

AMKOR TECHNOLOGY INC
Form 4
September 11, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KIM JAMES J

2. Issuer Name and Ticker or Trading Symbol
AMKOR TECHNOLOGY INC
[AMKR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/09/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

1900 S. PRICE ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CHANDLER, AZ 85286

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 09/09/2014 | | M | | 60,000 | A | \$ 5.31 |
| Common Stock | | | | | 49,594,980 | I | 915 Investments, LP <u>(1)</u> <u>(2)</u> |
| Common Stock | | | | | 5,863,898 | I | as trustee <u>(2)</u> <u>(3)</u> |
| Common Stock | | | | | 1,957,350 | I | as trustee <u>(2)</u> <u>(4)</u> |
| Common Stock | | | | | 15,385,858 | I | as trustee <u>(2)</u> <u>(5)</u> |

Common Stock 23 I by spouse ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Stock Option (Right to Buy) | \$ 5.31 | 09/09/2014 | | M | 60,000 | ⁽⁶⁾ 11/12/2014 | Amkor Technology, Inc. Common Stock | 60,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| KIM JAMES J 1900 S. PRICE ROAD CHANDLER, AZ 85286 | X | X | | |

Signatures

Jerry C. Allison, Attorney-in-Fact for James J. Kim 09/11/2014

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by 915 Investments, LP, in which Reporting Person is the general partner.
- (2) The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the Reporting Person is the beneficial ownership of such securities, except to the extent of his

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pecuniary interest therein, for purposes of the Securities and Exchange Act of 1934, as amended, or for any other purpose.

- (3) Shares held by the James J. Kim 2013 Qualified Annuity Trust, of which the Reporting Person is trustee.
- (4) Shares held by the John T. Kim 2012 Generation-Skipping Trust U/A dated 12/11/12, of which the Reporting Person is trustee.
- (5) Shares held by the John T. Kim 2012 Irrevocable Trust dated 12/11/12, of which the Reporting Person is trustee.
- (6) This option was fully vested on November 12, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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