Edgar Filing: AUBURN NATIONAL BANCORPORATION, INC - Form 4

AUBURN NA Form 4 October 23, 2	ATIONAL BANG	CORPOR	ATION,	, INC							
FORM	4										PPROVAL
UNITED STATES SEA				SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287
Check this box if no longer subject to Section 16. Form 4 or						Expires: Estimated a burden hou response	irs per				
Form 5 obligation: may contin <i>See</i> Instruct 1(b).	s Section 17(a) of the F		ility Ho	ldi	ing Com	pany	Act o	ge Act of 1934, of 1935 or Sectio 40		
(Print or Type R	esponses)										
1. Name and Ad HOUSEL DA	ldress of Reporting F AVID E	Person <u>*</u>	2. Issuer Symbol AUBUR BANCC [AUBN]	RN NAT DRPORA	TIC			g	5. Relationship of Issuer (Chec X Director	k all applicable	
(Last) P. O. BOX 3	, , , , , , , , , , , , , , , , , , ,	liddle)						Officer (give below)	title Oth below)	er (specify	
				endment, Date Original nth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
AUBURN, A	L 36831-3110								Form filed by M Person		
(City)	(State) (Zip)	Table	e I - Non-	De	rivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3. Transact Code (Instr. 8) Code	tior)	4. Securit Acquired Disposed (Instr. 3, 4 Amount	(A) of of (D))	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
common stock	10/23/2014			Р		34	А	\$ 24	4,291	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer Othe					
HOUSEL DAVID E P. O. BOX 3110 AUBURN, AL 36831-3110	Х							
Signatures								
	10010014							

David E. Housel	10/23/2014
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

3rd qtr '14 drip/ocp

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.