### Edgar Filing: MONOLITHIC POWER SYSTEMS INC - Form 4

MONOLITHIC POWER SYSTEMS INC Form 4 August 05, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Tseng Saria Issuer Symbol MONOLITHIC POWER SYSTEMS (Check all applicable) INC [MPWR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 79 GREAT OAKS BLVD 08/04/2014 VP & General Counsel (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95119 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Ownership (Month/Day/Year) Owned Direct (D) (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 08/04/2014  $S^{(1)}$ 1,280 D \$41.41 45,075 D Stock Common 08/04/2014 S(1) 61 D \$41.41 D 45,014 Stock Common **S**<sup>(1)</sup> 08/04/2014 460 D \$41.41 D 44,554 Stock Common  $S^{(1)}$ 08/04/2014 22 D \$41.41 44,532 D Stock Common 08/05/2014 **S**<sup>(1)</sup> 722 D 43,810 D 41.1196 Stock

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| Common<br>Stock | 08/05/2014 | S <u>(2)</u> | 1,646 | D | \$<br>41.9344<br>( <u>3)</u> | 42,164 | D |                            |
|-----------------|------------|--------------|-------|---|------------------------------|--------|---|----------------------------|
| Common<br>Stock |            |              |       |   |                              | 2,000  | Ι | S.Tseng<br>Charity<br>Fund |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)       | Under   | lying    | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |             | Securi  | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |              |             | (Instr. | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |              |             |         |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |             |         |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |             |         |          |             |        |
|             |             |                     |                    |            |            |              |             |         | Amount   |             |        |
|             |             |                     |                    |            |            | Date         | Expiration  |         | or       |             |        |
|             |             |                     |                    |            |            | Exercisable  | Date        | Thie    | Number   |             |        |
|             |             |                     |                    |            |            |              |             |         | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |             |         | Shares   |             |        |
|             |             |                     |                    |            |            |              |             |         |          |             |        |

### **Reporting Owners**

| Reporting Owner Name / Address                          | Relationships |           |                      |       |  |  |  |
|---|---------------|-----------|----------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer              | Other |  |  |  |
| Tseng Saria<br>79 GREAT OAKS BLVD<br>SAN JOSE, CA 95119 |               |           | VP & General Counsel |       |  |  |  |
| Signatures  |               |           |                      |       |  |  |  |

Saria Tseng

08/05/2014

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Shares sold to pay tax obligations resulting from the vesting of restricted stock units.
- (2) In accordance with the reporting person's 10b5-1 trading plan.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line (3) range from \$41.26 to \$42.29. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange

Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.