Kulaszewicz Frank C Form 3 April 01, 2011

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ROCKWELL AUTOMATION INC [ROK] Kulaszewicz Frank C (Month/Day/Year) 04/01/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1201 SOUTH SECOND (Check all applicable) **STREET** (Street) 6. Individual or Joint/Group 10% Owner Director Officer \_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **SVP** Person MILWAUKEE, WIÂ 53204 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock 15.6077 I By Savings Plan (1) Common Stock 10,118  $D^{(2)}$ Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative | 2. Date Exercisable and | 3. Title and Amount of | 4.          | 5.         | 6. Nature of        |
|------------------------|-------------------------|------------------------|-------------|------------|---------------------|
| Security               | Expiration Date         | Securities Underlying  | Conversion  | Ownership  | Indirect Beneficial |
| (Instr. 4)             | (Month/Day/Year)        | Derivative Security    | or Exercise | Form of    | Ownership           |
|                        |                         | (Instr. 4)             | Price of    | Derivative | (Instr. 5)          |

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|                                      | Date Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Derivative<br>Security | Security: Direct (D) or Indirect (I) (Instr. 5) |                              |
|--------------------------------------|------------------|--------------------|-----------------|----------------------------------|------------------------|---|------------------------------|
| Employee Stock Option (Right to Buy) | 11/07/2008       | 11/07/2015         | Common<br>Stock | 934                              | \$ 56.36               | D   | Â                            |
| Employee Stock Option (Right to Buy) | 12/06/2009       | 12/06/2016         | Common<br>Stock | 800                              | \$ 63.59               | D   | Â                            |
| Employee Stock Option (Right to Buy) | 12/03/2009(3)    | 12/03/2018         | Common<br>Stock | 7,700                            | \$ 29.37               | D   | Â                            |
| Employee Stock Option (Right to Buy) | 12/09/2010(4)    | 12/09/2019         | Common<br>Stock | 9,827                            | \$ 46.16               | D   | Â                            |
| Employee Stock Option (Right to Buy) | 12/07/2011(5)    | 12/07/2020         | Common<br>Stock | 9,600                            | \$ 69.57               | D   | Â                            |
| Common Stock Share Equivalents       | (7)              | (8)                | Common<br>Stock | 14.5944                          | \$ 0 (6)               | Ι   | Nonqual.<br>Savings Plan (9) |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |      |  |  |
|--|---------------|-----------|---------|------|--|--|
| · · · · · · · · · · · · · · · · · · ·                                  | Director      | 10% Owner | Officer | Othe |  |  |
| Kulaszewicz Frank C<br>1201 SOUTH SECOND STREET<br>MILWAUKEE, WI 53204 | Â             | Â         | SVP     | Â    |  |  |

## **Signatures**

Karen A. Balistreri, Attorney-in-Fact for Frank C. Kulaszewicz

04/01/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units acquired under the Company Savings Plan, based on information furnished by the Plan Administrator as of 2/28/2011.
- (2) 8,857 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (4) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (5) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (6) Each unit is the economic equivalent of one share of Company common stock.
- (7) The share equivalents are payable in cash upon retirement or after termination of employment.
- (8) The share equivalents are payable in cash upon retirement or after termination of employment.

(9)

Reporting Owners 2

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Share equivalents represented by Company stock fund units acquired under the Company Nonqualified Savings Plan, based on information furnished by the Plan Administrator as of 2/28/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.