Edgar Filing: UROPLASTY INC - Form 4

UROPLASTY INC Form 4 June 04, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Sction 16. Form 4 or Form 5 obligations may continue. <i>E</i> filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Etide pursuant to Section 16(a) of the Securities Exchange Act of 1934, Etide pursuant to Section 16(a) of the Securities Exchange Act of 1934, Etide pursuant to Section 16(a) of the Securities Exchange Act of 1935, South of the Public Utility Holding Company Act of 1935 or Securities Exchange Act of 1934, Etide June 10, 100 for the Public Utility Holding Company Act of 1935 or Securities Exchange Act of 1934, State Public Utility Holding Company Act of 1940 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100 for 10, 100 for the Public Utility Holding Company Act of 1940 for 10, 100													
(Print or Type F	Responses)												
1. Name and A ROCHE KE	ddress of Reporting P VIN H	S	ymbol			Ticker or 7	Fradin	g	5. Relationship of Issuer				
(Last) (First) (Middle) 3. D (Mo			3. Date of Earliest Transaction (Month/Day/Year) 06/02/2014						(Check all applicable) <u>X</u> Director <u>10%</u> Owner Officer (give title <u>Check all applicable</u>) below)				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)							 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 						
(City)	(State) (Zip)	Table	e I - Noi	n-De	erivative S	Securi	ties Acc	uired, Disposed of	, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed			Code (D) (Instr. 8) (Instr. 3, 4 and 5)				Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct Indirect ly (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4) n(s)			
Common				Code	V	Amount	(D)	Price \$	(Instr. 3 and 4)				
Stock	06/02/2014			Р		610	А	¢ 2.97	610	D			
Common Stock	06/02/2014	6/02/2014				200	А	\$ 2.98	810	D			
Common Stock	06/02/2014	6/02/2014				900	$A = \begin{cases} \$ \\ 2.99 \end{cases}$		1,710	710 D			
Common Stock	06/03/2014			Р		500	А	\$ 2.9	2,210	D			
Common Stock	06/03/2014			Р		500	А	\$ 2.94	2,710	D			
	06/03/2014			Р		1,800	А		4,510	D			

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Common Stock					\$ 2.96		
Common Stock	06/03/2014	Р	600	А	\$3	5,110	D
Common Stock	06/03/2014	Р	500	А	\$ 3.02	5,610	D
Common Stock	06/03/2014	Р	4,390	А	\$ 2.96	10,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
ROCHE KEVIN H 5420 FELTL ROAD MINNETONKA, MN 55343	Х			
Signatures				
/s/ Brett Reynolds, attorney-in-fact		06/04/2014		
**Signature of Reporting Person		Date		

Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.