#### ROCKWELL AUTOMATION INC

Form 4

February 02, 2006

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

**ALEWINE BETTY C** 

(Street)

(State)

Symbol

ROCKWELL AUTOMATION INC [ROK]

(Check all applicable)

(Last) (First)

(Middle)

(Zip)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

777 EAST WISCONSIN

**AVENUE, SUITE 1400** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

02/01/2006

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

MILWAUKEE, WI 53202

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

Code V Amount (D) Price

(A)

Transaction(s) (Instr. 3 and 4)

Common 02/01/2006 Stock

 $A^{(1)}$ 500 65.35

 $10,193 \stackrel{(2)}{=}$ 

Reported

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)			vative ies ed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director stock option (right to buy)	\$ 16.9575							04/08/2001	04/08/2010	Common Stock	1,000
Director stock option (right to buy)	\$ 18.1746							02/07/2002	02/07/2011	Common Stock	1,000
Director stock option (right to buy)	\$ 16.05							07/31/2002	07/31/2011	Common Stock	7,000
Director stock option (right to buy)	\$ 18.05							02/06/2003	02/06/2012	Common Stock	1,000
Director stock option (right to buy)	\$ 22.76							02/05/2004(3)	02/05/2013	Common Stock	1,000
Director stock option (right to buy)	\$ 30.22							02/04/2005(3)	02/04/2014	Common Stock	1,500
Director stock option (right to buy)	\$ 58.54							02/02/2006(3)	02/02/2015	Common Stock	1,500

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Director stock

option \$65.35 02/01/2006 A 1,500 02/01/2007(3) 02/01/2016 Common Stock 1,500

(right to buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ALEWINE BETTY C 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202

**Signatures** 

K. A. Balistreri, Attorney-in-Fact for Betty C. Alewine 02/02/2006

\*\*Signature of Reporting Person Date

X

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares delivered as compensation for service as a director under the 2003 Directors Stock Plan.
- (2) 8,218 shares held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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