

KANE KERRY M
Form 4
October 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
KANE KERRY M

(Last) (First) (Middle)

2366 BERNVILLE ROAD

(Street)

READING, PA 19605

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EnerSys [ENS]

3. Date of Earliest Transaction
(Month/Day/Year)
09/28/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
VP, Corporate Controller & PAO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	09/28/2018		A	(A) or (D) Amount (1)	\$ 0 19,611.9129 (2)	D	
Common Stock	09/28/2018		A	(A) or (D) Amount (3)	\$ 0 19,612.4806	D	
Common Stock	09/28/2018		A	(A) or (D) Amount (4)	\$ 0 19,616.1415	D	
Common Stock	09/28/2018		A	(A) or (D) Amount (5)	\$ 0 19,619.1212	D	
Common Stock	09/28/2018		A	(A) or (D) Amount (6)	\$ 0 19,622.8886	D	

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Common Stock	09/28/2018	A	<u>1.9756</u> (7)	A	\$ 0	19,624.8642	D
Common Stock	09/28/2018	A	<u>5.4908</u> (8)	A	\$ 0	19,630.355	D
Common Stock	09/28/2018	A	<u>1.1968</u> (9)	A	\$ 0	19,631.5518	D
Common Stock	09/28/2018	A	<u>1.5063</u> (10)	A	\$ 0	19,633.0581	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

KANE KERRY M
2366 BERNVILLE ROAD
READING, PA 19605

VP, Corporate Controller & PAO

Signatures

Karen J. Yodis, by Power of Attorney

10/02/2018

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were granted in the form of Market Share Units ("MSUs"), in connection with the cash dividend paid on September 28, 2018 to stockholders of record as of September 14, 2018 (the "Dividend"), with respect to 3,650 MSUs granted to the reporting person on May 12, 2015, and adjusted for vesting on May 12, 2018 and previously declared and paid cash dividends. These MSUs will be payable concurrently with the underlying MSUs.

(2) This amount is reflective of an adjustment resulting from the understated forfeiture of MSUs in connection with the May 15, 2018 vesting event and subsequent June 29, 2018 dividend payout.

(3) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 266 unvested RSUs granted to the reporting person on May 12, 2015, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.

(4) These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to 1,736 unvested RSUs granted to the reporting person on May 16, 2016, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.

(5) These shares were granted in the form of Performance Share Units ("PSUs"), in connection with the Dividend, with respect to 1,413 unvested PSUs granted to the reporting person on May 16, 2016, and adjusted for previously declared and paid cash dividends. These PSUs will vest and are payable concurrent with the underlying PSUs.

(6) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 1,804 unvested RSUs granted to the reporting person on May 9, 2017. These RSUs will vest and are payable concurrent with the underlying RSUs.

(7) These shares were granted in the form of PSUs, in connection with the Dividend, with respect to 946 unvested PSUs granted to the reporting person on May 9, 2017, and adjusted for previously declared and paid cash dividends. These PSUs will vest and are payable concurrent with the underlying PSUs.

(8) These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to 2,661 RSUs granted to the reporting person on August 13, 2018. These RSUs will vest and are payable concurrent with the underlying RSUs.

(9) These shares were granted in the form of Performance Share Units ("PSUs"), in connection with the Dividend, with respect to 580 PSUs granted to the reporting person on August 13, 2018. These PSUs will vest and are payable concurrent with the underlying PSUs.

(10) These shares were granted in the form of Performance Share Units ("PSUs"), in connection with the Dividend, with respect to 730 PSUs granted to the reporting person on August 13, 2018. These PSUs will vest and are payable concurrent with the underlying PSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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