IntercontinentalExchange Group, Inc.

Form 4

Common

Common

Stock

Stock

Stock

11/21/2013

11/21/2013

Common 11/21/2013

November 22, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL		
	UNITED STA		S SECURITIES AND EXCHANGE COM Washington, D.C. 20549					OMB Number:	3235-0287	
Check this box if no longer		TE OF CITA	NGEG D		T ( T )		VEDGUID OF	Expires:	January 31, 2005	
subject to Section 16. Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES						NERSHIP OF	Estimated av burden hour response	verage	
Form 5 obligations may continue. See Instruction 1(b).  (Print or Type Response	Section 17(a) o		Jtility Ho	lding Co	npan	y Act of	e Act of 1934, 1935 or Section 0			
1. Name and Address of Reporting Person * Sprecher Jeffrey C				nd Ticker or	Tradi	ng	5. Relationship of Reporting Person(s) to Issuer			
	Interco [ICE]	ontinental	Exchange	Gro	up, Inc.	(Check all applicable)				
2100 RIVEREDGE (Mon			of Earliest ( Day/Year) 2013	Fransaction			_X_ Director 10% Owner _X_ Officer (give title Other (specify below)  Chief Executive Officer			
PARKWAY, SUI								(G		
(5)	(Street) 4. If Am Filed(Mo				al		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
ATLANTA, GA	30328						Form filed by Mo Person	ore than One Rep	oorting	
(City) (S	tate) (Zip)	) Tal	ble I - Non-	-Derivative	Secui	rities Acq	uired, Disposed of,	or Beneficiall	y Owned	
	any	cution Date, if	3. Transaction Code (Instr. 8)	onDisposed (Instr. 3, 4	of (D)		or 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock 11/21/	/2013		M	610 (1)	A	\$ 112.4	8 263,278 (2)	D		

6,132

20,827

13,041 D

(1)

(1)

(1)

D

D

(3) \$

<u>(5)</u> \$

215.3205

S

S

S

213.7178 1,007,209 (4) I

214.7405 986,382 (4)

973,341 (4)

I

I

**CPEX** 

**CPEX** 

**CPEX** 

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					<u>(6)</u>			
Common Stock	11/21/2013	M	472 (1)	A	\$ 112.48	24,502 (7)	I	By spouse
Common Stock	11/21/2013	S	3,029 (1)	D	\$ 213.7537 (8)	21,473 (7)	I	By spouse
Common Stock	11/21/2013	S	1,000 (1)	D	\$ 214.442 (9)	20,473 <u>(7)</u> (10)	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding	\$ 35.08	11/21/2013		M	3,529	<u>(11)</u>	12/28/2015	Common Stock	3,529
Empoyee Stock Option (right to buy) Holding	\$ 112.48	11/21/2013		M	610	(11)	01/11/2021	Common Stock	610
Empoyee Stock Option (right to buy)	\$ 112.48	11/21/2013		M	472	(11)	01/11/2021	Common Stock	472

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Sprecher Jeffrey C 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328

X Chief Executive Officer

**Signatures** 

/s/ Andrew J. Surdykowski, Attorney-in-fact

11/22/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a previously announced, pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- The common stock number referred in Table I is an aggregate number and represents 234,255 shares of common stock of ICE Group and 29,023 unvested performance based restricted stock units of ICE Group, for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.
- The price range for the aggregate amount sold by the direct holder is \$213.16 \$214.13. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- These shares are beneficially owned directly by Continental Power Exchange, Inc. ("CPEX"). Mr. Sprecher beneficially owns 100% of the equity interest in CPEX directly. Additionally, as previously reported, the reporting person also owns share directly and indirectly own shares that are beneficially owned directly by the reporting person's spouse for which the reporting person disclaims beneficial ownership.
- The price range for the aggregate amount sold by the direct holder is \$214.17 \$215.16. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- The price range for the aggregate amount sold by the direct holder is \$215.17 \$215.98. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- As previously reported, the reporting person also indirectly owns 973,341 shares that are beneficially owned directly by CPEX. The reporting person beneficially owns 100% of the equity interest in CPEX. Additionally, as previously reported, the reporting person also beneficially owns shares directly.
- The price range for the aggregate amount sold by the direct holder is \$213.30 \$214.29. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- The price range for the aggregate amount sold by the direct holder is \$214.30 \$214.88. The Issuer will upon request by the Staff of the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- (10) The common stock number referred to in Table 1 is an aggregate number and represents 16,324 shares of common stock of ICE Group and 3,471 unvested performance based restricted stock units off ICE Group for which the performance period has been satisfied, and

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678 restricted stock units of ICE Group. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.

#### (11) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.