

TAYLOR JOHN
Form 4/A
August 11, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
TAYLOR JOHN

(Last) (First) (Middle)

3200 WILSHIRE BLVD., SUITE
1400

(Street)

LOS ANGELES, CA 90010

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HOPE BANCORP INC [HOPE]

3. Date of Earliest Transaction
(Month/Day/Year)

08/08/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)
08/10/2017

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/08/2017		A	28,136 A <u>(1)</u>	28,136	I <u>(2)</u>	Taylor Living Trust
Common Stock					512 <u>(3)</u>	I	Taylor Living Trust
Common Stock (Restricted)					1,068 <u>(4)</u>	I	Taylor Living Trust
Common Stock					4,500 <u>(5)</u>	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares of Underlying Security
Stock Option (right to buy)	<u>(1)</u>	08/08/2017		D	28,136	08/01/2016 11/19/2021	Common Stock	28,136
Restricted Stock Units	<u>(5)</u>					<u>(5)</u> <u>(5)</u>	Common Stock	9,000
Non-qualified stock option (right to buy)	\$ 17.18					<u>(6)</u> 09/01/2026	Common Stock	20,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TAYLOR JOHN 3200 WILSHIRE BLVD. SUITE 1400 LOS ANGELES, CA 90010	X			

Signatures

/s/by Claire Hur as AIF for John Taylor
08/11/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received pursuant to the merger between BBCN Bancorp, Inc. and Wilshire Bancorp, Inc. effective July 29, 2016 (the "Merger"), in exchange for a stock option to acquire 40,000 shares of Wilshire Bancorp, Inc. common stock.

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- (2) Amended from previously filed Form 4, which corrects ownership form as "Indirect", to reflect ownership held in reporting person's trust.
- (3) Received in exchange for 728 shares of Wilshire Bancorp, Inc. common stock at the exchange ratio of 0.7034 per share as provided by the Merger Agreement between Wilshire Bancorp, Inc. and BBCN Bancorp, Inc. (now Hope Bancorp, Inc.).
- (4) Received in exchange for 2,235 shares of Wilshire Bancorp, Inc. common stock (Restricted Stock) in connection with the Merger. 1,068 represents Restricted Stock still outstanding including any accrued dividend to date. These shares will vest according to previously disclosed vesting schedules.
- (5) Total 13,500 restricted stock units were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation Plan. Each restricted stock unit represents a contingent right to receive one share of HOPE Bancorp, Inc. common stock. 1/3 of the award vested immediately on the grant date, with the remaining 2/3 vesting in 2 equal annual installments thereafter.
- (6) These options were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation Plan. 1/3 of the options vested immediately on the grant date, with the remaining 2/3 vesting in two equal annual installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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