

COLUMBUS MCKINNON CORP  
 Form 4  
 May 22, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 05/20/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 V.P. - Human Resources

140 JOHN JAMES AUDUBON  
 PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

AMHERST, NY 14228-1197

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  |                                | Code V  | Amount or Price   |  |  |
| Common Stock                    |                                      |  |                                |   | 17,055.6327   | D  |  |
| Common Stock                    | 05/20/2015                           |  |                                | F   | 189.4137<br>(1)   | D  | \$ 24.67 16,866.219 D                      |
| Common Stock                    | 05/21/2015                           |  |                                | F   | 204.3072<br>(2)   | D  | \$ 24.88 16,661.9118 D                     |
| Common Stock                    | 05/21/2015                           |  |                                | F   | 854 (3)   | D  | \$ 24.88 (4) 15,807.9118 D                 |
| Common Stock                    |                                      |  |                                |   | 1,030.3018 (5)  | D  |  |

|                 |              |   |  |
|-----------------|--------------|---|--|
| Common<br>Stock | 430,593.6982 | I | Additional<br>shares held by<br>ESOP;<br>reporting<br>person is 1 of<br>3 trustees;<br>DISCLAIMS<br>beneficial<br>ownership. |
|-----------------|--------------|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |
|---|---|---|---|---|---|--|---|-----------------|-------------------------------------|
|   |   |   |   | Code                                    | V   | Date<br>Exercisable  | Expiration<br>Date  | Title           | Amount<br>or<br>Number<br>of Shares |
|   |   |   |   |   | (A)   |  |   |                 |                                     |
|   |   |   |   |   | (D)   |  |   |                 |                                     |
| Incentive<br>Stock Options<br>(Right to Buy)        | \$ 21.61  |   |   |   |   | 10/16/2006   | 10/15/2015  | Common<br>Stock | 12,409                              |
| Incentive<br>Stock Options<br>(Right to Buy)        | \$ 28.45  |   |   |   |   | 05/19/2009   | 05/18/2018  | Common<br>Stock | 1,307                               |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 13.27  |   |   |   |   | 05/18/2010   | 05/17/2019  | Common<br>Stock | 3,804                               |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 18.24  |   |   |   |   | 05/17/2011   | 05/16/2020  | Common<br>Stock | 2,795                               |
| Non-Qualified<br>Stock Options<br>(Right to Buy)    | \$ 19.5   |   |   |   |   | 05/23/2012   | 05/22/2021  | Common<br>Stock | 2,685                               |

|  |          |            |            |                 |                      |
|--|----------|------------|------------|-----------------|----------------------|
| Non-Qualified<br>Stock Options<br>(Right to Buy) | \$ 13.43 | 05/21/2013 | 05/20/2022 | Common<br>Stock | 4,528                |
| Non-Qualified<br>Stock Options<br>(Right to Buy) | \$ 18.95 | 05/20/2014 | 05/20/2023 | Common<br>Stock | 3,771<br><u>(10)</u> |
| Non-Qualified<br>Stock Options<br>(Right to Buy) | \$ 27.12 | 05/19/2015 | 05/19/2024 | Common<br>Stock | 1,309                |
| Non-Qualified<br>Stock Options<br>(Right to Buy) | \$ 24.94 | 05/18/2016 | 05/17/2025 | Common<br>Stock | 4,162                |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                              |       |
|---|---------------|-----------|------------------------------|-------|
|   | Director      | 10% Owner | Officer                      | Other |
| Steinberg Richard A<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228-1197 |               |           | V.P. -<br>Human<br>Resources |       |

## Signatures

Richard A. Steinberg                      05/22/2015

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 450.4137 restricted stock units on 5/20/2015.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 568.3072 restricted stock units on 5/21/2015.
- (3) Represents shares withheld to satisfy tax withholding obligation upon vesting 2,359 restricted stock units on 5/21/2015.  
Includes 5,334.9118 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 358.7186 shares become fully vested and non-forfeitable on 5/23/2015, 569.3148 shares become fully vested and non-forfeitable on 5/21/2016, 900.8274 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/20/2016, 988.051 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016, 1,073 shares become fully vested and non-forfeitable on 5/20/2016, and the remaining 1,445 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) The reporting person exercised 10,000 options on 2/25/2015. The remaining 12,409 options are all exercisable, subject to IRS limitations.
- (7) All exercisable, subject to IRS limitations.
- (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

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- (10) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (12) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.