Bowden Christopher Form 3 August 11, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Bowden Christopher

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

08/10/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MIRAGEN THERAPEUTICS, INC. [MGEN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O MIRAGEN THERAPEUTICS, INC., Â 6200 LOOKOUT ROAD

(Street)

10% Owner \_X\_ Director Officer Other

(give title below) (specify below)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

BOULDER. COÂ 80301

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of (Instr. 5) Derivative

6. Nature of Indirect Beneficial Ownership

Exercisable

Expiration Date

Title

Amount or Number of

Security: Derivative Security Direct (D)

## Edgar Filing: Bowden Christopher - Form 3

Stock Option (right to buy)

Shares

or Indirect (I) (Instr. 5)

Common Stock  $\hat{A} \stackrel{(1)}{=} 08/09/2027$ Shares  $\hat{A} \stackrel{(1)}{=} 08/09/2027$ Shares  $\hat{A} \stackrel{(1)}{=} 08/09/2027$ Stock  $\hat{A} \stackrel{(1)}{=} 08/09/2027$ Stock  $\hat{A} \stackrel{(1)}{=} 08/09/2027$   $\hat{A} \stackrel{(1)}{=} 08/09/2027$ Stock  $\hat{A} \stackrel{(1)}{=} 08/09/2027$ Stock  $\hat{A} \stackrel{(1)}{=} 08/09/2027$   $\hat{A} \stackrel{(1)}{=} 08/09/2027$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bowden Christopher

C/O MIRAGEN THERAPEUTICS, INC.
6200 LOOKOUT ROAD

BOULDER, COÂ 80301

## **Signatures**

/s/ Brent D. Fassett, as Attorney-in-Fact for Christopher
Bowden 08/11/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Automatic non-discretionary grant to the Reporting Person of a nonstatutory stock option under the Issuer's 2016 Equity Incentive Plan (the "Plan") in accordance with the Issuer's Amended and Restated Non-Employee Director Compensation Policy. The shares shall vest in

(1) 36 equal monthly installments starting on September 10, 2017, provided that at the relevant vesting dates the Reporting Person's continuous service to the Issuer has not been terminated as defined in and as determined under the Plan. The option expires ten years after the date of grant.

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#### **Remarks:**

Exhibit List: Exhibit 24 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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