

AMKOR TECHNOLOGY, INC.

Form 4

November 10, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rutten Guillaume Marie Jean

2. Issuer Name and Ticker or Trading Symbol  
AMKOR TECHNOLOGY, INC.  
[AMKR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/08/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive Vice President

2045 EAST INNOVATION CIRCLE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

TEMPE, AZ 85284

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	11/08/2016		M	15,000	A \$ 5.18	15,000	D
Common Stock	11/08/2016		S	15,000	D \$ 11.02	0	D
Common Stock	11/08/2016		M	15,000	A \$ 5.18	15,000	D
Common Stock	11/08/2016		S	15,000	D \$ 11.29	0	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Employee Stock Option (right to Buy)	\$ 5.18	11/08/2016		M	15,000	02/13/2015 <sup>(3)</sup> 02/13/2024	Amkor Technology, Inc.
Employee Stock Option (Right to Buy)	\$ 5.18	11/08/2016		M	15,000	02/13/2015 <sup>(3)</sup> 02/13/2024	Amkor Technology, Inc.

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rutten Guillaume Marie Jean 2045 EAST INNOVATION CIRCLE TEMPE, AZ 85284			Executive Vice President	

## Signatures

Jerry C. Allison, Attorney-in-Fact for Guillaume Marie Jean Rutten 11/10/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from 11.00 to 11.06.
- (2) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from 11.28 to 11.29.
- (3) The stock options will vest over four years; 25% on the first anniversary of the grant date and 1/16 of the option will vest each quarter thereafter so that at the end of the fourth year, 100% of the option will be vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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