

Whang Scott Yoon-suk
Form 5
February 14, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
Whang Scott Yoon-suk

(Last) (First) (Middle)

3200 WILSHIRE BLVD., SUITE
1400

(Street)

LOS ANGELES, CA 90010

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
HOPE BANCORP INC [HOPE]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/01/2017	Â	A	4,500 A	\$ 0 (1) Price	45,202 I (2)	Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust

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Common Stock	12/15/2017 ⁽³⁾	Â	G ⁽³⁾	4,500	D	\$ 0	45,202	D	Â
Common Stock	12/15/2017 ⁽³⁾	Â	G ⁽³⁾	4,500	A	\$ 0	11,700	I	By wife as UGMA custodian for grandchildren
Common Stock	12/18/2017 ⁽⁴⁾	Â	G ⁽⁴⁾	4,500	D	\$ 0	45,202	D	Â
Common Stock	12/18/2017 ⁽⁴⁾	Â	G ⁽⁴⁾	4,500	A	\$ 0	11,700	I	By reporting person as UGMA custodian for grandchildren

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se Bo O Er Is Fi (I
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Whang Scott Yoon-suk 3200 WILSHIRE BLVD., SUITE 1400 LOS ANGELES,Â CAÂ 90010	Â X	Â	Â	Â

Signatures

/s/ Claire Hur as attorney-in-fact for Scott Yoon-Suk Whang

02/14/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Total 13,500 restricted stock units were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation

(1) Plan. Each restricted stock unit represents a contingent right to receive one share of HOPE Bancorp, Inc. common stock. 1/3 of the award vested immediately on the grant date, 1/3 vested on September 1, 2017, and remaining 1/3 will vest on September 1, 2018.

(2) Amended from previously filed Form 5, which corrects the ownership form as "Indirect" to reflect ownership held in reporting person's trust.

Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person's wife as

(3) custodian of three of six grandchildren under Uniform Gifts to Minors Act. On 12/15/2017, reporting person gifted to three of six grandchildren common stock in the amount of 1,500 shares each.

Transfer from the Scott Whang & Lydia Whang Trust Under Agreement 07/19/2001 S&O Revocable Trust to reporting person as

(4) custodian of three of six grandchildren under Uniform Gifts to Minors Act. On 12/18/2017 reporting person gifted to three of six grandchildren common stock in the amount of 1,500 shares each.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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