999 Stock Award and Incentive Plan | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 20 | Advisory Vote on Executive Compensation | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 21 | Ratification of Auditor | Mgmt | For |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 22 | Shareholder Proposal Regarding Genocide-Free Investing | ShrHoldr | Against |
| BlackRock, Inc. | BLK | 09247X101 | 5/28/2015 | 23 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Blackstone/gso Long-short Credit Income Fund | BSL | 09256U105 | 4/22/2015 | 1.1 | Elect Thomas W. Jasper | Mgmt | For |
| Blackstone/gso Long-short Credit Income Fund | BSL | 09256U105 | 4/22/2015 | 1.2 | Elect Gary S. Schpero | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 1 | Elect Richard D. Fairbank | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 2 | Elect Patrick W. Gross | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 3 | Elect Ann Fritz Hackett | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 4 | Elect Lewis Hay, III | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 5 | Elect Benjamin P. Jenkins, III | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 6 | Elect Pierre E. Leroy | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 7 | Elect Peter E. Raskind | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 8 | Elect Mayo A. Shattuck III | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 9 | Elect Bradford H. Warner | Mgmt | For |

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|-----------------------------------|-----|-----------|-----------|----|--|----------|---------|
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 10 | Elect Catherine G. West | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 13 | Management Proposal Regarding Right to Call a Special Meeting | Mgmt | For |
| Capital One Financial Corporation | COF | 14040H105 | 4/30/2015 | 14 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 1 | Elect David L. Calhoun | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 2 | Elect Daniel M. Dickinson | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 3 | Elect Juan Gallardo | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 4 | Elect Jesse J. Greene, Jr. | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 5 | Elect Jon M. Huntsman, Jr. | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 6 | Elect Dennis A. Muilenburg | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 7 | Elect Douglas R. Oberhelman | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 8 | Elect William A. Osborn | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 9 | Elect Debra L. Reed | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 10 | Elect Edward B. Rust, Jr. | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 11 | Elect Susan C. Schwab | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 12 | Elect Miles D. White | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 17 | | ShrHoldr | Against |

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|---|------|-----------|------------|-----|---|----------|---------|
| | | | | | Shareholder Proposal Regarding Review of Human Rights Policies | | |
| Caterpillar Inc. | CAT | 149123101 | 6/10/2015 | 18 | Shareholder Proposal Regarding Review of Human Rights Policies (2) | ShrHoldr | Against |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 11/10/2014 | 1.1 | Elect T. Ritson Ferguson | Mgmt | For |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 11/10/2014 | 1.2 | Elect Frederick S. Hammer | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/22/2015 | 1 | Elect John M. Partridge | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/22/2015 | 2 | Elect James E. Rogers | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/22/2015 | 3 | Elect Eric C. Wiseman | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/22/2015 | 4 | Advisory Vote on Executive Compensation | Mgmt | For |
| CIGNA Corporation | CI | 125509109 | 4/22/2015 | 5 | Ratification of Auditor | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 1 | Elect Carol A. Bartz | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 2 | Elect M. Michele Burns | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 3 | Elect Michael D. Capellas | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 4 | Elect John T. Chambers | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 5 | Elect Brian L. Halla | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 6 | Elect John L. Hennessy | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 7 | Elect Kristina M. Johnson | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 8 | Elect Roderick C. McGeary | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 9 | Elect Arun Sarin | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 10 | Elect Steven M. West | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 11 | Amendment to the Employee Stock Purchase Plan | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 13 | Ratification of Auditor | Mgmt | For |

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|--|------|-----------|------------|-----|--|----------|---------|
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 14 | Shareholder Proposal Regarding Formation of a Public Policy Committee of the Board | ShrHoldr | Against |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 15 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Cisco Systems, Inc. | CSCO | 17275R102 | 11/20/2014 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 3/20/2015 | 1.1 | Elect Robert D. Agdern | Mgmt | For |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 3/20/2015 | 1.2 | Elect Eileen A. Kamerick | Mgmt | For |
| ClearBridge Energy MLP Total Return Fund Inc | CTR | 18469Q108 | 3/20/2015 | 1.3 | Elect Riordan Roett | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/15/2014 | 1.1 | Elect Adam D. Crescenzi | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/15/2014 | 1.2 | Elect Jerry G. Rutledge | Mgmt | For |
| Clough Global Allocation Fund | GLV | 18913Y103 | 7/15/2014 | 1.3 | Elect Vincent W. Versaci | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 1 | Elect Michael Patsalos-Fox | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 2 | Elect Robert E. Weissman | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 3 | Elect Francisco D'Souza | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 4 | Elect John N. Fox, Jr. | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 5 | Elect Leo S. Mackay, Jr. | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 6 | Elect Thomas M. Wendel | Mgmt | For |
| Cognizant Technology | CTSH | 192446102 | 6/2/2015 | 7 | Advisory Vote on | Mgmt | For |

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| Solutions Corporation | | | | | Executive Compensation | | |
|---|-------|-----------|-----------|-----|--|----------|---------|
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 8 | Ratification of Auditor | Mgmt | For |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/2/2015 | 9 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/23/2015 | 1.1 | Elect George Grossman | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/23/2015 | 1.2 | Elect Robert H. Steers | Mgmt | For |
| Cohen & Steers Quality Income Realty Fund, Inc. | RQI | 19247L106 | 4/23/2015 | 1.3 | Elect C. Edward Ward, Jr. | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/23/2015 | 1.1 | Elect George Grossman | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/23/2015 | 1.2 | Elect Robert H. Steers | Mgmt | For |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/23/2015 | 1.3 | Elect C. Edward Ward, Jr. | Mgmt | For |
| Cohen & Steers Total Return Realty Fund Inc | RFI | 19247R103 | 4/23/2015 | 1.1 | Elect George Grossman | Mgmt | For |
| Cohen & Steers Total Return Realty Fund Inc | RFI | 19247R103 | 4/23/2015 | 1.2 | Elect Robert H. Steers | Mgmt | For |
| Cohen & Steers Total Return Realty Fund Inc | RFI | 19247R103 | 4/23/2015 | 1.3 | Elect C. Edward Ward, Jr. | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.1 | Elect Kenneth J. Bacon | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.2 | Elect Sheldon M. Bonovitz | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.3 | Elect Edward D. Breen | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.4 | Elect Joseph J. Collins | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.5 | Elect J. Michael Cook | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.6 | Elect Gerald L. Hassell | Mgmt | For |

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|---------------------|-------|-----------|-----------|------|---|----------|---------|
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.7 | Elect Jeffrey A. Honickman | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.8 | Elect Eduardo G. Mestre | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.9 | Elect Brian L. Roberts | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.10 | Elect Ralph J. Roberts | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.11 | Elect Johnathan A. Rodgers | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 1.12 | Elect Judith Rodin | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 3 | Amendment to the 2006 Cash Bonus Plan | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 4 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 5 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 5/21/2015 | 6 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| Comcast Corporation | CMCSA | 20030N101 | 10/8/2014 | 1 | Merger | Mgmt | For |
| Comcast Corporation | CMCSA | 20030N101 | 10/8/2014 | 2 | Right to Adjourn Meeting | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 1 | Elect Richard L. Armitage | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 2 | Elect Richard H. Auchinleck | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 3 | Elect Charles E. Bunch | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 4 | Elect James E. Copeland, Jr. | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 5 | Elect John V. Faraci | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 6 | Elect Jody L Freeman | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 7 | Elect Gay Huey Evans | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 8 | Elect Ryan M. Lance | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 9 | Elect Arjun N. Murti | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 10 | Elect Robert A. Niblock | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 11 | Elect Harald J. Norvik | Mgmt | For |

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| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 12 | Ratification of Auditor | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 14 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 15 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 16 | Shareholder Proposal Regarding Reserves Metrics in Executive Compensation | ShrHoldr | Against |
| ConocoPhillips | COP | 20825C104 | 5/12/2015 | 17 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Credit Suisse Asset Management Income Fund, Inc. | CIK | 224916106 | 4/21/2015 | 1.1 | Elect Lawrence J. Fox | Mgmt | For |
| Credit Suisse Asset Management Income Fund, Inc. | CIK | 224916106 | 4/21/2015 | 1.2 | Elect John G. Popp | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 1 | Elect Donna M. Alvarado | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 2 | Elect John B. Breaux | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 3 | Elect Pamela L. Carter | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 4 | Elect Steven T. Halverson | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 5 | Elect Edward J. Kelly, III | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 6 | Elect John D. McPherson | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 7 | Elect David M. Moffett | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 8 | Elect Oscar Munoz | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 9 | Elect Timothy T. O'Toole | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 10 | Elect David M. Ratcliffe | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 11 | Elect Donald J. Shepard | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 12 | Elect Michael J. Ward | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 13 | Elect J. Steven Whisler | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 14 | Ratification of Auditor | Mgmt | For |
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 15 | | Mgmt | For |

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| | | | | | Advisory Vote on Executive Compensation | | |
|---------------------------|-----|-----------|-----------|----|---|----------|---------|
| CSX Corporation | CSX | 126408103 | 5/6/2015 | 16 | Amendment to the 2010 Stock and Incentive Award Plan | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 1 | Elect Richard M. Bracken | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 2 | Elect C. David Brown II | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 3 | Elect Alecia A. DeCoudreaux | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 4 | Elect Nancy-Ann M. DeParle | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 5 | Elect David W. Dorman | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 6 | Elect Anne M. Finucane | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 7 | Elect Larry J. Merlo | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 8 | Elect Jean-Pierre Millon | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 9 | Elect Richard J. Swift | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 10 | Elect William C. Weldon | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 11 | Elect Tony L. White | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 12 | Ratification of Auditor | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 14 | Approval of the Performance Criteria in the 2010 Incentive Compensation Plan | Mgmt | For |
| CVS Health Corporation | CVS | 126650100 | 5/7/2015 | 15 | Shareholder Proposal Regarding Incorporation of Values in Political Spending | ShrHoldr | Against |
| Deere & Company | DE | 244199105 | 2/25/2015 | 1 | Elect Samuel R. Allen | Mgmt | For |

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| Deere & Company | DE | 244199105 | 2/25/2015 | 2 | Elect Crandall C. Bowles | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 3 | Elect Vance D. Coffman | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 4 | Elect Charles O. Holliday, Jr. | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 5 | Elect Dipak C. Jain | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 6 | Elect Michael O. Johanns | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 7 | Elect Clayton M. Jones | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 8 | Elect Joachim Milberg | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 9 | Elect Richard B. Myers | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 10 | Elect Gregory R. Page | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 11 | Elect Thomas H. Patrick | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 12 | Elect Sherry M. Smith | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 13 | Right to Call a Special Meeting | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 14 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 15 | Amendment to the Omnibus Equity and Incentive Plan | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 16 | Re-Approval of the Short-Term Incentive Bonus Plan | Mgmt | For |
| Deere & Company | DE | 244199105 | 2/25/2015 | 17 | Ratification of Auditor | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.1 | Elect Thomas L. Bennett | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.2 | Elect Joseph W. Chow | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.3 | Elect Patrick P. Coyne | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.4 | Elect John A. Fry | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.5 | Elect Lucinda S. Landreth | Mgmt | For |

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Income Fund

| | | | | | | | |
|---|------|-----------|-----------|-----|---|------|-----|
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.6 | Elect Frances A. Sevilla-Sacasa | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.7 | Elect Thomas K. Whitford | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.8 | Elect Janet L. Yeomans | Mgmt | For |
| Delaware Enhanced Global Dividend & Income Fund | DEX | 246060107 | 8/20/2014 | 1.9 | Elect J. Richard Zecher | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 1 | Elect Kevin P. Clark | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 2 | Elect Gary L. Cowger | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 3 | Elect Nicholas M. Donofrio | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 4 | Elect Mark P. Frissora | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 5 | Elect Rajiv L. Gupta | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 6 | Elect J. Randall MacDonald | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 7 | Elect Sean O. Mahoney | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 8 | Elect Timothy M. Manganello | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 9 | Elect Thomas W. Sidlik | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 10 | Elect Bernd Wiedemann | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 11 | Elect Lawrence A. Zimmerman | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 13 | Amendment to Long-Term Incentive Plan | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 14 | Leadership Incentive Plan | Mgmt | For |
| Delphi Automotive PLC | DLPH | G27823106 | 4/23/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 1 | Elect Richard H. Anderson | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 2 | Elect Edward H. Bastian | Mgmt | For |

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|---|-----|-----------|-----------|-----|---|----------|---------|
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 3 | Elect Francis S. Blake | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 4 | Elect Roy J. Bostock | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 5 | Elect John S. Brinzo | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 6 | Elect Daniel A. Carp | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 7 | Elect David G. DeWalt | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 8 | Elect Thomas E. Donilon | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 9 | Elect William H. Easter III | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 10 | Elect Mickey P. Foret | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 11 | Elect Shirley C. Franklin | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 12 | Elect David R. Goode | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 13 | Elect George N. Mattson | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 14 | Elect Douglas R Ralph | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 15 | Elect Sergio Agapito Lires Rial | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 16 | Elect Kenneth B. Woodrow | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 18 | Ratification of Auditor | Mgmt | For |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/25/2015 | 19 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Deutsche High Income Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014 | 1.1 | Elect John W. Ballantine | Mgmt | For |
| Deutsche High Income Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014 | 1.2 | Elect Dawn-Marie Driscoll | Mgmt | For |
| Deutsche High Income Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014 | 1.3 | Elect Kenneth C. Froewiss | Mgmt | For |
| Deutsche High Income Opportunities Fund Inc | DHG | 23339M204 | 9/3/2014 | 1.4 | Elect Rebecca W. Rimel | Mgmt | For |
| Deutsche High Income Trust | KHI | 23337C208 | 9/3/2014 | 1.1 | Elect John W. Ballantine | Mgmt | For |

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|------------------------------------|-----|-----------|----------|-----|---------------------------|------|-----|
| Deutsche High Income Trust | KHI | 23337C208 | 9/3/2014 | 1.2 | Elect Dawn-Marie Driscoll | Mgmt | For |
| Deutsche High Income Trust | KHI | 23337C208 | 9/3/2014 | 1.3 | Elect Kenneth C. Froewiss | Mgmt | For |
| Deutsche High Income Trust | KHI | 23337C208 | 9/3/2014 | 1.4 | Elect Rebecca W. Rimel | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 23338L108 | 9/3/2014 | 1.1 | Elect John W. Ballantine | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 23338L108 | 9/3/2014 | 1.2 | Elect Dawn-Marie Driscoll | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 23338L108 | 9/3/2014 | 1.3 | Elect Kenneth C. Froewiss | Mgmt | For |
| Deutsche Multi-Market Income Trust | KMM | 23338L108 | 9/3/2014 | 1.4 | Elect Rebecca W. Rimel | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 23338N104 | 9/3/2014 | 1.1 | Elect John W. Ballantine | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 23338N104 | 9/3/2014 | 1.2 | Elect Dawn-Marie Driscoll | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 23338N104 | 9/3/2014 | 1.3 | Elect Kenneth C. Froewiss | Mgmt | For |
| Deutsche Strategic Income Trust | KST | 23338N104 | 9/3/2014 | 1.4 | Elect Rebecca W. Rimel | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.1 | Elect Barbara M. Baumann | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.2 | Elect John E. Bethancourt | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.3 | Elect Robert H. Henry | Mgmt | For |

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|--------------------------|-----|-----------|-----------|-----|--|----------|---------|
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.4 | Elect Michael M. Kanovsky | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.5 | Elect Robert A. Mosbacher, Jr. | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.6 | Elect J. Larry Nichols | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.7 | Elect Duane Radtke | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.8 | Elect Mary P. Ricciardello | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 1.9 | Elect John Richels | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 3 | Ratification of Auditor | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 4 | 2015 Long-Term Incentive Plan | Mgmt | For |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 5 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 6 | Shareholder Proposal Regarding Report on Lobbying Activities Related to Energy Policy and Climate Change | ShrHoldr | Against |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 7 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Devon Energy Corporation | DVN | 25179M103 | 6/3/2015 | 8 | Shareholder Proposal Regarding Carbon Asset Risk | ShrHoldr | Against |
| DIRECTV | DTV | 25490A309 | 9/25/2014 | 1 | Merger/Acquisition | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 9/25/2014 | 2 | Advisory Vote on Golden Parachutes | Mgmt | For |
| DIRECTV | DTV | 25490A309 | 9/25/2014 | 3 | Right to Adjourn Meeting | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 1 | Elect Ajay Banga | Mgmt | For |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 2 | Elect Jacqueline K. Barton | Mgmt | For |
| | DOW | 260543103 | 5/14/2015 | 3 | Elect James A. Bell | Mgmt | For |

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| Dow Chemical Company | | | | | | | | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 4 | Elect Richard K. Davis | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 5 | Elect Jeff M. Fettig | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 6 | Elect Andrew N. Liveris | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 7 | Elect Mark Loughridge | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 8 | Elect Raymond J. Milchovich | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 9 | Elect Robert S. Miller | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 10 | Elect Paul Polman | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 11 | Elect Dennis H. Reilley | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 12 | Elect James M. Ringler | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 13 | Elect Ruth G. Shaw | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 14 | Ratification of Auditor | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Dow Chemical Company | DOW | 260543103 | 5/14/2015 | 16 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against | |
| Eaton Vance Risk-Managed Diversified Equity Income Fund | ETJ | 27829G106 | 4/23/2015 | 1.1 | Elect George J. Gorman | Mgmt | For | |
| Eaton Vance Risk-Managed Diversified Equity Income Fund | ETJ | 27829G106 | 4/23/2015 | 1.2 | Elect William H. Park | Mgmt | For | |
| Eaton Vance Risk-Managed Diversified Equity Income Fund | ETJ | 27829G106 | 4/23/2015 | 1.3 | Elect Ronald A. Pearlman | Mgmt | For | |

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Income Fund

| | | | | | | | |
|---|------|-----------|------------|-----|----------------------------|------|-----|
| Eaton Vance Risk-Managed Diversified Equity Income Fund | ETJ | 27829G106 | 4/23/2015 | 1.4 | Elect Helen Frame Peters | Mgmt | For |
| Eaton Vance Senior Floating-Rate Fund | EFR | 27828Q105 | 8/21/2014 | 1.1 | Elect Thomas E. Faust Jr. | Mgmt | For |
| Eaton Vance Senior Floating-Rate Fund | EFR | 27828Q105 | 8/21/2014 | 1.2 | Elect William H. Park | Mgmt | For |
| Eaton Vance Senior Income Trust | EFT | 278279104 | 3/26/2015 | 1.1 | Elect George J. Gorman | Mgmt | For |
| Eaton Vance Senior Income Trust | EFT | 278279104 | 3/26/2015 | 1.2 | Elect William H. Park | Mgmt | For |
| Eaton Vance Senior Income Trust | EFT | 278279104 | 3/26/2015 | 1.3 | Elect Ronald A. Pearlman | Mgmt | For |
| Eaton Vance Senior Income Trust | EFT | 278279104 | 3/26/2015 | 1.4 | Elect Harriett Tee Taggart | Mgmt | For |
| Eaton Vance Senior Income Trust | EVF | 27826S103 | 10/23/2014 | 1.1 | Elect Thomas E. Faust Jr. | Mgmt | For |
| Eaton Vance Senior Income Trust | EVF | 27826S103 | 10/23/2014 | 1.2 | Elect Cynthia E. Frost | Mgmt | For |
| Eaton Vance Senior Income Trust | EVF | 27826S103 | 10/23/2014 | 1.3 | Elect Valerie A. Mosley | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 1 | Elect Fred D. Anderson | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 2 | Elect Anthony J. Bates | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 3 | Elect Edward W. Barnholt | Mgmt | For |

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|-------------|------|-----------|----------|----|--|----------|---------|
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 4 | Elect Jonathan Christodoro | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 5 | Elect Scott D. Cook | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 6 | Elect John J. Donahoe | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 7 | Elect David Dorman | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 8 | Elect Bonnie S. Hammer | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 9 | Elect Gail J. McGovern | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 10 | Elect Kathleen C. Mitic | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 11 | Elect David M. Moffett | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 12 | Elect Pierre M. Omidyar | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 13 | Elect Thomas J. Tierney | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 14 | Elect Perry M. Traquina | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 15 | Elect Frank D. Yeary | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 17 | Amendment to Incentive Plan | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 18 | Ratification of Auditor | Mgmt | For |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 19 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 20 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| EBay Inc. | EBAY | 278642103 | 5/1/2015 | 21 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Against |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 1 | Elect Douglas M. Baker, Jr. | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 2 | Elect Barbara J. Beck | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 3 | Elect Leslie S. Biller | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 4 | Elect Carl M. Casale | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 5 | Elect Stephen I. Chazen | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 6 | Elect Jeffrey M. Ettinger | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 7 | Elect Jerry A. Grundhofer | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 8 | Elect Arthur J. Higgins | Mgmt | For |

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| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 9 | Elect Joel W. Johnson | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 10 | Elect Michael Larson | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 11 | Elect Jerry W. Levin | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 12 | Elect Robert L. Lumpkins | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 13 | Elect Tracy B. McKibben | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 14 | Elect Victoria J. Reich | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 15 | Elect Suzanne M. Vautrinot | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 16 | Elect John J. Zillmer | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 17 | Ratification of Auditor | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 18 | Advisory Vote on Executive Compensation | Mgmt | For |
| Ecolab Inc. | ECL | 278865100 | 5/7/2015 | 19 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 1 | Elect Janet F. Clark | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 2 | Elect Charles R. Crisp | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 3 | Elect James C. Day | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 4 | Elect H. Leighton Steward | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 5 | Elect Donald F. Textor | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 6 | Elect William R. Thomas | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 7 | Elect Frank G. Wisner | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 8 | Ratification of Auditor | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 9 | Advisory Vote on Executive Compensation | Mgmt | For |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 10 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| EOG Resources, Inc. | EOG | 26875P101 | 4/30/2015 | 11 | Shareholder Proposal Regarding Methane Emissions Reporting and Reduction Target | ShrHoldr | Against |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 1 | Elect Anthony K. Anderson | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 2 | Elect Ann C. Berzin | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 3 | Elect John A. Canning Jr. | Mgmt | For |

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| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 4 | Elect Christopher M. Crane | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 5 | Elect Yves C. de Balmann | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 6 | Elect Nicholas DeBenedictis | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 7 | Elect Paul L. Joskow | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 8 | Elect Robert J. Lawless | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 9 | Elect Richard W. Mies | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 10 | Elect William C. Richardson | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 11 | Elect John W. Rogers, Jr. | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 12 | Elect Mayo A. Shattuck III | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 13 | Elect Stephen D. Steinour | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 16 | Reapproval of Performance Goals Under 2011 Long-Term Incentive Plan | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 17 | Management Proposal Regarding Proxy Access | Mgmt | For |
| Exelon Corporation | EXC | 30161N101 | 4/28/2015 | 18 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 1 | Elect Gary G. Benanav | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 2 | Elect Maura C. Breen | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 3 | Elect William J. DeLaney | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 4 | Elect Elder Granger | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 5 | Elect Nicholas J. LaHowchic | Mgmt | For |

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| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 6 | Elect Thomas P. Mac Mahon | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 7 | Elect Frank Mergenthaler | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 8 | Elect Woodrow A. Myers, Jr. | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 9 | Elect Roderick A. Palmore | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 10 | Elect George Paz | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 11 | Elect William L. Roper | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 12 | Elect Seymour Sternberg | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 15 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/6/2015 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.1 | Elect Michael J. Boskin | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.2 | Elect Peter Brabeck-Letmathe | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.3 | Elect Ursula M. Burns | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.4 | Elect Larry R. Faulkner | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.5 | Elect Jay S. Fishman | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.6 | Elect Henrietta H. Fore | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.7 | Elect Kenneth C. Frazier | Mgmt | For |
| | XOM | 30231G102 | 5/27/2015 | 1.8 | | Mgmt | For |

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|-------------------------|-----|-----------|-----------|------|--|----------|---------|
| Exxon Mobil Corporation | | | | | Elect Douglas R. Oberhelman | | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.9 | Elect Samuel J. Palmisano | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.10 | Elect Steven S. Reinemund | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.11 | Elect Rex W. Tillerson | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 1.12 | Elect William C. Weldon | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 4 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 5 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 6 | Shareholder Proposal Regarding Climate Change Expertise on Board | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 7 | Shareholder Proposal Regarding Board Gender Diversity | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 8 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 9 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 10 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Against |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/27/2015 | 11 | Shareholder Proposal Regarding Hydraulic Fracturing | ShrHoldr | Against |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.1 | Elect Marc L. Andreessen | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.2 | Elect Erskine B. Bowles | Mgmt | For |

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|---|------|-----------|-----------|-----|--|----------|---------|
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.3 | Elect Susan D. Desmond-Hellmann | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.4 | Elect Reed Hastings | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.5 | Elect Jan Koum | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.6 | Elect Sheryl K. Sandberg | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.7 | Elect Peter A. Thiel | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 1.8 | Elect Mark Zuckerberg | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 3 | Re-approval of 2012 Equity Incentive Plan | Mgmt | For |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 4 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 5 | Shareholder Proposal Regarding Sustainability Report | ShrHoldr | Against |
| Facebook Inc. | FB | 30303M102 | 6/11/2015 | 6 | Shareholder Proposal Regarding Human Rights Report | ShrHoldr | Against |
| Federated Enhanced Treasury Income Fund | FTT | 314162108 | 9/12/2014 | 1.1 | Elect Maureen Lally-Green | Mgmt | For |
| Federated Enhanced Treasury Income Fund | FTT | 314162108 | 9/12/2014 | 1.2 | Elect P. Jerome Richey | Mgmt | For |
| Federated Enhanced Treasury Income Fund | FTT | 314162108 | 9/12/2014 | 1.3 | Elect John T. Collins | Mgmt | For |
| Federated Enhanced Treasury Income Fund | FTT | 314162108 | 9/12/2014 | 1.4 | Elect John W. McGonigle | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 1 | Elect Nicholas K. Akins | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 2 | Elect B. Evan Bayh III | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 3 | Elect Katherine B. Blackburn | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 4 | Elect Ulysses L. Bridgeman, Jr. | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 5 | Elect Emerson L. Brumback | Mgmt | For |

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|---|------|-----------|-----------|-----|--|------|--------|
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 6 | Elect James P. Hackett | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 7 | Elect Gary R. Heminger | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 8 | Elect Jewell D. Hoover | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 9 | Elect Kevin T. Kabat | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 10 | Elect Michael B. McCallister | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 11 | Elect Hendrick G. Meijer | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 12 | Elect Marsha C. Williams | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Fifth Third Bancorp | FITB | 316773100 | 4/14/2015 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt | 1 Year |
| First Trust Dividend and Income Fund | FAV | 33731L100 | 7/2/2014 | 1 | Approval of a New Investment Sub-Advisory Agreement | Mgmt | For |
| First Trust Energy Income and Growth Fund | FEN | 33738G104 | 4/20/2015 | 1.1 | Elect Richard E. Erickson | Mgmt | For |
| First Trust Energy Income and Growth Fund | FEN | 33738G104 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec | Mgmt | For |
| First Trust Energy Income and Growth Fund | FIF | 33738C103 | 4/20/2015 | 1.1 | Elect Richard E. Erickson | Mgmt | For |
| First Trust Energy Income and Growth Fund | FIF | 33738C103 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec | Mgmt | For |
| First Trust Intermediate Duration Preferred & Income Fund | FPF | 33739B104 | 4/20/2015 | 1.1 | Elect Richard E. Erickson | Mgmt | For |
| First Trust Intermediate Duration Preferred & Income Fund | FPF | 33739B104 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec | Mgmt | For |
| First Trust Mortgage Income Fund | FMY | 33734E103 | 4/20/2015 | 1.1 | Elect Richard E. Erickson | Mgmt | For |
| First Trust Mortgage Income Fund | FMY | 33734E103 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|----------|---------|
| First Trust Strategic High Income Fund II | FHY | 337353304 | 4/20/2015 | 1.1 | Elect Richard E. Erickson | Mgmt | For |
| First Trust Strategic High Income Fund II | FHY | 337353304 | 4/20/2015 | 1.2 | Elect Thomas R. Kadlec | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 1 | Elect Stephen G. Butler | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 2 | Elect Kimberly A. Casiano | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 3 | Elect Anthony F. Earley, Jr. | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 4 | Elect Mark Fields | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 5 | Elect Edsel B. Ford II | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 6 | Elect William Clay Ford, Jr. | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 7 | Elect James P. Hackett | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 8 | Elect James H. Hance, Jr. | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 9 | Elect William W. Helman IV | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 10 | Elect Jon M. Huntsman, Jr. | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 11 | Elect William E. Kennard | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 12 | Elect John C. Lechleiter | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 13 | Elect Ellen R. Marram | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 14 | Elect Gerald L. Shaheen | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 15 | Elect John L. Thornton | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 16 | Ratification of Auditor | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 18 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| Ford Motor Company | F | 345370860 | 5/14/2015 | 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 1 | Elect Peter K. Barker | Mgmt | For |

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|--------------------------|-----|-----------|-----------|-----|--|------|-----|
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 2 | Elect Mariann Byerwalter | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 3 | Elect Charles E. Johnson | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 4 | Elect Gregory E. Johnson | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 5 | Elect Rupert H. Johnson, Jr. | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 6 | Elect Mark C. Pigott | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 7 | Elect Chutta Ratnathicam | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 8 | Elect Laura Stein | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 9 | Elect Seth H. Waugh | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 10 | Elect Geoffrey Y. Yang | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Franklin Resources, Inc. | BEN | 354613101 | 3/11/2015 | 12 | Re-Approval of the Material Terms of the 2002 Universal Stock Incentive Plan | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.1 | Elect Harris J. Ashton | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.2 | Elect Mary C. Choksi | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.3 | Elect Sam Ginn | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.4 | Elect Edith E. Holiday | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.5 | Elect Gregory E. Johnson | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.6 | Elect Rupert H. Johnson, Jr. | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.7 | Elect J. Michael Luttig | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.8 | Elect Frank A. Olson | Mgmt | For |

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|--|-----|-----------|-----------|------|---|------|-----|
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.9 | Elect Larry D. Thompson | Mgmt | For |
| Franklin Universal Trust | FT | 355145103 | 3/20/2015 | 1.10 | Elect John B. Wilson | Mgmt | For |
| Gabelli Equity Trust Inc. | GAB | 362397101 | 5/11/2015 | 1.1 | Elect Anthony R. Pustorino | Mgmt | For |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/11/2015 | 1.1 | Elect Salvatore M. Salibello | Mgmt | For |
| Gabelli Healthcare & WellnessRx Trust | GRX | 36246K103 | 5/11/2015 | 1.1 | Elect Robert C. Kolodny | Mgmt | For |
| Gabelli Healthcare & WellnessRx Trust | GRX | 36246K103 | 5/11/2015 | 1.2 | Elect Salvatore J. Zizza | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 1 | Elect Domenico De Sole | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 2 | Elect Robert J. Fisher | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 3 | Elect William S. Fisher | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 4 | Elect Isabella D. Goren | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 5 | Elect Bob L. Martin | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 6 | Elect Jorge P. Montoya | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 7 | Elect Arthur Peck | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 8 | Elect Mayo A. Shattuck III | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 9 | Elect Katherine Tsang | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 10 | Elect Padmasree Warrior | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 12 | Amendment to the Executive Management Incentive Compensation Award Plan | Mgmt | For |
| Gap Inc. | GPS | 364760108 | 5/19/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| GDL Fund | GDL | 361570104 | 5/11/2015 | 1.1 | Elect Mario J. Gabelli | Mgmt | For |

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|--------------------------|-----|-----------|-----------|-----|---|------|-----|
| GDL Fund | GDL | 361570104 | 5/11/2015 | 1.2 | Elect Mario d'Urso | Mgmt | For |
| GDL Fund | GDL | 361570104 | 5/11/2015 | 1.3 | Elect Michael J. Melarkey | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 1 | Elect W. Geoffrey Beattie | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 2 | Elect John J. Brennan | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 3 | Elect James I. Cash, Jr. | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 4 | Elect Francisco D'Souza | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 5 | Elect Marijn E. Dekkers | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 6 | Elect Susan Hockfield | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 7 | Elect Jeffrey R. Immelt | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 8 | Elect Andrea Jung | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 9 | Elect Robert W. Lane | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 10 | Elect Rochelle B. Lazarus | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 11 | Elect James J. Mulva | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 12 | Elect James E. Rohr | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 13 | Elect Mary L. Schapiro | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 14 | Elect Robert J. Swieringa | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 15 | Elect James S. Tisch | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 16 | Elect Douglas A. Warner III | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |

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|-----------------------------|-----|-----------|-----------|-----|---|----------|---------|
| General Electric Company | GE | 369604103 | 4/22/2015 | 18 | Ratification of Auditor | Mgmt | For |
| General Electric Company | GE | 369604103 | 4/22/2015 | 19 | Shareholder Proposal Regarding Cumulative Voting | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 20 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 21 | Shareholder Proposal Regarding Nomination of Retiree Directors | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 22 | Shareholder Proposal Regarding Holy Land Principles | ShrHoldr | Against |
| General Electric Company | GE | 369604103 | 4/22/2015 | 23 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Global High Income Fund Inc | GHI | 37933G108 | 2/19/2015 | 1.1 | Elect Richard Q. Armstrong | Mgmt | For |
| Global High Income Fund Inc | GHI | 37933G108 | 2/19/2015 | 1.2 | Elect Alan S. Bernikow | Mgmt | For |
| Global High Income Fund Inc | GHI | 37933G108 | 2/19/2015 | 1.3 | Elect David Malpass | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 1 | Elect Lloyd C. Blankfein | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 2 | Elect M. Michele Burns | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 3 | Elect Gary D. Cohn | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 4 | Elect Mark Flaherty | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 5 | Elect William W. George | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 6 | Elect James A. Johnson | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 7 | Elect Lakshmi N. Mittal | Mgmt | For |

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|--------------------------|------|-----------|-----------|-----|--|----------|---------|
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 8 | Elect Adebayo O. Ogunlesi | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 9 | Elect Peter Oppenheimer | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 10 | Elect Debora L. Spar | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 11 | Elect Mark E. Tucker | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 12 | Elect David A. Viniar | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 13 | Elect Mark O. Winkelman | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 15 | 2015 Stock Incentive Plan | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 16 | Ratification of Auditor | Mgmt | For |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 17 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 18 | Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service | ShrHoldr | Against |
| Goldman Sachs Group Inc. | GS | 38141G104 | 5/21/2015 | 19 | SHAREHOLDER PROPOSAL REGARDING RIGHT TO ACT BY WRITTEN CONSENT | ShrHoldr | Against |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.1 | Elect Larry Page | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.2 | Elect Sergey Brin | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.3 | Elect Eric E. Schmidt | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.4 | Elect L. John Doerr | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.5 | Elect Diane B. Greene | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.6 | Elect John L. Hennessy | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.7 | Elect Ann Mather | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.8 | Elect Alan R. Mulally | Mgmt | For |

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|--|------|-----------|-----------|------|--|----------|---------|
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.9 | Elect Paul S. Otellini | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.10 | Elect K. Ram Shriram | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 1.11 | Elect Shirley M. Tilghman | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 3 | Amendment to the 2012 Stock Plan | Mgmt | For |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 4 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 5 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 6 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHoldr | Against |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 7 | Shareholder Proposal Regarding Renewable Energy Cost Report | ShrHoldr | Against |
| Google Inc. | GOOG | 38259P508 | 6/3/2015 | 8 | Shareholder Proposal Regarding Climate Change Policy Risk | ShrHoldr | Against |
| Guggenheim Enhanced Equity Strategy Fund | GGE | 40167K100 | 4/29/2015 | 1 | Elect Dr. Jerry B. Farley | Mgmt | For |
| Guggenheim Enhanced Equity Strategy Fund | GGE | 40167K100 | 4/29/2015 | 2 | Elect Roman Friedrich III | Mgmt | For |
| Guggenheim Enhanced Equity Strategy Fund | GGE | 40167K100 | 4/29/2015 | 3 | Elect Ronald A. Nyberg | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 1 | Elect Marc L. Andreessen | Mgmt | For |

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|------------------------------|-----|-----------|-----------|----|--|----------|---------|
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 2 | Elect Shumeet Banerji | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 3 | Elect Robert R. Bennett | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 4 | Elect Rajiv L. Gupta | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 5 | Elect Klaus Kleinfeld | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 6 | Elect Raymond J. Lane | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 7 | Elect Ann M. Livermore | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 8 | Elect Raymond E. Ozzie | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 9 | Elect Gary M. Reiner | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 10 | Elect Patricia F. Russo | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 11 | Elect James A. Skinner | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 12 | Elect Margaret C. Whitman | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Hewlett-Packard Company | HPQ | 428236103 | 3/18/2015 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 1 | Elect William S. Ayer | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 2 | Elect Gordon M. Bethune | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 3 | Elect Kevin Burke | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 4 | Elect Jaime Chico Pardo | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 5 | Elect David M. Cote | Mgmt | For |

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|------------------------------|------|-----------|-----------|----|--|----------|---------|
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 6 | Elect D. Scott Davis | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 7 | Elect Linnet F. Deily | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 8 | Elect Judd Gregg | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 9 | Elect Clive Hollick | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 10 | Elect Grace D. Lieblein | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 11 | Elect George Paz | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 12 | Elect Bradley T. Sheares | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 13 | Elect Robin L. Washington | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 17 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Honeywell International Inc. | HON | 438516106 | 4/27/2015 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 1 | Elect Charlene Barshefsky | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 2 | Elect Aneel Bhusri | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 3 | Elect Andy D. Bryant | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 4 | Elect Susan L. Decker | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 5 | Elect John J. Donahoe | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 6 | Elect Reed E. Hundt | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 7 | Elect Brian M. Krzanich | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 8 | Elect James D. Plummer | Mgmt | For |

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|---|------|-----------|-----------|----|---|----------|---------|
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 9 | Elect David S. Pottruck | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 10 | Elect Frank D. Yeary | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 11 | Elect David B. Yoffie | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 14 | Amendment to the 2006 Equity Incentive Plan | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 15 | Amendment to the 2006 Stock Purchase Plan | Mgmt | For |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 16 | Stockholder Proposal Regarding Holy Land Principles | ShrHoldr | Against |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 17 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Intel Corporation | INTC | 458140100 | 5/21/2015 | 18 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 1 | Elect Alain J. P. Belda | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 2 | Elect William R. Brody | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 3 | Elect Kenneth I. Chenault | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 4 | Elect Michael L. Eskew | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 5 | Elect David N. Farr | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 6 | Elect Alex Gorsky | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 7 | Elect Shirley Ann Jackson | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 8 | Elect Andrew N. Liveris | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 9 | Elect W. James McNerney, Jr. | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 10 | Elect James W. Owens | Mgmt | For |

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|---|-----|-----------|-----------|----|--|----------|---------|
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 11 | Elect Virginia M. Rometty | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 12 | Elect Joan E. Spero | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 13 | Elect Sidney Taurel | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 14 | Elect Peter R. Voser | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 15 | Ratification of Auditor | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 18 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 19 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| International Business Machines Corporation | IBM | 459200101 | 4/28/2015 | 20 | Shareholder Proposal Regarding Formation of a Public Policy Committee of the Board | ShrHoldr | Against |
| International Paper Company | IP | 460146103 | 5/11/2015 | 1 | Elect David J. Bronczek | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 2 | Elect William J. Burns | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 3 | Elect Ahmet C. Dorduncu | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 4 | Elect Ilene S. Gordon | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 5 | Elect Jay L. Johnson | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 6 | Elect Stacey J. Mobley | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 7 | Elect Joan E. Spero | Mgmt | For |

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|------------------------------|-----|-----------|-----------|----|---|----------|---------|
| International Paper Company | IP | 460146103 | 5/11/2015 | 8 | Elect Mark S. Sutton | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 9 | Elect John L. Townsend, III | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 10 | Elect William G. Walter | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 11 | Elect J. Steven Whisler | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 12 | Elect Ray G. Young | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 13 | Ratification of Auditor | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| International Paper Company | IP | 460146103 | 5/11/2015 | 15 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 1 | Elect Albert R. Dowden | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 2 | Elect Prema Mathai-Davis | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 3 | Elect Raymond Stickel, Jr. | Mgmt | For |
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 4 | Elect David C. Arch | Mgmt | For |
| | VLT | 46131H107 | 8/29/2014 | 5 | Elect Larry Soll | Mgmt | For |

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Invesco High Income
Trust II

| | | | | | | | |
|---------------------------------|-----|-----------|-----------|---|------------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 6 | Elect Philip A. Taylor | Mgmt | For |
|---------------------------------|-----|-----------|-----------|---|------------------------|------|-----|

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|---------------------------------|-----|-----------|-----------|---|-----------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 7 | Elect Suzanne Woolsey | Mgmt | For |
|---------------------------------|-----|-----------|-----------|---|-----------------------|------|-----|

| | | | | | | | |
|---------------------------------|-----|-----------|-----------|---|----------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 8 | Elect James T. Bunch | Mgmt | For |
|---------------------------------|-----|-----------|-----------|---|----------------------|------|-----|

| | | | | | | | |
|---------------------------------|-----|-----------|-----------|---|-------------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 9 | Elect Bruce L. Crockett | Mgmt | For |
|---------------------------------|-----|-----------|-----------|---|-------------------------|------|-----|

| | | | | | | | |
|---------------------------------|-----|-----------|-----------|----|-----------------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 10 | Elect Rodney F. Dammeyer | Mgmt | For |
|---------------------------------|-----|-----------|-----------|----|-----------------------------|------|-----|

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|---------------------------------|-----|-----------|-----------|----|---------------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 11 | Elect Jack M. Fields, Jr. | Mgmt | For |
|---------------------------------|-----|-----------|-----------|----|---------------------------|------|-----|

| | | | | | | | |
|---------------------------------|-----|-----------|-----------|----|--------------------------|------|-----|
| Invesco High Income Trust II | VLT | 46131H107 | 8/29/2014 | 12 | Elect Martin L. Flanagan | Mgmt | For |
|---------------------------------|-----|-----------|-----------|----|--------------------------|------|-----|

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|-------------------------------------|-----|-----------|-----------|---|----------------------------|------|-----|
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 1 | Elect Albert R. Dowden | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 2 | Elect Prema Mathai-Davis | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 3 | Elect Raymond Stickel, Jr. | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 4 | Elect David C. Arch | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 5 | Elect Larry Soll | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 6 | Elect Philip A. Taylor | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 7 | Elect Suzanne Woolsey | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 8 | Elect James T. Bunch | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 9 | Elect Bruce L. Crockett | Mgmt | For |

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| | | | | | | | |
|-------------------------------------|-----|-----------|-----------|----|----------------------------|------|-----|
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 10 | Elect Rodney F. Dammeyer | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 11 | Elect Jack M. Fields, Jr. | Mgmt | For |
| Invesco Municipal Opportunity Trust | VMO | 46132C107 | 8/29/2014 | 12 | Elect Martin L. Flanagan | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 1 | Elect Albert R. Dowden | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 2 | Elect Prema Mathai-Davis | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 3 | Elect Raymond Stickel, Jr. | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 4 | Elect David C. Arch | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 5 | Elect Larry Soll | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 6 | Elect Philip A. Taylor | Mgmt | For |

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|---|-----|-----------|-----------|-----|---------------------------|------|-----|
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 7 | Elect Suzanne Woolsey | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 8 | Elect James T. Bunch | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 9 | Elect Bruce L. Crockett | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 10 | Elect Rodney F. Dammeyer | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 11 | Elect Jack M. Fields, Jr. | Mgmt | For |
| Invesco Municipal Trust | VKQ | 46131J103 | 8/29/2014 | 12 | Elect Martin L. Flanagan | Mgmt | For |
| Invesco Quality Municipal Income Trust | GVT | 61745P874 | 7/1/2014 | 1.1 | Elect Frank L. Bowman | Mgmt | For |
| Invesco Quality Municipal Income Trust | GVT | 61745P874 | 7/1/2014 | 1.2 | Elect Michael Bozic | Mgmt | For |
| Invesco Quality Municipal Income Trust | GVT | 61745P874 | 7/1/2014 | 1.3 | Elect James F. Higgins | Mgmt | For |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 1/26/2015 | 1.1 | Elect Charles L. Bardelis | Mgmt | For |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 1/26/2015 | 1.2 | Elect Peter S. Burgess | Mgmt | For |
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 1/26/2015 | 1.3 | Elect Theron S. Hoffman | Mgmt | For |

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|---|-----|-----------|-----------|-----|--|----------|---------|
| John Hancock Financial Opportunities Fund | BTO | 409735206 | 1/26/2015 | 1.4 | Elect Warren A. Thomson | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 1 | Elect Mary Sue Coleman | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 2 | Elect D. Scott Davis | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 3 | Elect Ian E.L. Davis | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 4 | Elect Alex Gorsky | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 5 | Elect Susan L. Lindquist | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 6 | Elect Mark B. McClellan | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 7 | Elect Anne M. Mulcahy | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 8 | Elect William D. Perez | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 9 | Elect Charles Prince | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 10 | Elect A. Eugene Washington | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 11 | Elect Ronald A. Williams | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 14 | Shareholder Proposal Regarding Overextended Directors | ShrHoldr | Against |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 15 | Shareholder Proposal Regarding Incorporation of Values in Political Spending | ShrHoldr | Against |
| Johnson & Johnson | JNJ | 478160104 | 4/23/2015 | 16 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.1 | Elect Natalie A. Black | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.2 | Elect Raymond L. Conner | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.3 | Elect Richard Goodman | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.4 | Elect William H. Lacy | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.5 | Elect Alex A. Molinaroli | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 1.6 | Elect Mark P. Vergnano | Mgmt | For |
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 2 | Ratification of Auditor | Mgmt | For |

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|------------------------|-----|-----------|-----------|----|--|----------|---------|
| Johnson Controls, Inc. | JCI | 478366107 | 1/28/2015 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 1 | Elect Linda B. Bammann | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 2 | Elect James A. Bell | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 3 | Elect Crandall C. Bowles | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 4 | Elect Stephen B. Burke | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 5 | Elect James S. Crown | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 6 | Elect James Dimon | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 7 | Elect Timothy P. Flynn | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 8 | Elect Laban P. Jackson, Jr. | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 9 | Elect Michael A. Neal | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 10 | Elect Lee R. Raymond | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 11 | Elect William C. Weldon | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 13 | Ratification of Auditor | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 14 | Amendment to the Long-Term Incentive Plan | Mgmt | For |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 17 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 18 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 19 | Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service | ShrHoldr | Against |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/19/2015 | 20 | Shareholder Proposal | ShrHoldr | Against |

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| | | | | | Regarding Recoupment Report | | |
|------------------------|------|-----------|-----------|-----|---|----------|---------|
| Kellogg Company | K | 487836108 | 4/24/2015 | 1.1 | Elect Benjamin S. Carson, Sr. | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 1.2 | Elect John T. Dillon | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 1.3 | Elect Zachary Gund | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 1.4 | Elect James M. Jenness | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 1.5 | Elect Donald R. Knauss | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 3 | Ratification of Auditor | Mgmt | For |
| Kellogg Company | K | 487836108 | 4/24/2015 | 4 | Shareholder Proposal Regarding Simple Majority Vote | ShrHoldr | Against |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 1 | Elect Abelardo E. Bru | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 2 | Elect John T. Cahill | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 3 | Elect L. Kevin Cox | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 4 | Elect Myra M. Hart | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 5 | Elect Peter B. Henry | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 6 | Elect Jeanne P. Jackson | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 7 | Elect Terry J. Lundgren | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 8 | Elect Mackey J. McDonald | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 9 | Elect John C. Pope | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 10 | Elect E. Follin Smith | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 13 | Shareholder Proposal Regarding Risks of Sourcing Eggs from Caged Hens | ShrHoldr | Against |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 14 | Shareholder Proposal Regarding Sustainable Forestry Report | ShrHoldr | Against |
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 15 | Shareholder Proposal Regarding Reporting on | ShrHoldr | Against |

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|-------------------------------------|------|-----------|-----------|-----|--|----------|---------|
| Kraft Foods Group Inc. | KRFT | 50076Q106 | 5/5/2015 | 16 | the Use of Non-Recyclable Packaging Shareholder Proposal Related to Sustainability Report | ShrHoldr | Against |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 1 | Elect Nora. A. Aufreiter | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 2 | Elect Robert D. Beyer | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 3 | Elect Susan J. Kropf | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 4 | Elect David B. Lewis | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 5 | Elect W. Rodney McMullen | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 6 | Elect Jorge P. Montoya | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 7 | Elect Clyde R. Moore | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 8 | Elect Susan M. Phillips | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 9 | Elect James A. Runde | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 10 | Elect Ronald L. Sargent | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 11 | Elect Bobby S. Shackouls | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 14 | Shareholder Proposal Regarding Human Rights Report | ShrHoldr | Against |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 15 | Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging | ShrHoldr | Against |
| Kroger Co. | KR | 501044101 | 6/25/2015 | 16 | Shareholder Proposal Regarding Use of Antibiotics | ShrHoldr | Against |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.1 | Elect Gerald Hellerman | Mgmt | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.2 | Elect Andrew Dakos | Mgmt | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.3 | Elect Richard Cohen | Mgmt | N/A |

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| | | | | | | | |
|-------------------------------------|-----|-----------|-----------|-----|---|----------|---------|
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 2 | Shareholder Proposal to Authorize a Self-Tender for the Fund's Common Shares | ShrHoldr | N/A |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.1 | Elect Robert Agdern | Mgmt | For |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.2 | Elect William R. Hutchinson | Mgmt | For |
| LMP Real Estate Income Fund Inc. | RIT | 50208C108 | 6/16/2015 | 1.3 | Elect Eileen A. Kamerick | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 1 | Elect Daniel F. Akerson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 2 | Elect Nolan D. Archibald | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 3 | Elect Rosalind G. Brewer | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 4 | Elect David B. Burritt | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 5 | Elect James O. Ellis, Jr. | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 6 | Elect Thomas J. Falk | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 7 | Elect Marillyn A. Hewson | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 8 | Elect Gwendolyn S. King | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 9 | Elect James M. Loy | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 10 | Elect Joseph W. Ralston | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 11 | Elect Anne L. Stevens | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| | LMT | 539830109 | 4/23/2015 | 14 | | ShrHoldr | Against |

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|--------------------------------|-----|-----------|-----------|------|--|--|----------|---------|
| Lockheed Martin Corporation | | | | | | Shareholder Proposal Regarding Right to Act by Written Consent | | |
| Lockheed Martin Corporation | LMT | 539830109 | 4/23/2015 | 15 | | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.1 | | Elect Raul Alvarez | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.2 | | Elect David W. Bernauer | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.3 | | Elect Angela F. Braly | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.4 | | Elect Laurie Z. Douglas | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.5 | | Elect Richard W. Dreiling | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.6 | | Elect Robert L. Johnson | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.7 | | Elect Marshall O. Larsen | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.8 | | Elect Richard K. Lochridge | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.9 | | Elect James H Morgan | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.10 | | Elect Robert A. Niblock | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 1.11 | | Elect Eric C. Wiseman | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 2 | | Advisory Vote on Executive Compensation | Mgmt | For |
| Lowe's Companies, Inc. | LOW | 548661107 | 5/29/2015 | 3 | | Ratification of Auditor | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 1 | | Elect Robin Buchanan | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 2 | | Elect Stephen F. Cooper | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 3 | | Elect Isabella D. Goren | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 4 | | Elect Robert G. Gwin | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 5 | | Elect Kevin W. Brown | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 6 | | Elect Jeffrey A. Kaplan | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 7 | | Adoption of Dutch Statutory Annual Accounts for 2014 | Mgmt | For |
| | LYB | N53745100 | 5/6/2015 | 8 | | | Mgmt | For |

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|--|-----|-----------|-----------|-----|--|---|------|-----|
| LyondellBasell Industries N.V. | | | | | | DISCHARGE FROM LIABILITY OF MEMBERS OF THE MANAGEMENT BOARD | | |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 9 | | DISCHARGE FROM LIABILITY OF MEMBERS OF THE SUPERVISORY BOARD | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 10 | | Appointment of Auditor | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 11 | | Appointment of PriceWaterhouseCoopers Accountants N.V. as the Auditor for the Dutch Annual Accounts | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 12 | | Allocation of Dividends | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 13 | | Advisory Vote Approving Executive Compensation | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 14 | | Authority to Issue Shares | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 15 | | Suppression of Preemptive Rights | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 16 | | Amendment to the 2012 Global Employee Stock Purchase Plan | Mgmt | For |
| LyondellBasell Industries N.V. | LYB | N53745100 | 5/6/2015 | 17 | | Authority of Management Board to Repurchase Shares | Mgmt | For |
| Macquarie-First Tr. Global Inf. Utilities Div. & Income Fund | MFD | 55607W100 | 4/20/2015 | 1.1 | | Elect Richard E. Erickson | Mgmt | For |
| Macquarie-First Tr. Global Inf. Utilities Div. & Income Fund | MFD | 55607W100 | 4/20/2015 | 1.2 | | Elect Thomas R. Kadlec | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 1 | | Elect Stephen F. Bollenbach | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 2 | | Elect John A. Bryant | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 3 | | Elect Deirdre P. Connelly | Mgmt | For |

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|----------------------|-----|-----------|-----------|----|--|----------|---------|
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 4 | Elect Meyer Feldberg | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 5 | Elect Leslie D. Hale | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 6 | Elect Sara L. Levinson | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 7 | Elect Terry J. Lundgren | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 8 | Elect Joseph Neubauer | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 9 | Elect Joyce M. Roche | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 10 | Elect Paul C. Varga | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 11 | Elect Craig E. Weatherup | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 12 | Elect Marna C. Whittington | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 13 | Elect Annie A. Young-Scriver | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Macy's Inc. | M | 55616P104 | 5/15/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 1 | Elect Andy D. Bryant | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 2 | Elect Wayne A. Budd | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 3 | Elect N. Anthony Coles | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 4 | Elect John H. Hammergren | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 5 | Elect Alton F. Irby III | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 6 | Elect M. Christine Jacobs | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 7 | Elect Marie L. Knowles | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 8 | Elect David M. Lawrence | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 9 | Elect Edward A. Mueller | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 10 | Ratification of Auditor | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 12 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 13 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Against |
| Mckesson Corporation | MCK | 58155Q103 | 7/30/2014 | 14 | | ShrHoldr | Against |

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| | | | | | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | | |
|-------------------|-----|-----------|-----------|----|--|----------|---------|
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 1 | Elect Leslie A. Brun | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 2 | Elect Thomas R. Cech | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 3 | Elect Kenneth C. Frazier | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 4 | Elect Thomas H. Glocer | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 5 | Elect William B. Harrison, Jr. | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 6 | Elect C. Robert Kidder | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 7 | Elect Rochelle B. Lazarus | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 8 | Elect Carlos E. Represas | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 9 | Elect Patricia F. Russo | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 10 | Elect Craig B. Thompson | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 11 | Elect Wendell P. Weeks | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 12 | Elect Peter C. Wendell | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 15 | Amendment to the 2010 Incentive Plan | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 16 | Amendment to the Executive Incentive Plan | Mgmt | For |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 17 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Merck & Co., Inc. | MRK | 58933Y105 | 5/26/2015 | 18 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 1 | Elect Cheryl W. Grise | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 2 | Elect Carlos M. Gutierrez | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 3 | Elect R. Glenn Hubbard | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 4 | Elect Steven A. Kandarian | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 5 | Elect Alfred F. Kelly, Jr. | Mgmt | For |

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|---------------------------------|-----|-----------|-----------|-----|--|------|-----|
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 6 | Elect Edward J. Kelly, III | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 7 | Elect William E. Kennard | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 8 | Elect James M. Kilts | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 9 | Elect Catherine R. Kinney | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 10 | Elect Denise M. Morrison | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 11 | Elect Kenton J. Sicchitano | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 12 | Elect Lulu C. Wang | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 13 | Elimination of Supermajority Requirement | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 14 | Elimination of Supermajority Requirement | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 15 | Ratification of Auditor | Mgmt | For |
| MetLife, Inc. | MET | 59156R108 | 4/28/2015 | 16 | Advisory Vote on Executive Compensation | Mgmt | For |
| Mexico Fund Inc | MXF | 592835102 | 3/5/2015 | 1.1 | Elect Marc J. Shapiro | Mgmt | For |
| Mexico Fund Inc | MXF | 592835102 | 3/5/2015 | 1.2 | Elect Jaime Serra Puche | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/2/2014 | 1.1 | Elect Steven E. Buller | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/2/2014 | 1.2 | Elect William R. Gutow | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/2/2014 | 1.3 | Elect Michael Hegarty | Mgmt | For |
| MFS High Income Municipal Trust | CXE | 59318D104 | 10/2/2014 | 1.4 | Elect Robert W. Uek | Mgmt | For |
| MFS Municipal Income Trust | MFM | 552738106 | 10/2/2014 | 1.1 | Elect Steven E. Buller | Mgmt | For |
| | MFM | 552738106 | 10/2/2014 | 1.2 | Elect William R. Gutow | Mgmt | For |

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MFS Municipal Income Trust

| | | | | | | | |
|-----------------------------|------|-----------|-----------|-----|--|----------|---------|
| MFS Municipal Income Trust | MFM | 552738106 | 10/2/2014 | 1.3 | Elect Michael Hegarty | Mgmt | For |
| MFS Municipal Income Trust | MFM | 552738106 | 10/2/2014 | 1.4 | Elect Robert W. Uek | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 1 | Elect Stephen F. Bollenbach | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 2 | Elect Lewis W.K. Booth | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 3 | Elect Lois D. Juliber | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 4 | Elect Mark D. Ketchum | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 5 | Elect Jorge S. Mesquita | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 6 | Elect Joseph Neubauer | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 7 | Elect Nelson Peltz | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 8 | Elect Fredric G. Reynolds | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 9 | Elect Irene B. Rosenfeld | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 10 | Elect Patrick Siewert | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 11 | Elect Ruth J. Simmons | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 12 | Elect Jean-Francois M. L. van Boxmeer | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Mondelez International Inc. | MDLZ | 609207105 | 5/20/2015 | 15 | Shareholder Proposal Regarding Reporting on the Use of | ShrHoldr | Against |

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|--|-----|-----------|-----------|-----|--|-----------------------------|---------|--|
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 1 | Elect Erskine B. Bowles | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 2 | Elect Thomas H. Glocer | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 3 | Elect James P. Gorman | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 4 | Elect Robert H. Herz | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 5 | Elect Klaus Kleinfeld | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 6 | Elect Jami Miscik | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 7 | Elect Donald T. Nicolaisen | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 8 | Elect Hutham S. Olayan | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 9 | Elect James W. Owens | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 10 | Elect Ryosuke Tamakoshi | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 11 | Elect Masaaki Tanaka | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 12 | Elect Perry M. Traquina | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 13 | Elect Laura D'Andrea Tyson | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 14 | Elect Rayford Wilkins, Jr. | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 15 | Ratification of Auditor | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 16 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 17 | Amendment to the 2007 Incentive Plan | Mgmt | For | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 19 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against | |
| Morgan Stanley | MS | 617446448 | 5/19/2015 | 20 | Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service | ShrHoldr | Against | |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/30/2015 | 1.1 | Elect Michael Bozic | Mgmt | For | |

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|---|-----|-----------|-----------|-----|--|------|-----|
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/30/2015 | 1.2 | Elect Nancy C. Everett | Mgmt | For |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/30/2015 | 1.3 | Elect Michael F. Klein | Mgmt | For |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/30/2015 | 1.4 | Elect W. Allen Reed | Mgmt | For |
| Morgan Stanley Eastern Europe Fund, Inc. | RNE | 616988101 | 2/23/2015 | 1 | Liquidation of the Fund | Mgmt | For |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 7/1/2014 | 1.1 | Elect Ravindranath Santosh Kumar Hazareesing | Mgmt | For |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 7/1/2014 | 1.2 | Elect Mamode Izam Nathadkhan | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 9/17/2014 | 1.1 | Elect Candace L. Straight | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 9/17/2014 | 1.2 | Elect Martha C. Goss | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 9/17/2014 | 1.3 | Elect Joseph V. Amato | Mgmt | For |
| Neuberger Berman High Yield Strategies Fund | NHS | 64128C106 | 9/17/2014 | 1.4 | Elect Faith Colish | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/17/2014 | 1.1 | Elect Candace L. Straight | Mgmt | For |
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/17/2014 | 1.2 | Elect Martha C. Goss | Mgmt | For |
| Neuberger Berman Real Estate Securities | NRO | 64190A103 | 9/17/2014 | 1.3 | Elect Joseph V. Amato | Mgmt | For |

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Income Fund Inc

| | | | | | | | |
|---|-----|-----------|------------|-----|---|------|-----|
| Neuberger Berman Real Estate Securities Income Fund Inc | NRO | 64190A103 | 9/17/2014 | 1.4 | Elect Faith Colish | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 1 | Elect Bruce R. Brook | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 2 | Elect J. Kofi Bucknor | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 3 | Elect Vincent A. Calarco | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 4 | Elect Alberto Calderon | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 5 | Elect Joseph A. Carrabba | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 6 | Elect Noreen Doyle | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 7 | Elect Gary J. Goldberg | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 8 | Elect Veronica M. Hagen | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 9 | Elect Jane Nelson | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 10 | Ratification of Auditor | Mgmt | For |
| Newmont Mining Corporation | NEM | 651639106 | 4/22/2015 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 1 | Elect K. Rupert Murdoch | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 2 | Elect Lachlan K. Murdoch | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 3 | Elect Robert J. Thomson | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 4 | Elect Jose Maria Aznar | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 5 | Elect Natalie Bancroft | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 6 | Elect Peter L. Barnes | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 7 | Elect Elaine L. Chao | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 8 | Elect John Elkann | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 9 | Elect Joel I. Klein | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 10 | Elect James Murdoch | Mgmt | For |

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|------------------------------|-----|-----------|------------|----|---|----------|---------|
| News Corp. | NWS | 65249B208 | 11/13/2014 | 11 | Elect Ana Paula Pessoa | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 12 | Elect Masroor T. Siddiqui | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 13 | Ratification of Auditor | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt | 1 Year |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 16 | Approval of Material Terms of the 2013 Long-Term Incentive Plan | Mgmt | For |
| News Corp. | NWS | 65249B208 | 11/13/2014 | 17 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Against |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 1 | Elect Thomas D. Bell, Jr. | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 2 | Elect Erskine B. Bowles | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 3 | Elect Robert A. Bradway | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 4 | Elect Wesley G. Bush | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 5 | Elect Daniel A. Carp | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 6 | Elect Karen N. Horn | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 7 | Elect Steven F. Leer | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 8 | Elect Michael D. Lockhart | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 9 | Elect Amy E. Miles | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 10 | Elect Charles W. Moorman, IV | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 11 | Elect Martin H. Nesbitt | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 12 | Elect James A Squires | Mgmt | For |

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|------------------------------|-----|-----------|-----------|----|--|------|-----|
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 13 | Elect John R. Thompson | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 16 | Amendment to Executive Management Incentive Plan | Mgmt | For |
| Norfolk Southern Corporation | NSC | 655844108 | 5/14/2015 | 17 | Amendment to Long Term Incentive Plan | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 1 | Elect Wesley G. Bush | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 2 | Elect Marianne C. Brown | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 3 | Elect Victor H. Fazio | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 4 | Elect Donald E. Felsing | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 5 | Elect Bruce S. Gordon | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 6 | Elect William H. Hernandez | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 7 | Elect Madeleine A. Kleiner | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 8 | Elect Karl J. Krapek | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 9 | Elect Richard B. Myers | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 10 | Elect Gary Roughead | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 11 | Elect Thomas M. Schoewe | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 12 | Elect James S. Turley | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 14 | Amendment to the 2011 Long-Term Incentive Stock Plan | Mgmt | For |

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|---|-----|-----------|-----------|-----|---|----------|---------|
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 15 | Ratification of Auditor | Mgmt | For |
| Northrop Grumman Corporation | NOC | 666807102 | 5/20/2015 | 16 | Shareholder Regarding Independent Board Chairman | ShrHoldr | Against |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 3/26/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 3/26/2015 | 1.2 | Elect William J. Schneider | Mgmt | For |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 11/7/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 11/7/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Credit Strategies Income Fund | JQC | 67073D102 | 11/7/2014 | 3.1 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 3 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income | JDD | 6706EP105 | 8/5/2014 | 4 | Approval of a New Investment Subadvisory | Mgmt | For |

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| Fund | | | | | Agreements | | |
|---|-----|-----------|----------|-----|---|------|-----|
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 5 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 6.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 6.2 | Elect David J. Kundert | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 6.3 | Elect John K. Nelson | Mgmt | For |
| Nuveen Diversified Dividend and Income Fund | JDD | 6706EP105 | 8/5/2014 | 6.4 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/5/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/5/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/5/2014 | 3.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/5/2014 | 3.2 | Elect David J. Kundert | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/5/2014 | 3.3 | Elect John K. Nelson | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NXZ | 67070F100 | 8/5/2014 | 3.4 | Elect Terence J. Toth | Mgmt | For |
| | NZF | 67070X101 | 8/5/2014 | 1 | | Mgmt | For |

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|---|-----|-----------|----------|-----|--|---|------|-----|
| Nuveen Dividend Advantage Municipal Fund | | | | | | Approval of a New Investment Management Agreements | | |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2014 | 2 | | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2014 | 3.1 | | Elect William Adams, IV | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2014 | 3.2 | | Elect David J. Kundert | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2014 | 3.3 | | Elect John K. Nelson | Mgmt | For |
| Nuveen Dividend Advantage Municipal Fund | NZF | 67070X101 | 8/5/2014 | 3.4 | | Elect Terence J. Toth | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 1 | | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 2 | | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 3.1 | | Elect William Adams, IV | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 3.2 | | Elect David J. Kundert | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 3.3 | | Elect John K. Nelson | Mgmt | For |
| Nuveen Dividend Advantage Municipal Income Fund | NVG | 67071L106 | 8/5/2014 | 3.4 | | Elect Terence J. Toth | Mgmt | For |

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|--|-----|-----------|-----------|-----|---|------|-----|
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 3/26/2015 | 1.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 3/26/2015 | 1.2 | Elect David J. Kundert | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 3/26/2015 | 1.3 | Elect John K. Nelson | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 3/26/2015 | 1.4 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 3/26/2015 | 1.5 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 3/26/2015 | 1.6 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 11/7/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 11/7/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 11/7/2014 | 3.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 11/7/2014 | 3.2 | Elect John K. Nelson | Mgmt | For |
| Nuveen Floating Rate Income Opportunity Fund | JRO | 6706EN100 | 11/7/2014 | 3.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 3/26/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 3/26/2015 | 1.2 | Elect William J. Schneider | Mgmt | For |

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|--|-----|-----------|-----------|-----|---|------|-----|
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 8/5/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 8/5/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 8/5/2014 | 3.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 8/5/2014 | 3.2 | Elect David J. Kundert | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 8/5/2014 | 3.3 | Elect John K. Nelson | Mgmt | For |
| Nuveen Global Equity Income Fund | JGV | 6706EH103 | 8/5/2014 | 3.4 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 3/26/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 3/26/2015 | 1.2 | Elect William J. Schneider | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 11/7/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 11/7/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |

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| Nuveen Quality Preferred Income Fund 3 | JHP | 67072W101 | 11/7/2014 | 3.1 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 3/26/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 3/26/2015 | 1.2 | Elect William J. Schneider | Mgmt | For |
| Nuveen Real Estate Income Fund. | JRS | 67071B108 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JSD | 67074X107 | 8/5/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JSD | 67074X107 | 8/5/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JSD | 67074X107 | 8/5/2014 | 3.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JSD | 67074X107 | 8/5/2014 | 3.2 | Elect John K. Nelson | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JSD | 67074X107 | 8/5/2014 | 3.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 1 | Approval of a New Investment Management Agreements | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 2 | Approval of a New Investment Subadvisory Agreements | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 3.1 | Elect William Adams, IV | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 3.2 | Elect David J. Kundert | Mgmt | For |

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| Nuveen Tax-Advantaged Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 3.3 | Elect John K. Nelson | Mgmt | For |
| Nuveen Tax-Advantaged Dividend Growth Fund | JMF | 67074U103 | 8/5/2014 | 3.4 | Elect Terence J. Toth | Mgmt | For |
| Nuveen Tax-Advantaged Total Return Strategy Fund | JTA | 67090H102 | 3/26/2015 | 1.1 | Elect Jack B. Evans | Mgmt | For |
| Nuveen Tax-Advantaged Total Return Strategy Fund | JTA | 67090H102 | 3/26/2015 | 1.2 | Elect William J. Schneider | Mgmt | For |
| Nuveen Tax-Advantaged Total Return Strategy Fund | JTA | 67090H102 | 3/26/2015 | 1.3 | Elect Thomas S. Schreier, Jr. | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.1 | Elect Jeffrey S. Berg | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.2 | Elect H. Raymond Bingham | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.3 | Elect Michael J. Boskin | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.4 | Elect Safra A. Catz | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.5 | Elect Bruce R. Chizen | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.6 | Elect George H. Conrades | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.7 | Elect Lawrence J. Ellison | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.8 | Elect Hector Garcia-Molina | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.9 | Elect Jeffrey O. Henley | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.10 | Elect Mark V. Hurd | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 1.11 | Elect Naomi O. Seligman | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 3 | Ratification of Auditor | Mgmt | For |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 4 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 5 | Shareholder Proposal Regarding the Use of Metrics for Executive Performance Measures | ShrHoldr | Against |

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|--------------------|------|-----------|-----------|----|--|----------|---------|
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 6 | Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans | ShrHoldr | Against |
| Oracle Corporation | ORCL | 68389X105 | 11/5/2014 | 7 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 1 | Elect Lewis Chew | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 2 | Elect Anthony F. Earley, Jr. | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 3 | Elect Fred J. Fowler | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 4 | Elect Maryellen C. Herringer | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 5 | Elect Richard C. Kelly | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 6 | Elect Roger H. Kimmel | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 7 | Elect Richard A. Meserve | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 8 | Elect Forrest E. Miller | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 9 | Elect Rosendo G. Parra | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 10 | Elect Barbara L. Rambo | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 11 | Elect Anne Shen Smith | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 12 | Elect Barry Lawson Williams | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 13 | Ratification of Auditor | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| PG&E Corporation | PCG | 69331C108 | 5/4/2015 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 1 | Elect J. Brian Ferguson | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 2 | Elect Harold W. McGraw III | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 3 | Elect Victoria J. Tschinkel | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 4 | Ratification of Auditor | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |

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|-----------------------------------|-----|-----------|-----------|-----|--|----------|---------|
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 6 | Repeal of Classified Board | Mgmt | For |
| Phillips 66 | PSX | 718546104 | 5/6/2015 | 7 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Against |
| Pioneer Floating Rate Trust | PHD | 72369J102 | 9/23/2014 | 1.1 | Elect Benjamin M. Friedman | Mgmt | For |
| Pioneer Floating Rate Trust | PHD | 72369J102 | 9/23/2014 | 1.2 | Elect Margaret B. Graham | Mgmt | For |
| Pioneer Floating Rate Trust | PHD | 72369J102 | 9/23/2014 | 1.3 | Elect Kenneth J. Taubes | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 1 | Elect Charles E. Bunch | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 2 | Elect Paul W. Chellgren | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 3 | Elect Marjorie Rodgers Cheshire | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 4 | Elect William S. Demchak | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 5 | Elect Andrew T. Feldstein | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 6 | Elect Kay Coles James | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 7 | Elect Richard B. Kelson | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 8 | Elect Anthony A. Massaro | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 9 | Elect Jane G. Pepper | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 10 | Elect Donald J. Shepard | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 11 | Elect Lorene K. Steffes | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 12 | Elect Dennis F. Strigl | Mgmt | For |
| | PNC | 693475105 | 4/28/2015 | 13 | Elect Thomas J. Usher | Mgmt | For |

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PNC Financial Services
Group Inc.

| | | | | | | | |
|--------------------------------------|-----|-----------|-----------|-----|--|------|-----|
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 14 | Ratification of Auditor | Mgmt | For |
| PNC Financial Services Group Inc. | PNC | 693475105 | 4/28/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 1.1 | Elect Charles E. Bunch | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 1.2 | Elect Michael W. Lamach | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 1.3 | Elect Martin H. Richenhagen | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 1.4 | Elect Thomas J. Usher | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 2 | Advisory Vote on Executive Compensation | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 3 | Elimination of Supermajority Requirement | Mgmt | For |
| PPG Industries, Inc. | PPG | 693506107 | 4/16/2015 | 4 | Ratification of Auditor | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 1 | Elect Rodney C. Adkins | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 2 | Elect Frederick M. Bernthal | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 3 | Elect John W. Conway | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 4 | Elect Philip G. Cox | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 5 | Elect Steven G. Elliott | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 6 | Elect Louise K. Goeser | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 7 | Elect Stuart E. Graham | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 8 | Elect Raja Rajamannar | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 9 | Elect Craig A. Rogerson | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 10 | Elect William H. Spence | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 11 | Elect Natica von Althann | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 12 | Elect Keith H. Williamson | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 13 | Elect Armando Zagalo De Lima | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 14 | Amendment of Company's Articles to Permit Shareholders to Call Special Meetings | Mgmt | For |

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|---------------------------|-----|-----------|-----------|----|--|----------|---------|
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 15 | Advisory Vote on Executive Compensation | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 16 | Ratification of Auditor | Mgmt | For |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 17 | Shareholder Proposal Regarding Political Contributions and Expenditure Report | ShrHoldr | Against |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 18 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 19 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| PPL Corporation | PPL | 69351T106 | 5/20/2015 | 20 | Shareholder Proposal Regarding Report on Greenhouse Gas Emissions Reductions Scenarios | ShrHoldr | Against |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 1 | Elect Stephen F. Angel | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 2 | Elect Oscar Bernardes | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 3 | Elect Nance K. Dicciani | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 4 | Elect Edward G. Galante | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 5 | Elect Ira D. Hall | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 6 | Elect Raymond W. LeBoeuf | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 7 | Elect Larry D. McVay | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 8 | Elect Denise L. Ramos | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 9 | Elect Wayne T. Smith | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 10 | Elect Robert L. Wood | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 12 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Praxair, Inc. | PX | 74005P104 | 4/28/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 1 | Elect Mark Donegan | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 2 | Elect Don R. Graber | Mgmt | For |

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|---------------------------------|-----|-----------|-----------|----|---|----------|---------|
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 3 | Elect Lester L. Lyles | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 4 | Elect Daniel J. Murphy | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 5 | Elect Vernon E. Oechsle | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 6 | Elect Rick Schmidt | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 7 | Elect Richard L. Wambold | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 8 | Elect Timothy A. Wicks | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 9 | Ratification of Auditor | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 10 | Advisory Vote on Executive Compensation | Mgmt | For |
| Precision Castparts Corp. | PCP | 740189105 | 8/12/2014 | 11 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/19/2015 | 1 | Elect Roger C. Hochschild | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/19/2015 | 2 | Elect Daniel J. Houston | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/19/2015 | 3 | Elect Elizabeth E. Tallett | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/19/2015 | 4 | Advisory Vote on Executive Compensation | Mgmt | For |
| Principal Financial Group, Inc. | PFG | 74251V102 | 5/19/2015 | 5 | Ratification of Auditor | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 1 | Elect Thomas J. Baltimore, Jr. | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 2 | Elect Gordon M. Bethune | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 3 | Elect Gilbert F. Casellas | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 4 | Elect James G. Cullen | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 5 | Elect Mark B. Grier | Mgmt | For |

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| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 6 | Elect Constance J. Horner | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 7 | Elect Martina Hund-Mejean | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 8 | Elect Karl J. Krapek | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 9 | Elect Christine A. Poon | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 10 | Elect Douglas A. Scovanner | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 11 | Elect John R. Strangfeld | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Prudential Financial, Inc. | PRU | 744320102 | 5/12/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Prudential Global Short Duration High Yield Fund Inc | GHY | 74442F107 | 3/13/2015 | 1.1 | Elect Scott E. Benjamin | Mgmt | For |
| Prudential Global Short Duration High Yield Fund Inc | GHY | 74442F107 | 3/13/2015 | 1.2 | Elect Linda W. Bynoe | Mgmt | For |
| Prudential Global Short Duration High Yield Fund Inc | GHY | 74442F107 | 3/13/2015 | 1.3 | Elect Michael S. Hyland | Mgmt | For |
| Prudential Global Short Duration High Yield Fund Inc | GHY | 74442F107 | 3/13/2015 | 1.4 | Elect James E. Quinn | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 1 | Elect Albert R. Gamper, Jr. | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 2 | Elect William V. Hickey | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 3 | Elect Ralph Izzo | Mgmt | For |

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| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 4 | Elect Shirley Ann Jackson | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 5 | Elect David Lilley | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 6 | Elect Thomas A. Renyi | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 7 | Elect Hak Cheol Shin | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 8 | Elect Richard J. Swift | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 9 | Elect Susan Tomasky | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 10 | Elect Alfred W. Zollar | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Public Service Enterprise Group Incorporated | PEG | 744573106 | 4/21/2015 | 12 | Ratification of Auditor | Mgmt | For |
| RMR Real Estate Income Fund. | RIF | 76970B101 | 4/15/2015 | 1 | Elect Jeffrey P. Somers | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 1 | Elect Peter L.S. Currie | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 2 | Elect K. Vaman Kamath | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 3 | Elect V. Maureen Kempston Darkes | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 4 | Elect Paal Kibsgaard | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 5 | Elect Nikolay Kudryavtsev | Mgmt | For |
| Schlumberger Ltd. | SLB | 806857108 | 4/8/2015 | 6 | Elect Michael E. Marks | Mgmt | For |

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(Netherlands Antilles)

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|---|-----|-----------|-----------|-----|---|------|-----|
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 7 | Elect Indra K. Nooyi | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 8 | Elect Lubna S. Olayan | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 9 | Elect Leo Rafael Reif | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 10 | Elect Tore I. Sandvold | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 11 | Elect Henri Seydoux | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 13 | Approval of Financial Statements/Dividends | Mgmt | For |
| Schlumberger Ltd. (Netherlands Antilles) | SLB | 806857108 | 4/8/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Source Capital, Inc. | SOR | 836144105 | 5/11/2015 | 1.1 | Elect Eric S. Ende | Mgmt | For |
| Source Capital, Inc. | SOR | 836144105 | 5/11/2015 | 1.2 | Elect Thomas P. Merrick | Mgmt | For |
| Source Capital, Inc. | SOR | 836144105 | 5/11/2015 | 1.3 | Elect Alfred E. Osborne, Jr. | Mgmt | For |
| Source Capital, Inc. | SOR | 836144105 | 5/11/2015 | 1.4 | Elect A. Robert Pisano | Mgmt | For |
| Source Capital, Inc. | SOR | 836144105 | 5/11/2015 | 1.5 | Elect Patrick B. Purcell | Mgmt | For |
| Source Capital, Inc. | SOR | 836144105 | 5/11/2015 | 1.6 | Elect Allan M. Rudnick | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 1 | Elect Juanita Powell Baranco | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 2 | Elect Jon A. Boscia | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 3 | Elect Henry A. Clark III | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 4 | Elect Thomas A. Fanning | Mgmt | For |

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|------------------------|-----|-----------|-----------|----|--|----------|---------|
| Southern Company | SO | 842587107 | 5/27/2015 | 5 | Elect David J. Grain | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 6 | Elect Veronica M. Hagen | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 7 | Elect Warren A. Hood, Jr. | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 8 | Elect Linda P. Hudson | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 9 | Elect Donald M. James | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 10 | Elect John D. Johns | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 11 | Elect Dale E. Klein | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 12 | Elect William G. Smith, Jr. | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 13 | Elect Steven R. Specker | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 14 | Elect Larry D. Thompson | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 15 | Elect E. Jenner Wood III | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 16 | Approval of the Outside Directors Stock Plan | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 17 | Amendment to Allow Action by Written Consent of Shareholders | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 18 | Advisory Vote on Executive Compensation | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 19 | Ratification of Auditor | Mgmt | For |
| Southern Company | SO | 842587107 | 5/27/2015 | 20 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Southern Company | SO | 842587107 | 5/27/2015 | 21 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Against |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 1 | Elect John W. Brown | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 2 | Elect Daniel J. Starks | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 3 | Advisory Vote on Executive Compensation | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 4 | Approval of the Management Incentive Compensation Plan | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 5 | Repeal of Classified Board | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 6 | Ratification of Auditor | Mgmt | For |
| St. Jude Medical, Inc. | STJ | 790849103 | 5/7/2015 | 7 | | ShrHoldr | Against |

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| | | | | | Shareholder Proposal Regarding Proxy Access | | |
|-------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 1 | Elect Robert M. Beall, II | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 2 | Elect Paul R. Garcia | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 3 | Elect David H. Hughes | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 4 | Elect M. Douglas Ivester | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 5 | Elect Kyle P. Legg | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 6 | Elect William A. Linnenbringer | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 7 | Elect Donna S. Morea | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 8 | Elect David M. Ratcliffe | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 9 | Elect William H. Rogers, Jr. | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 10 | Elect Frank W. Scruggs | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 11 | Elect Thomas R. Watjen | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 12 | Elect Phail Wynn, Jr. | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Suntrust Banks, Inc. | STI | 867914103 | 4/28/2015 | 15 | Shareholder Proposal Regarding Recoupment Report | ShrHoldr | Against |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 1.1 | Elect Brian A. Berris | Mgmt | For |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 1.2 | Elect David R. Bock | Mgmt | For |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 2 | Ratification of Auditor | Mgmt | For |
| Swiss Helvetia Fund Inc | SWZ | 870875101 | 6/25/2015 | 3 | Shareholder Proposal Regarding Declassification of the Board | ShrHoldr | Against |

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|---|-----|-----------|-----------|-----|---|------|-----|
| Taiwan Fund Inc | TWN | 874036106 | 9/22/2014 | 1 | Approval of a New Investment Advisory Agreement | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.1 | Elect Harris J. Ashton | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.2 | Elect J. Michael Luttig | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.3 | Elect Larry D. Thompson | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 1.4 | Elect Constantine D. Tseretopoulos | Mgmt | For |
| Templeton Russia and East European Fund Inc | TRF | 88022F105 | 8/25/2014 | 2 | Ratification of Auditor | Mgmt | For |
| Thai Fund Inc | TTF | 882904105 | 6/30/2015 | 1.1 | Elect Michael Bozic | Mgmt | For |
| Thai Fund Inc | TTF | 882904105 | 6/30/2015 | 1.2 | Elect Nancy C. Everett | Mgmt | For |
| Thai Fund Inc | TTF | 882904105 | 6/30/2015 | 1.3 | Elect Michael F. Klein | Mgmt | For |
| Thai Fund Inc | TTF | 882904105 | 6/30/2015 | 1.4 | Elect W. Allen Reed | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 1 | Elect Ari Bousbib | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 2 | Elect Gregory D. Breneman | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 3 | Elect J. Frank Brown | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 4 | Elect Albert P. Carey | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 5 | Elect Armando M. Codina | Mgmt | For |

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| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 6 | Elect Helena B. Foulkes | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 7 | Elect Wayne M. Hewett | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 8 | Elect Karen Katen | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 9 | Elect Craig A. Menear | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 10 | Elect Mark Vadon | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 11 | Ratification of Auditor | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 13 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| The Home Depot, Inc. | HD | 437076102 | 5/21/2015 | 14 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Against |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 1 | Elect Marc N. Casper | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 2 | Elect Nelson J. Chai | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 3 | Elect C. Martin Harris | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 4 | Elect Tyler Jacks | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 5 | Elect Judy C. Lewent | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 6 | Elect Thomas J. Lynch | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 7 | Elect Jim P. Manzi | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 8 | Elect William G. Parrett | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 9 | Elect Lars R. Sorenson | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 10 | Elect Scott M. Sperling | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 11 | Elect Elaine S. Ullian | Mgmt | For |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |

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|-------------------------------|------|-----------|-----------|----|--|------|--------|
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/20/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 1 | Elect Joseph A. Ripp | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 2 | Elect David A. Bell | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 3 | Elect John M. Fahey, Jr. | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 4 | Elect Manuel A. Fernandez | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 5 | Elect Dennis J. FitzSimons | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 6 | Elect Betsy D. Holden | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 7 | Elect Kay Koplovitz | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 8 | Elect J. Randall MacDonald | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 9 | Elect Ronald S. Rolfe | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 10 | Elect Howard Stringer | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Time Inc | TIME | 887228104 | 6/5/2015 | 13 | Frequency of Advisory Vote on Executive Compensation | Mgmt | 1 Year |
| Time Inc | TIME | 887228104 | 6/5/2015 | 14 | Reapproval of the 2014 Omnibus Incentive Compensation Plan | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 10/9/2014 | 1 | Merger | Mgmt | For |
| Time Warner Cable, Inc. | TWC | 88732J207 | 10/9/2014 | 2 | Advisory Vote on Golden Parachutes | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 1 | Elect James L. Barksdale | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 2 | Elect William P. Barr | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 3 | Elect Jeffrey L. Bewkes | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 4 | Elect Stephen F. Bollenbach | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 5 | Elect Robert C. Clark | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 6 | Elect Mathias Dopfner | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 7 | Elect Jessica P. Einhorn | Mgmt | For |

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|----------------------------------|-----|-----------|-----------|-----|---|----------|---------|
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 8 | Elect Carlos M. Gutierrez | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 9 | Elect Fred Hassan | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 10 | Elect Kenneth J. Novack | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 11 | Elect Paul D. Wachter | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 12 | Elect Deborah C. Wright | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 13 | Ratification of Auditor | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 16 | Shareholder Proposal Regarding Oversight of Young People's Well Being and Family Values | ShrHoldr | Against |
| Time Warner Inc. | TWX | 887317303 | 6/19/2015 | 17 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Against |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 1 | Elect Zein Abdalla | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 2 | Elect Jose B. Alvarez | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 3 | Elect Alan M. Bennett | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 4 | Elect David T. Ching | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 5 | Elect Michael F. Hines | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 6 | Elect Amy B. Lane | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 7 | Elect Carol Meyrowitz | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 8 | Elect John F. O'Brien | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 9 | Elect Willow B. Shire | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 10 | Elect William H. Swanson | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 11 | Ratification of Auditor | Mgmt | For |
| TJX Companies, Inc. | TJX | 872540109 | 6/11/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Transamerica Income Shares, Inc. | TAI | 893506105 | 7/10/2014 | 1.1 | Elect Russell A. Kimball, Jr. | Mgmt | For |
| | TAI | 893506105 | 7/10/2014 | 1.2 | Elect Norman R. Nielsen | Mgmt | For |

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Transamerica Income
Shares, Inc.

| | | | | | | | |
|-------------------------------------|-----|-----------|-----------|-----|-----------------------|------|-----|
| Transamerica Income Shares, Inc. | TAI | 893506105 | 7/10/2014 | 1.3 | Elect Joyce G. Norden | Mgmt | For |
|-------------------------------------|-----|-----------|-----------|-----|-----------------------|------|-----|

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|-------------------------------------|-----|-----------|-----------|-----|------------------------|------|-----|
| Transamerica Income Shares, Inc. | TAI | 893506105 | 7/10/2014 | 1.4 | Elect John W. Waechter | Mgmt | For |
|-------------------------------------|-----|-----------|-----------|-----|------------------------|------|-----|

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|--------------------------------|----|-----------|-----------|-----|----------------------|------|-----|
| Tri-Continental Corporation | TY | 895436103 | 4/13/2015 | 1.1 | Elect Kathleen Blatz | Mgmt | For |
|--------------------------------|----|-----------|-----------|-----|----------------------|------|-----|

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|--------------------------------|----|-----------|-----------|-----|-------------------------|------|-----|
| Tri-Continental Corporation | TY | 895436103 | 4/13/2015 | 1.2 | Elect Pamela G. Carlton | Mgmt | For |
|--------------------------------|----|-----------|-----------|-----|-------------------------|------|-----|

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|--------------------------------|----|-----------|-----------|-----|-------------------------------|------|-----|
| Tri-Continental Corporation | TY | 895436103 | 4/13/2015 | 1.3 | Elect Alison Taunton-Rigby | Mgmt | For |
|--------------------------------|----|-----------|-----------|-----|-------------------------------|------|-----|

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|--------------------------------|----|-----------|-----------|-----|-----------------------------|------|-----|
| Tri-Continental Corporation | TY | 895436103 | 4/13/2015 | 1.4 | Elect William A. Hawkins | Mgmt | For |
|--------------------------------|----|-----------|-----------|-----|-----------------------------|------|-----|

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|--------------------------------|----|-----------|-----------|---|-------------------------|------|-----|
| Tri-Continental Corporation | TY | 895436103 | 4/13/2015 | 2 | Ratification of Auditor | Mgmt | For |
|--------------------------------|----|-----------|-----------|---|-------------------------|------|-----|

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|--------------------------------|----|-----------|-----------|---|---|----------|---------|
| Tri-Continental Corporation | TY | 895436103 | 4/13/2015 | 3 | Shareholder Proposal Regarding Self-Tender Offer or Liquidation | ShrHoldr | Against |
|--------------------------------|----|-----------|-----------|---|---|----------|---------|

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|--------------------------------|-----|-----------|-----------|-----|---------------------|------|-----|
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.1 | Elect Michael Bozic | Mgmt | For |
|--------------------------------|-----|-----------|-----------|-----|---------------------|------|-----|

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|--------------------------------|-----|-----------|-----------|-----|------------------------|------|-----|
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.2 | Elect Nancy C. Everett | Mgmt | For |
|--------------------------------|-----|-----------|-----------|-----|------------------------|------|-----|

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|--------------------------------|-----|-----------|-----------|-----|------------------------|------|-----|
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.3 | Elect Michael F. Klein | Mgmt | For |
|--------------------------------|-----|-----------|-----------|-----|------------------------|------|-----|

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|--------------------------------|-----|-----------|-----------|-----|---------------------|------|-----|
| Turkish Investment Fund Inc | TKF | 900145103 | 6/30/2015 | 1.4 | Elect W. Allen Reed | Mgmt | For |
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|--------------------------------|-----|-----------|------------|----|---|------|-----|
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 1 | Elect K. Rupert Murdoch | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 2 | Elect Lachlan K. Murdoch | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 3 | Elect Delphine Arnault | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 4 | Elect James W. Breyer | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 5 | Elect Chase Carey | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 6 | Elect David F. DeVoe | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 7 | Elect Viet D. Dinh | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 8 | Elect Roderick Ian Eddington | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 9 | Elect James Murdoch | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 10 | Elect Jacques Nasser | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 11 | Elect Robert S. Silberman | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 12 | Elect Tidjane Thiam | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 13 | Ratification of Auditor | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 14 | Advisory Vote on Executive Compensation | Mgmt | For |
| Twenty-First Century Fox, Inc. | FOX | 90130A200 | 11/12/2014 | 15 | Declaration of Domicile | Mgmt | N/A |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 1 | Elect Andrew H. Card, Jr. | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 2 | Elect Erroll B. Davis, Jr. | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 3 | Elect David B. Dillon | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 4 | Elect Lance M. Fritz | Mgmt | For |
| | UNP | 907818108 | 5/14/2015 | 5 | | Mgmt | For |

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|---------------------------|-----|-----------|-----------|----|--|---|----------|---------|
| Union Pacific Corporation | | | | | | Elect Judith Richards Hope | | |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 6 | | Elect John J. Koraleski | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 7 | | Elect Charles C. Krulak | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 8 | | Elect Michael R. McCarthy | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 9 | | Elect Michael W. McConnell | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 10 | | Elect Thomas F. McLarty III | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 11 | | Elect Steven R. Rogel | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 12 | | Elect Jose H. Villarreal | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 13 | | Ratification of Auditor | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 14 | | Advisory Vote on Executive Compensation | Mgmt | For |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 15 | | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Union Pacific Corporation | UNP | 907818108 | 5/14/2015 | 16 | | Shareholder Proposal regarding Independent Chairman | ShrHoldr | Against |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 1 | | Elect Jerry D. Choate | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 2 | | Elect Joseph W Gorder | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 3 | | Elect Deborah P. Majoras | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 4 | | Elect Donald L. Nickles | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 5 | | Elect Philip J. Pfeiffer | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 6 | | Elect Robert A. Profusek | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 7 | | Elect Susan K. Purcell | Mgmt | For |

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|-----------------------------|-----|-----------|-----------|----|---|----------|---------|
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 8 | Elect Stephen M. Waters | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 9 | Elect Randall J. Weisenburger | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 10 | Elect Rayford Wilkins Jr. | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Valero Energy Corporation | VLO | 91913Y100 | 4/30/2015 | 13 | Shareholder Proposal Regarding Greenhouse Gas Emissions Reporting and Reduction Targets | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 1 | Elect Shellye L. Archambeau | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 2 | Elect Mark T. Bertolini | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 3 | Elect Richard L. Carrion | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 4 | Elect Melanie L. Healey | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 5 | Elect M. Frances Keeth | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 6 | Elect Lowell C. McAdam | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 7 | Elect Donald T. Nicolaisen | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 8 | Elect Clarence Otis, Jr. | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 9 | Elect Rodney E. Slater | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 10 | Elect Kathryn A. Tesija | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 11 | Elect Gregory D. Wasson | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 12 | Ratification of Auditor | Mgmt | For |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For |

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|--|-----|-----------|-----------|-----|--|----------|---------|
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 14 | Shareholder Proposal Regarding Network Neutrality | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 16 | Shareholder Proposal Regarding Approval of Severance Agreements | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 17 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against |
| Verizon Communications Inc. | VZ | 92343V104 | 5/7/2015 | 18 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Against |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 44983J107 | 7/2/2014 | 1.1 | Elect J. Michael Earley | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 44983J107 | 7/2/2014 | 1.2 | Elect Patricia W. Kenny | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 44983J107 | 7/2/2014 | 1.3 | Elect Roger B. Vincent | Mgmt | For |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 44983J107 | 7/2/2014 | 1.4 | Elect Shaun P. Mathews | Mgmt | For |
| Voya Financial Inc | IAE | 92912P108 | 2/10/2015 | 1 | Approval of a New Sub-Advisory Agreement Between Voya Investments and IIMA | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014 | 1.1 | Elect J. Michael Earley | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014 | 1.2 | Elect Patricia W. Kenny | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014 | 1.3 | Elect Roger B. Vincent | Mgmt | For |
| Voya Global Equity Dividend and Premium Opportunity Fund | IHD | 45685X104 | 7/2/2014 | 1.4 | Elect Shaun P. Mathews | Mgmt | For |

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|---|-----|-----------|----------|-----|------------------------------|------|-----|
| Voya Infrastructure, Industrials and Materials Fund | IDE | 45685U100 | 7/2/2014 | 1.1 | Elect J. Michael Earley | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 45685U100 | 7/2/2014 | 1.2 | Elect Patricia W. Kenny | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 45685U100 | 7/2/2014 | 1.3 | Elect Roger B. Vincent | Mgmt | For |
| Voya Infrastructure, Industrials and Materials Fund | IDE | 45685U100 | 7/2/2014 | 1.4 | Elect Shaun P. Mathews | Mgmt | For |
| Voya Natural Resources Equity Income Fund | IRR | 449810100 | 7/2/2014 | 1.1 | Elect J. Michael Earley | Mgmt | For |
| Voya Natural Resources Equity Income Fund | IRR | 449810100 | 7/2/2014 | 1.2 | Elect Patricia W. Kenny | Mgmt | For |
| Voya Natural Resources Equity Income Fund | IRR | 449810100 | 7/2/2014 | 1.3 | Elect Roger B. Vincent | Mgmt | For |
| Voya Natural Resources Equity Income Fund | IRR | 449810100 | 7/2/2014 | 1.4 | Elect Shaun P. Mathews | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 1 | Elect Aida M. Alvarez | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 2 | Elect James I. Cash, Jr. | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 3 | Elect Roger C. Corbett | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 4 | Elect Pamela J. Craig | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 5 | Elect Michael T. Duke | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 6 | Elect Timothy P. Flynn | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 7 | Elect Thomas W. Horton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 8 | Elect Marissa A. Mayer | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 9 | Elect C. Douglas McMillon | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 10 | Elect Gregory B. Penner | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 11 | Elect Steven S. Reinemund | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 12 | Elect Kevin Y. System | Mgmt | For |

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|------------------------------|-----|-----------|-----------|----|--|----------|---------|
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 13 | Elect Jim C. Walton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 14 | Elect S. Robson Walton | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 15 | Elect Linda S. Wolf | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 16 | Ratification of Auditor | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 18 | 2015 Equity Incentive Plan | Mgmt | For |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 19 | Shareholder Proposal Regarding Recoupment Report | ShrHoldr | Against |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 20 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 21 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions in Maritime Shipping Supply Chain | ShrHoldr | Against |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 22 | Shareholder Proposal Regarding an Incentive Compensation Report | ShrHoldr | Against |
| Wal-Mart Stores Inc. | WMT | 931142103 | 6/5/2015 | 23 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 1 | Elect Janice M. Babiak | Mgmt | For |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 2 | Elect David J. Brailer | Mgmt | For |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 3 | Elect Steven A. Davis | Mgmt | For |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 4 | Elect William C. Foote | Mgmt | For |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 5 | Elect Ginger L. Graham | Mgmt | For |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 6 | Elect John A. Ledrerer | Mgmt | For |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 7 | Elect Dominic P. Murphy | Mgmt | For |
| | WBA | 931427108 | 5/28/2015 | 8 | Elect Stefano Pessina | Mgmt | For |

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| Walgreens Boots Alliance Inc | | | | | | | | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 9 | Elect Barry Rosenstein | Mgmt | For | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 10 | Elect Leonard D. Schaeffer | Mgmt | For | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 11 | Elect Nancy M. Schlichting | Mgmt | For | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 12 | Elect James A. Skinner | Mgmt | For | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 13 | Advisory Vote on Executive Compensation | Mgmt | For | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 14 | Ratification of Auditor | Mgmt | For | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 15 | Shareholder Proposal Regarding Retention of Shares Until Retirement | ShrHoldr | Against | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 16 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 17 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Against | |
| Walgreens Boots Alliance Inc | WBA | 931427108 | 5/28/2015 | 18 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHoldr | Against | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 1 | Elect Susan E. Arnold | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 2 | Elect John S. Chen | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 3 | Elect Jack Dorsey | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 4 | Elect Robert A. Iger | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 5 | Elect Fred H. Langhammer | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 6 | Elect Aylwin B. Lewis | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 7 | Elect Monica C. Lozano | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 8 | Elect Robert W. Matschullat | Mgmt | For | |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 9 | Elect Sheryl Sandberg | Mgmt | For | |

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|-----------------------|-----|-----------|-----------|----|---|----------|---------|
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 10 | Elect Orin C. Smith | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 11 | Ratification of Auditor | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 13 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Walt Disney Co. | DIS | 254687106 | 3/12/2015 | 14 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Against |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 1 | Elect John D. Baker II | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 2 | Elect Elaine L. Chao | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 3 | Elect John S. Chen | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 4 | Elect Lloyd H. Dean | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 5 | Elect Elizabeth A. Duke | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 6 | Elect Susan E. Engel | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 7 | Elect Enrique Hernandez, Jr. | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 8 | Elect Donald M. James | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 9 | Elect Cynthia H. Milligan | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 10 | Elect Federico F. Pena | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 11 | Elect James H. Quigley | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 12 | Elect Judith M. Runstad | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 13 | Elect Stephen W. Sanger | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 14 | Elect John G. Stumpf | Mgmt | For |

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| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 15 | Elect Susan G. Swenson | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 16 | Elect Suzanne M. Vautrinot | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 17 | Advisory Vote on Executive Compensation | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 18 | Ratification of Auditor | Mgmt | For |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 19 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |
| Wells Fargo & Company | WFC | 949746101 | 4/28/2015 | 20 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Against |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/26/2014 | 1.1 | Elect Eileen A. Kamerick | Mgmt | For |
| Western Asset Emerging Markets Income Fund Inc. | EMD | 95766E103 | 9/26/2014 | 1.2 | Elect Kenneth D. Fuller | Mgmt | For |
| Western Asset Global Partners Income Fund Inc | GDF | 95766G108 | 12/15/2014 | 1.1 | Elect Carol L. Colman | Mgmt | For |
| Western Asset Global Partners Income Fund Inc | GDF | 95766G108 | 12/15/2014 | 1.2 | Elect Paolo M. Cucchi | Mgmt | For |
| Western Asset Global Partners Income Fund Inc | GDF | 95766G108 | 12/15/2014 | 1.3 | Elect Leslie H. Gelb | Mgmt | For |
| Western Asset Mortgage Defined Opportunity Fund Inc. | EMO | 18469P100 | 3/20/2015 | 1.1 | Elect Robert D. Agdern | Mgmt | For |
| Western Asset Mortgage Defined Opportunity Fund Inc. | EMO | 18469P100 | 3/20/2015 | 1.2 | Elect Carol L. Colman | Mgmt | For |
| Western Asset Mortgage Defined Opportunity Fund Inc. | EMO | 18469P100 | 3/20/2015 | 1.3 | Elect Daniel P. Cronin | Mgmt | For |
| Western Asset Mortgage Defined | EMO | 18469P100 | 3/20/2015 | 1.4 | Elect Paolo M. Cucchi | Mgmt | For |

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Opportunity Fund Inc.

| | | | | | | | |
|--|-----|-----------|-----------|-----|------------------------|------|-----|
| Western Asset Mortgage Defined Opportunity Fund Inc. | CEM | 184692101 | 3/20/2015 | 1.1 | Elect Robert D. Agdern | Mgmt | For |
|--|-----|-----------|-----------|-----|------------------------|------|-----|

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|--|-----|-----------|-----------|-----|----------------------|------|-----|
| Western Asset Mortgage Defined Opportunity Fund Inc. | CEM | 184692101 | 3/20/2015 | 1.2 | Elect Leslie H. Gelb | Mgmt | For |
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|--|-----|-----------|-----------|-----|--------------------------------|------|-----|
| Western Asset Mortgage Defined Opportunity Fund Inc. | CEM | 184692101 | 3/20/2015 | 1.3 | Elect William R. Hutchinson | Mgmt | For |
|--|-----|-----------|-----------|-----|--------------------------------|------|-----|

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|--|-----|-----------|------------|-----|--------------------------|------|-----|
| Western Asset Mortgage Defined Opportunity Fund Inc. | HYI | 95768B107 | 12/15/2014 | 1.1 | Elect Eileen A. Kamerick | Mgmt | For |
|--|-----|-----------|------------|-----|--------------------------|------|-----|

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|--|-----|-----------|------------|-----|---------------------|------|-----|
| Western Asset Mortgage Defined Opportunity Fund Inc. | HYI | 95768B107 | 12/15/2014 | 1.2 | Elect Riordan Roett | Mgmt | For |
|--|-----|-----------|------------|-----|---------------------|------|-----|

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|---|-----|-----------|-----------|-----|-----------------------|------|-----|
| Western Asset Worldwide Income Fund Inc | SBW | 957668106 | 4/24/2015 | 1.1 | Elect Carol L. Colman | Mgmt | For |
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| Western Asset Worldwide Income Fund Inc | SBW | 957668106 | 4/24/2015 | 1.2 | Elect William R. Hutchinson | Mgmt | For |
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|---|-----|-----------|-----------|-----|-------------------------|------|-----|
| Western Asset Worldwide Income Fund Inc | SBW | 957668106 | 4/24/2015 | 1.3 | Elect Kenneth D. Fuller | Mgmt | For |
|---|-----|-----------|-----------|-----|-------------------------|------|-----|

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|---|-----|-----------|-----------|-----|------------------------|------|-----|
| Western Asset Worldwide Income Fund Inc | SBW | 957668106 | 4/24/2015 | 1.4 | Elect Robert D. Agdern | Mgmt | For |
|---|-----|-----------|-----------|-----|------------------------|------|-----|

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|----------------------|----|-----------|-----------|---|------------------------|------|-----|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 1 | Elect David P. Bozeman | Mgmt | For |
|----------------------|----|-----------|-----------|---|------------------------|------|-----|

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|----------------------|----|-----------|-----------|---|-----------------------|------|-----|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 2 | Elect Debra A. Cafaro | Mgmt | For |
|----------------------|----|-----------|-----------|---|-----------------------|------|-----|

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|----------------------|----|-----------|-----------|---|----------------------|------|-----|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 3 | Elect Mark A. Emmert | Mgmt | For |
|----------------------|----|-----------|-----------|---|----------------------|------|-----|

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|----------------------|----|-----------|-----------|---|--------------------------|------|-----|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 4 | Elect John I. Kieckhefer | Mgmt | For |
|----------------------|----|-----------|-----------|---|--------------------------|------|-----|

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|----------------------|----|-----------|-----------|---|-------------------|------|-----|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 5 | Elect Wayne Murdy | Mgmt | For |
|----------------------|----|-----------|-----------|---|-------------------|------|-----|

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| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 6 | Elect Nicole W. Piasecki | Mgmt | For |
|----------------------|----|-----------|-----------|---|--------------------------|------|-----|

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|----------------------|----|-----------|-----------|---|-----------------------|------|-----|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 7 | Elect Doyle R. Simons | Mgmt | For |
|----------------------|----|-----------|-----------|---|-----------------------|------|-----|

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| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 8 | Elect D. Michael Steuert | Mgmt | For |
|----------------------|----|-----------|-----------|---|--------------------------|------|-----|

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| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 9 | Elect Kim Williams | Mgmt | For |
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|----------------------|-----|-----------|-----------|----|---|----------|---------|
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 10 | Elect Charles R. Williamson | Mgmt | For |
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 11 | Advisory Vote on Executive Compensation | Mgmt | For |
| Weyerhaeuser Company | WY | 962166104 | 5/22/2015 | 12 | Ratification of Auditor | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 1 | Elect William R. Granberry | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 2 | Elect Kelt Kindick | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 3 | Elect Kimberly S. Lubel | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 4 | Elect David F. Work | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 5 | Advisory Vote on Executive Compensation | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 6 | Declassification of the Board of Directors | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 7 | Amendment to 2013 Incentive Plan | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 8 | Ratification of Auditor | Mgmt | For |
| WPX Energy Inc. | WPX | 98212B103 | 5/21/2015 | 9 | Shareholder Proposal Regarding Hydraulic Fracturing | ShrHoldr | Against |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 1 | Elect Gail K. Boudreaux | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 2 | Elect Richard K. Davis | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 3 | Elect Benjamin G.S. Fowke III | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 4 | Elect Albert F. Moreno | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 5 | Elect Richard T. O'Brien | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 6 | Elect Christopher J. Policinski | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 7 | Elect A. Patricia Sampson | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 8 | Elect James J. Sheppard | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 9 | Elect David A. Westerlund | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 10 | Elect Kim Williams | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 11 | Elect Timothy V. Wolf | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 12 | Advisory Vote on Executive Compensation | Mgmt | For |

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| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 13 | Approval of the 2015 Omnibus Incentive Plan | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 14 | Ratification of Auditor | Mgmt | For |
| Xcel Energy Inc. | XEL | 98389B100 | 5/20/2015 | 15 | Shareholder Proposal Regarding Independent Board Chairman | ShrHoldr | Against |

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Strategic Value Fund, Inc.

By (Signature and Title) /s/ Ralph W. Bradshaw
Ralph W. Bradshaw, President and Chairman
(Principal Executive Officer)

Date August 10, 2015