

HARSHBARGER R STEPHEN  
Form 4  
July 16, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HARSHBARGER R STEPHEN

2. Issuer Name and Ticker or Trading Symbol  
SONO TEK CORP [SOTK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
ROUTE 22  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/12/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President

PAWLING, NY 12564  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Sono-Tek Corp Common Stock	07/12/2018		M		36,000 (1) \$ 1.05	104,556	D
Sono-Tek Corp Common Stock	07/12/2018		F		16,875 (2) \$ 2.24	87,681	D
Sono-Tek Corp Common Stock	07/12/2018		M		99,000 (3) \$ 0.91	186,681	D

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Sono-Tek Corp Common Stock 07/12/2018 F 40,219 (4) D \$ 2.24 146,462 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Share
Sono-Tek Options	\$ 1.05	07/12/2018		M	36,000 (1)	02/20/2015 02/20/2024	Sono-Tek Common Stock 36,000
Sono-Tek Corp Options	\$ 0.91	07/12/2018		M	99,000 (3)	03/15/2017 07/20/2026	Sono-Tek Corp Common Stock 99,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARSHBARGER R STEPHEN ROUTE 22 PAWLING, NY 12564	X		President	

Signatures

R. Stephen Harshbarger 07/16/2018

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reporting person exercised 36000 vested options.
- (2) Reporting person surrendered 16875 shares of Sono-Tek corp common stock as payment for the exercise of options.
- (3) Reporting person exercised 99000 vested options.
- (4) Reporting person surrendered 40219 shares of Sono-Tek Corp common stock as payment for the exercise of options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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