Edgar Filing: Intercontinental Exchange, Inc. - Form 4

Intercontinental Exchange, Inc. Form 4 November 05, 2014

November 05	5, 2014											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL					
Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287				
Check this if no long subject to Section 10 Form 4 or Form 5	er STATI 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: Estimated a burden hou response		
obligation may conti <i>See</i> Instru 1(b).	nue. Section 1	7(a) of the		ility Ho	ldi	ng Com	pany	Act o	f 1935 or Sectio	n		
(Print or Type R	esponses)											
			2. Issuer Name and Ticker or Trading Symbol Intercontinental Exchange, Inc. [ICE]				-	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Chec			ek all applicable)						
2100 RIVER PARKWAY	REDGE	()	(Month/Da 11/03/20	ay/Year)	i i u	isuetion			X Director Officer (give below)		• Owner er (specify	
				. If Amendment, Date Original iled(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ATLANTA,	GA 30328								Form filed by M Person	Aore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-	De	rivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution any	emed on Date, if Day/Year)	Code (Instr. 8	3)	4. Securit nAcquired Disposed (Instr. 3, Amount	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/03/2014			М		1,000 (1)	А	\$8	19,697	D		

 Common
 11/03/2014
 S
 1,000
 \mathbb{D} \$ 18,697 (2)

 Stock
 (1)
 D
 210
 18,697 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

Edgar Filing: Intercontinental Exchange, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 8	11/03/2014		М	1,000	<u>(3)</u>	01/05/2015	Common Stock	1,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Forneri Jean Marc 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328	Х						
Signatures							
/s/ Andrew J. Surdykowski, Attorney-in-fact		11/05/2014					
**Signature of Reporting Person		Da	ite				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- (2) The common stock number referred in Table I is an aggregate number and represents 17,860 shares of common stock and 837 restricted stock units of the Issuer. The restricted stock units vest on the one-year anniversary of the grant date which is February 27, 2015.
- (3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

8 L