DAVIS DON H JR Form 4

November 15, 2005

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* DAVIS DON H JR

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ROCKWELL AUTOMATION INC

(Check all applicable)

[ROK]

(Middle)

(Last)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

777 EAST WISCONSIN **AVENUE, SUITE 1400** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

11/14/2005

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53202

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially Form: Owned Direct (D) Following or Indirect	Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Common Stock	11/14/2005		M	100,568	A	\$ 15.5	186,346.1491 (1)	D			
Common Stock	11/14/2005		M	116,667	A	\$ 27.75	303,013.1491 (1)	D			
Common Stock	11/14/2005		S	217,235	D	(2)	85,778.1491 (1)	D			
Common Stock	11/14/2005		M	19,500	A	\$ 15.5	19,500	I	By Davis Family Limited Partnership		

#### Edgar Filing: DAVIS DON H JR - Form 4

Common Stock S 19,500 D \$ 56.5 0 I  $\begin{array}{c} (3) \\ \text{By Davis} \\ \text{Family} \\ \text{Limited} \\ \text{Partnership} \\ (3) \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		(*********	, ,	,		,				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh
Employee stock option (right to buy)	\$ 15.5	11/14/2005		M		100,568	10/07/2005	10/07/2012	Common Stock	
Employee stock option (right to buy)	\$ 15.5	11/14/2005		M		19,500	10/07/2003	10/07/2012	Common Stock	
Employee stock option (right to buy)	\$ 27.75	11/14/2005		M		116,667	10/06/2004(4)	10/06/2013	Common Stock	
Director stock option (right to buy)	\$ 58.54						02/02/2006(5)	02/02/2015	Common Stock	
Common Stock Share Equivalents	<u>(6)</u>						<u>(7)</u>	<u>(7)</u>	Common Stock	2′

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DAVIS DON H JR 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202

X

#### **Signatures**

K. A. Balistreri, Attorney-in-Fact for Don H. Davis, Jr.

11/14/2005

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 815 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (2) Sale prices ranged from \$56.50 to \$56.55
- (3) Reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (4) 116,667 shares are currently exercisable and 116,667 shares become exercisable on 10/06/06.
- (5) The option vests in three substantially equal annual installments beginning on the date exercisable.
  - Share equivalents represented by Company stock fund units acquired under the Company's nonqualified savings plan based on information furnished by the Plan Administrator as of 10/31/2005. The number of share equivalents represented by the balance of a
- (6) participant's Company stock fund account may not exactly equal the number of share equivalents represented by a prior balance plus additions due to variances in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the Plan.
- (7) The share equivalents are payable in cash upon retirement or after termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3