

FARMER BROTHERS CO
Form 4
December 10, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Farmer Richard F

2. Issuer Name and Ticker or Trading Symbol
FARMER BROTHERS CO [FARM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
12/08/2015

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)

C/O FARMER BROS. CO., 13601
NORTH FREEWAY, SUITE 200

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

FORT WORTH, TX 76177

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock, \$1.00 par value	12/08/2015		S	100	D \$ 31.96 3,349,579 ⁽¹⁾	I	Trustee ⁽²⁾
Common Stock, \$1.00 par value	12/08/2015		S	100	D \$ 32.055 3,349,479 ⁽¹⁾	I	Trustee ⁽²⁾
Common Stock, \$1.00 par value	12/08/2015		S	100	D \$ 32.06 3,349,379 ⁽¹⁾	I	Trustee ⁽²⁾

Edgar Filing: FARMER BROTHERS CO - Form 4

Common Stock, \$1.00 par value	12/08/2015	S	200	D	\$ 32.05	3,349,179 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	521	D	\$ 32.1	3,348,658 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	300	D	\$ 32.01	3,348,358 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	348	D	\$ 32.02	3,348,010 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	292	D	\$ 32.03	3,347,718 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	329	D	\$ 31.99	3,347,389 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	500	D	\$ 32	3,346,889 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	160	D	\$ 31.97	3,346,729 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	15,487	D	\$ 31.95	3,331,242 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/08/2015	S	107	D	\$ 31.98	3,331,135 <u>(1)</u>	I	Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	100	D	\$ 31.955	3,331,035 <u>(1)</u>	I	Trustee <u>(2)</u>
	12/09/2015	S	100	D		3,330,935 <u>(1)</u>	I	Trustee <u>(2)</u>

Edgar Filing: FARMER BROTHERS CO - Form 4

Common Stock, \$1.00 par value					\$ 31.995			
Common Stock, \$1.00 par value	12/09/2015	S	100	D	\$ 32.025	3,330,835	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	100	D	\$ 32.01	3,330,735	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	200	D	\$ 32.02	3,330,535	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	200	D	\$ 32.05	3,330,335	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	100	D	\$ 32.25	3,330,235	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	100	D	\$ 32.19	3,330,135	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	200	D	\$ 32.07	3,329,935	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	100	D	\$ 32.03	3,329,835	<u>(1)</u>	I Trustee <u>(2)</u>
Common Stock, \$1.00 par value	12/09/2015	S	156	D	\$ 31.95	3,329,679	<u>(1)</u>	I Trustee <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: FARMER BROTHERS CO - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Farmer Richard F C/O FARMER BROS. CO. 13601 NORTH FREEWAY, SUITE 200 FORT WORTH, TX 76177		X		

Signatures

/s/ Richard F. Farmer 12/10/2015

 **Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Reflects the Reporting Person's beneficial ownership of shares of Common stock as a member of the Farmer Group (as defined in
- (1) Schedule 13D/A filed with the SEC on September 21, 2006, as reported in the Company's Definitive Proxy Statement on Schedule 14A filed with the SEC on October 28, 2015, after giving effect to the reported transaction.
 - (2) The shares of Common Stock were sold directly by a trust of which the Reporting Person is the sole settlor, trustee and beneficiary. This sale was made pursuant to a Rule 10b5-1 trading plan previously adopted by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.