#### Edgar Filing: ALPINE GLOBAL PREMIER PROPERTIES FUND - Form 4

#### ALPINE GLOBAL PREMIER PROPERTIES FUND

Form 4

March 05, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

interest

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wacksman Jeffrey E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ALPINE GLOBAL PREMIER PROPERTIES FUND [AWP]

(Check all applicable)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner Other (specify

ALPINE GLOBAL DYNAMIC DIVIDEND FUND, 2500

WESTCHESTER AVENUE, SUITE

(Street)

(State)

215

4. If Amendment, Date Original

Filed(Month/Day/Year)

03/04/2015

6. Individual or Joint/Group Filing(Check

Applicable Line)

nivetive Convities Assuined Disposed of an Deneficially O

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PURCHASE, NY 10577

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	ed of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common shares of beneficial interest	03/04/2015		Code V S	Amount 4,090		Price \$ 6.867	5,052 <u>(1)</u>	D		
Common shares of beneficial	03/04/2015		S	5,052	D	\$ 6.877	0	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	٩
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities		(Instr. 5)	]
	Derivative			Securities		(Instr			3 and 4)		(
	Security				Acquired						J
					(A) or					J	
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date Exercisable	Expiration Date	Title N	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Wacksman Jeffrey E ALPINE GLOBAL DYNAMIC DIVIDEND FUND 2500 WESTCHESTER AVENUE, SUITE 215 PURCHASE, NY 10577



## **Signatures**

/s/ Jeffrey E. Wacksman 03/04/2015

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares purchased under issuer's dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. d black; border-top-width: 0; border-left-width: 1; border-right-width: 1; border-bottom-width: 1"> Forward sale contract (obligation to sell)  $\frac{(5)}{(6)} \frac{(6)}{11/24/2010} \frac{(5)(6)}{220,000} \frac{220,000}{11/23/201111/23/2011} Common Stock 220,000 $ 0 <math>\frac{(5)}{(6)} \frac{(6)}{220,000} \frac{220,000}{11/24/2010} \frac{(1)}{11/24/2010} \frac{($ 

Reporting Owners 2

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOSTOMSKI MICHAEL M 1666 VALLEY VIEW DRIVE WINONA, MN 55987

X

# **Signatures**

/s/ John Milek, Attorney-in-Fact

11/29/2010

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in reporting person's revocable living trust for which the reporting person has voting and investment power; the revocable living trust is also party to forward sale contract described in Table II.
- (2) Reporting person's revocable living trust received 172,022 Fastenal common shares from his spouse's grantor retained annuity trust in exchange for \$9,277,146.
- (3) Shares held in reporting person's spouse's revocable living trust for which the spouse has voting and investment power.
- (4) Shares held in a charitable remainder unit trust for which the reporting person and his spouse share voting and investment power.
  - On November 24, 2010, the reporting person entered into a prepaid variable forward contract with an unaffiliated third-party buyer. The contract obligates the reporting person to deliver to the buyer up to 220,000 shares of Fastenal Company common stock (or, at the
- reporting person's election, an equivalent amount of cash based on the market price of Fastenal common stock at that time) on the maturity date of the contract (November 23, 2011). In exchange for assuming this obligation, the reporting person will receive a cash payment of \$9,438,000. The reporting person pledged 220,000 Fastenal common shares (the "Pledged Shares") to secure his obligations under the contract, and retains dividend and voting rights in the Pledged Shares during the term of the pledge.
  - (continued from footnote 5) The number of Fastenal common shares to be delivered to the buyer on the maturity date is to be determined as follows: (i) if the closing price of Fastenal common stock on the maturity date (the "Settlement Price") is less than or equal to \$48.54 (the "Floor Price"), the reporting person will deliver to the buyer all of the Pledged Shares; (ii) if the Settlement Price is between the Floor
- (6) Price and \$70.11 (the "Cap Price"), the reporting person will deliver to the buyer a number of Fastenal common shares equal to 220,000 times the Floor Price divided by the Settlement Price; and (iii) if the Settlement Price is greater than the Cap Price, the reporting person will deliver to the buyer a number of Fastenal common shares equal to 220,000 times a fraction whose numerator is the Floor Price plus the difference between the Settlement Price and the Cap Price, and whose denominator is the Settlement Price.

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Reporting Owners 3