Edgar Filing: UNIVERSAL STAINLESS & ALLOY PRODUCTS INC - Form 4

UNIVERSAL STAINLESS & ALLOY PRODUCTS INC

Form 4

USAP

Stock

Common

11/03/2015

November 04, 2015

FORM	1 4								OMB AF	PROVAL		
	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287	
	Check this box							Expires:	January 3			
subject to Section 16. Section 16. Section 16. SECURITIES SECURITIES SECURITIES									Estimated a burden hour response	rs per	.5	
(Print or Type	Responses)											
OATES DENNIS M Symbol UNIV				2. Issuer Name and Ticker or Trading mbol NIVERSAL STAINLESS & LLOY PRODUCTS INC [USAP]				5. Relationship of Reporting Person(s) to Issuer				
								(Check all applicable)				
(N			3. Date of Earliest Transaction (Month/Day/Year) 11/03/2015				_	_X_ Director 10% OwnerX_ Officer (give title Other (specify below)				
(Street) 4.			4. If Amendment, Date Original				(6. Individual or Joint/Group Filing(Check				
MALVERN	N, PA 19355		Filed(Mon	th/Day/Year)			-	Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting Per More than One Re			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securities	es Acqui	ired, Disposed of	f, or Beneficiall	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3. Transactio Code (Instr. 8)	(Instr. 3, 4	sposed of 4 and 5) (A) or	f (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

2,500 A \$ 32,400

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	е		Securit	ties	(Instr. 5)	Bene
	Derivative		•		Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						•
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
OATES DENNIS M			Chairman,					
1302 EAVES SPRING COURT	X		President &					
MALVERN, PA 19355			CEO					

Signatures

Paul A. McGrath
(AIF)

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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