#### **ROCKWELL AUTOMATION INC**

Form 4 May 21, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Common

Common

Stock

Stock

Stock

05/20/2013

05/20/2013

05/20/2013

(Print or Type Responses)

1. Name and Address of Reporting Person \*

COPPINS KENT G			Symbol ROCKWELL AUTOMATION INC [ROK]				Issuer (Check all applicable)				
(Last) (First) (Middle)  1201 SOUTH SECOND STREET			3. Date of Earliest Transaction (Month/Day/Year) 05/20/2013				_ Director _ Officer (give title				
							below) below)  VP and General Tax Counsel				
(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
			Filed(Month/Day/Year)			Applicable Line)					
MILWAUKEE, WI 53204						_X_ Form filed by One Reporting Person					
							Form filed by More than One Reporting Person				
(City	(State)	(Zip)	Tal	ble I - Non	1-Derivative Securities Acq	quired	, Disposed of, or	Beneficially	Owned		
1.Title of	2. Transaction Date	2A. Deemed	Į.	3.	4. Securities Acquired (A)	or	5. Amount of	6.	7. Nature		
Security	(Month/Day/Year)	Execution Da	ate, if	Transacti	orDisposed of (D)		Securities	Ownership	of Indirect		
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)		Beneficially	Form: Beneficial	Beneficial		
		(Month/Day/	/Year)	(Instr. 8)			Owned	Direct (D)	Ownership		
							Following	or Indirect	(Instr. 4)		
					(A)		Reported	(I)			
					(11)		Transaction(s)	(Instr. 4)			

Amount

4,250

 $4,250 \frac{(1)}{2}$ 

2,199.978

(3)

(D)

A

D

Price

\$ 68.04

91.2608

\$ 90.91

Code V

M

S

I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

(Instr. 3 and 4)

D

 $D^{(2)}$ 

By

Plan

Savings

10,945

6,695

4,009.9796

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## displays a currently valid OMB control

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Employee Stock Option(Right to Buy)	\$ 68.04	05/20/2013		M	4,250	12/05/2008	12/05/2017	Common Stock	4,25

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

COPPINS KENT G 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204

VP and General Tax Counsel

## **Signatures**

Karen A. Balistreri, Attorney-in-Fact for Kent G. Coppins

05/21/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reported in column 4 is a weighted average price. Shares sold at prices ranging from \$91.231 to \$91.29. The reporting person undertakes to provide to the Company, any shareowners of the Company and the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price.
- (2) 1,050 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.
  - Includes share equivalents represented by Company stock fund units acquired under the Company Savings Plan since the date of the last ownership report for this person, based on information furnished by the Plan Administrator as of 03/31/2013. The number of stock fund
- (3) units represented by the balance of the participant's Company stock fund account may not exactly equal the number of stock fund units represented by a prior balance due to variance in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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