Hillenbrand, Inc. Form 4 July 05, 2017

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

COLLAR GARY L

Hillenbrand, Inc. [HI]

(Month/Day/Year)

(Check all applicable)

(Last)

(First) (Middle)

2. Transaction Date 2A. Deemed

3. Date of Earliest Transaction

Director 10% Owner Officer (give title Other (specify

ONE BATESVILLE BOULEVARD

(Street)

06/30/2017

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

BATESVILLE, IN 47006

1.Title of

Security

(Instr. 3)

(City) (State) (Zip)

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership

(Instr. 4)

(Instr. 4)

(Month/Day/Year)

Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Following Reported Transaction(s)

or (Instr. 3 and 4)

(A)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. onNumber	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
(Instr. 3)	or Exercise Price of		any (Month/Day/Year)	Code (Instr. 8)	of Derivative	(Month/Day/Year)	(Instr. 3 and 4)

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	Derivative Security			A (A D of (I	ecurities acquired A) or visposed f (D) (nstr. 3, , and 5)				
			Code	V (A	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (Deferred Stock Award 5/5/15)	<u>(1)</u>	06/30/2017	A(2)	;	8	(3)	(3)	Common Stock	8
Restricted Stock Units (Deferred Stock Award) 2/24/2016	(1)	06/30/2017	A(2)	2	21	(3)	(3)	Common Stock	21
Restricted Stock Units (Deferred Stock Award) 2/22/2017	(1)	06/30/2017	A <u>(2)</u>	1	15	<u>(3)</u>	(3)	Common Stock	15
RESTRICTED STOCK UNITS CUMULATIVE	(1)					(3)	(3)	Common Stock	44 (4)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

COLLAR GARY L ONE BATESVILLE BOULEVARD BATESVILLE, IN 47006

### **Signatures**

**TOTAL** 

By Carol A. Roell as Attorney-in-Fact for Gary L.
Collar

07/05/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of Exercise Price of Derivative Securities is 1-for-1.
- (2) Restricted Stock Units are entitled to dividend equivalent rights which accrue on dividend record dates.

Reporting Owners 2

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- These stock units vest immediately upon grant. However, delivery of these shares will not occur until the occurrence of one of the (3) following: a change in control of the Company, the director's death or permanent and total disability, or one day after the date the director ceases to be a director of the Company.
- This amount represents the cumulative total of all Restricted Stock Units (deferred stock awards) granted to reporting person. This cumulative total does not represent additional Restricted Stock Units granted to the reporting person, but is merely a total of all awards reported separately on this SEC Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.