Steinberg Richard A Form 4 May 21, 2012

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Steinberg Richard A

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

Issuer

below)

(Check all applicable)

V.P. - Human Resources

(Last)

**PARKWAY** 

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

05/17/2012

Director 10% Owner Other (specify \_X\_\_ Officer (give title

5. Relationship of Reporting Person(s) to

140 JOHN JAMES AUDUBON

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228-1197

(Street)

(City)	(State)	(Zip) Ta	ble I - Non	ı-Derivati	ve Sec	urities A	cquired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	· / /			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount	(D)	Price	(Instr. 3 and 4) 5,292 (1)	D	
Common Stock	05/17/2012		F	148 (2)	D	\$ 13.4	5,144 <u>(1)</u>	D	
Common Stock	05/18/2012		F	205 (2)	D	\$ 13.31	4,939 (1)	D	
Common Stock							725.6003 (3)	D	
Common Stock							554,199.6899	I	Additional shares held be

by

#### Edgar Filing: Steinberg Richard A - Form 4

ESOP; reporting person is 1 of 3 trustees; **DISCLAIMS** beneficial ownership.

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2016	Common Stock	45,000
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,307
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	3,804
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	2,795
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,685

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Steinberg Richard A V.P. 140 JOHN JAMES AUDUBON PARKWAY Human
AMHERST, NY 14228-1197 Resources

# **Signatures**

Richard A. Steinberg 05/21/2012

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 3,125 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 507 shares become fully vested and non-forfeitable 5/18/2013; 460 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, 736 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2013, and the remaining 1,422 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisy tax withholding obligation upon vesting 368 restricted stock units on 5/17/2012 and 508 restricted stock units on 5/18/2012.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) All exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3