Edgar Filing: LOYACK JOHN R - Form 4

LOYACK JOHN R Form 4 March 14, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Addr			e and Tick URCES, IN		Pe to	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) ALVARADO SQ MS 2818	3. I.R.S. I of Report if an entit	ing l		umber		atement for h/Day/Year 1/03	10 X	Director				
									Al	ENIOR VICE ND CHIEF FI FFICER	PRESIDENT INANCIAL	
	(Street)						Amendment,		7. Individual or Joint/Group Filing			
ALBUQUERQUI						of Original ath/Day/Year)	X Pe	(Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	Ta	ble l	I Non-De	rivativ	Dispose	posed of, or Beneficially Owned						
1. Title of Security (Instr. 3)	3. Transaction Code (Instr. 8		4. Securition (A) or Disport (Instr. 3, 4	posed o	of (D)	5. Amount of Securities Beneficially Owned Follow-			7. Nature of Indirect Beneficial Ownership			
	Year)	(Month/Day/ Year)	Code	V	Amount	(A) or (D)		ing Reported Transactions(s) (Instr. 3 & 4)		(Instr. 4)	(Instr. 4)	
COMMON STOCK	03/12/03	03/14/03	L		4.7962	A	20.83		1,454 (1)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g., puts, calls, warrants, options, convertible securities)

		,	0/1			/ 1					
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Derivati	(NeIonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Securiti	X ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Acquire	đ			Following	ative	

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: LOYACK JOHN R - Form 4

	-	Day/ Year)	8)	D of (I:	A) o ispo (D nstr 4 &	ose)	i		Tra	Transaction(s) (Instr. 4)	Security: Direct (D) or Indirect (I)		
			Code	5) V (<i>F</i>	A) (Exer-cisable		Amount or Number of Shares			(Instr. 4)	

Explanation of Responses:

(1) TOTAL INCLUDES 12 SHARES OF PNM RESOURCES COMMON STOCK ACQUIRED UNDER THE PNM DIVIDEND REINVESTMENT PLAN AND 825 SHARES ACQUIRED UNDER THE PNM RESOURCES, INC. 401(K) PLAN. INFORMATION IN THIS REPORT IS BASED ON A PLAN STATEMENT DATED 02/28/03.

By: /s/ JOHN R. LOYACK

03/14/03

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).