Spark Therapeutics, Inc. Form 3 January 29, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * **SIGAL ELLIOT**

INC., 3737 MARKET STREET, SUITE 1300

(Last)

(First)

C/O SPARK THERAPEUTICS,

(Middle)

Statement

(Month/Day/Year)

01/29/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Spark Therapeutics, Inc. [ONCE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner _X_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

PHILADELPHIA. PAÂ 19104

(Street)

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Direct (D) or Indirect

(I) (Instr. 5)

Â Common Stock $6,214 \frac{(1)}{2}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative

Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(2)	06/10/2024	Common Stock	25,786	\$ 3.45	D	Â
Stock Option (right to buy)	(3)	08/11/2024	Common Stock	17,203	\$ 3.45	D	Â
Series B Preferred Stock	(5)(6)	(5)(6)	Common Stock	31,056	\$ (5) (6)	I	See footnote (4)

Reporting Owners

Reporting Owner Name / Address	Relationships					
Fg	Director	10% Owner	Officer	Othe		
SIGAL ELLIOT						
C/O SPARK THERAPEUTICS, INC.	ÂX	Â	Â	Â		
3737 MARKET STREET, SUITE 1300						
PHILADELPHIA, PA 19104						

Signatures

/s/ Joseph La Barge, as Attorney in Fact for Elliott 01/29/2015 Sigal

**Signature of Reporting Person

Date

er

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These restricted shares were granted on February 25, 2014 and vest over four years, with 25% of the shares vesting on February 5, 2015, and the remainder vesting quarterly thereafter.
- This option was granted on June 10, 2014 and vests over four years, with 25% of the shares underlying the option vesting on February 5, **(2)** 2015, and the remainder vesting quarterly thereafter.
- This option was granted on August 11, 2014 and vests over four years, with 25% of the shares underlying the option vesting on May 24, **(3)** 2015, and the remainder vesting quarterly thereafter.
- These shares are held by Sigal Family Investments LLC. Elliott Sigal, a member of the issuer's board of directors, is a manager of Sigal (4) Family Investments LLC and may be deemed to have voting and investment power over the shares held by Sigal Family Investments LLC. Dr. Sigal disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

Consists of shares of Series B Preferred Stock issued on May 23, 2014, that are currently convertible into 31,056 shares of Common Stock. Shares of Series B Preferred Stock accrue stock dividends payable in additional shares of Series B Preferred Stock at a rate of 8% per annum from November 23, 2014. Shares of Series B Preferred Stock, including shares of Series B Preferred Stock issued as accrued

- stock dividends, are immediately convertible into shares of Common Stock upon issuance, will be automatically converted into shares of Common Stock immediately upon the closing of the issuer's initial public offering pursuant to a registration statement on Form S-1 under the Securities Act of 1933, as amended, and have no expiration date. The aggregate amount of stock dividends that will have accrued through February 4, 2015, the expected closing date of the initial public offering, is 503 shares of Common Stock. (Continued in Footnote
- (6) The above Common Stock share equivalent numbers are rounded down to the nearest whole share number.

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Remarks:

Reporting Owners 2

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Exhibit Index:

24.1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.