

FreightCar America, Inc.  
 Form 4  
 January 17, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BAUN THEODORE W.**

(Last) (First) (Middle)

**TWO NORTH RIVERSIDE  
 PLAZA, SUITE 1300**

(Street)

**CHICAGO, IL 60606**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**FreightCar America, Inc. [RAIL]**

3. Date of Earliest Transaction  
 (Month/Day/Year)  
**01/15/2014**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

SVP Marketing and Sales

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock				(A) or (D)	6,235	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

Edgar Filing: FreightCar America, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code V (A) (D)		Date Exercisable Expiration Date	Title	
Employee Stock Option (right to buy)	\$ 25.55	01/15/2014		A <sup>(1)</sup>	14,575	<sup>(2)</sup> 01/15/2024	Common stock	14,575
Employee Stock Option (right to buy)	\$ 24.56					<sup>(4)</sup> 01/18/2023	Common stock	8,300
Employee Stock Option (right to buy)	\$ 23.4					<sup>(5)</sup> 01/12/2022	Common stock	16,400
Employee Stock Option (right to buy)	\$ 29.88					<sup>(6)</sup> 01/13/2021	Common stock	10,600
Employee Stock Option (right to buy)	\$ 20.69					<sup>(7)</sup> 02/23/2020	Common stock	7,250
Employee Stock Option (right to buy)	\$ 17.84					<sup>(8)</sup> 05/12/2019	Common stock	1,000
Employee Stock Option (right to buy)	\$ 30.47					<sup>(9)</sup> 01/13/2018	Common stock	1,650

# Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BAUN THEODORE W. TWO NORTH RIVERSIDE PLAZA SUITE 1300 CHICAGO, IL 60606			SVP Marketing and Sales	

# Signatures

/s/ Kathleen M. Boege, as attorney in fact  
 \*\*Signature of Reporting Person  
 01/17/2014  
 Date

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exempt issuance of options under Rule 16(b)-3 pursuant to the Issuer's 2005 Long Term Incentive Plan
- (2) The options vest in three equal annual installments beginning on January 15, 2015.
- (3) The options were granted pursuant to the Issuer's 2005 Long term Incentive Plan, for which no consideration was paid by the recipient.
- (4) On January 18, 2013 the recipient was granted 8,300 options which will vest in three equal annual installments beginning on January 18, 2014.
- (5) On January 12, 2012, the recipient was granted 16,400 options. 10,932 stock options are fully vested and currently exercisable and 5,468 stock options will vest on January 12, 2015.
- (6) On January 13, 2011, the recipient was granted 10,600 options which are fully vested and currently exercisable.
- (7) On February 23, 2010, the recipient was granted 7,250 options which are fully vested and currently exercisable.
- (8) On May 12, 2009, the recipient was granted 1,000 options which are fully vested and currently exercisable.
- (9) On January 13, 2008, the recipient was granted 1,650 options which are fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.