

Cassella Anthony E. Jr.  
 Form 4  
 May 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Cassella Anthony E. Jr.

2. Issuer Name and Ticker or Trading Symbol  
 MARINEMAX INC [HZO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 2600 MCCORMICK DRIVE, SUITE 200  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 05/03/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 VP & Chief Accounting Officer

CLEARWATER, FL 33759

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	05/03/2018		M <sup>(1)</sup>		2,500	A	\$ 15.8
							15,420 <sup>(2)</sup>
Common Stock	05/03/2018		S <sup>(1)</sup>		2,500	D	\$ 23.3
							<sup>(3)</sup>
Common Stock	05/04/2018		M <sup>(1)</sup>		11,100	A	\$ 15.8
							24,020
Common Stock	05/04/2018		S <sup>(1)</sup>		11,100	D	\$ 22.8
							<sup>(4)</sup>
	05/07/2018		M <sup>(1)</sup>		1,400	A	14,320

Edgar Filing: Cassella Anthony E. Jr. - Form 4

Common Stock						\$ 15.8	
Common Stock	05/07/2018		S <sup>(1)</sup>	1,400	D	\$ 22.8	12,920 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 15.8	05/03/2018		M	2,500	11/07/2016 11/07/2023	Common Stock	2,500	
Employee Stock Options (Right to Buy)	\$ 15.8	05/04/2018		M	11,100	11/07/2016 11/07/2023	Common Stock	11,100	
Employee Stock Options (Right to Buy)	\$ 15.8	05/07/2018		M	1,400	11/07/2016 11/07/2023	Common Stock	1,400	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cassella Anthony E. Jr. 2600 MCCORMICK DRIVE, SUITE 200			VP & Chief Accounting	

CLEARWATER, FL 33759

Officer

## Signatures

Michael H. McLamb, attorney-in-fact for Anthony E.  
Cassella, Jr.

05/07/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The shares were exercised and sold pursuant to a 10b5-1 Sales Plan.
- (2) Includes 920 shares acquired under the MarineMax Employee Stock Purchase Plan on various dates during Q3 of fiscal 2018.

This transaction was executed in multiple trades at prices ranging from \$23.30 to \$23.33. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the Securities and Exchange Commission staff, the

- (3) Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported on this Form 4.

This transaction was executed in multiple trades at prices ranging from \$22.80 to \$22.83. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the Securities and Exchange Commission staff, the

- (4) Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported on this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.