

HAWAIIAN HOLDINGS INC
Form 4
February 10, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DUNKERLEY MARK B

2. Issuer Name and Ticker or Trading Symbol
HAWAIIAN HOLDINGS INC [HA]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
C/O HAWAIIAN HOLDINGS, INC., 3375 KOAPAKA STREET, SUITE G-350
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/08/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

HONOLULU, HI 96819

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or Price (D) | | |
| Common Stock | 02/08/2017 | | A | | 32,442 ⁽¹⁾ | A | \$ 0 397,525 D |
| Common Stock | 02/08/2017 | | F | | 12,130 | D | \$ 0 385,395 D |
| Common Stock | 02/08/2017 | | D ⁽²⁾ | | 8,110 | D | \$ 0 377,285 D |
| Common Stock | 02/08/2017 | | A | | 18,994 ⁽³⁾ | A | \$ 0 396,279 D |
| Common Stock | 02/08/2017 | | F | | 9,469 | D | \$ 0 386,810 D |

Edgar Filing: HAWAIIAN HOLDINGS INC - Form 4

| | | | | | | | |
|--------------|------------|---|---------------|---|------|---------|---|
| Common Stock | 02/08/2017 | A | 39,518 (4) | A | \$ 0 | 426,328 | D |
| Common Stock | 02/08/2017 | F | 6,567 | D | \$ 0 | 419,761 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| DUNKERLEY MARK B C/O HAWAIIAN HOLDINGS, INC. 3375 KOAPAKA STREET, SUITE G-350 HONOLULU, HI 96819 | X | | President and CEO | |

Signatures

/s/ Aaron Alter, by power of attorney
Date: 02/10/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of common stock issued upon the vesting of performance based RSUs granted on February 4, 2014.

Edgar Filing: HAWAIIAN HOLDINGS INC - Form 4

- (2) Represents shares of common stock settled in cash in connection with the distribution of shares of common stock pursuant to the vesting of RSUs granted on February 4, 2014
- (3) Represents shares of common stock issued upon the vesting of performance based RSUs granted on February 3, 2015.
- (4) Represents shares of common stock issued upon the vesting of performance based RSUs granted on February 3, 2016. 1/3rd of the shares vested on February 3, 2017, 1/3rd of the shares will vest on February 3, 2018 and 1/3rd of the shares will vest on February 3, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.