Edgar Filing: IntercontinentalExchange Group, Inc. - Form 4

IntercontinentalExchange Group, Inc. Form 4 November 14, 2013

November 14, 2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION						OMB APPROVAL				
UNITED ST	Washington, D.C. 20549						OMB Number:	3235-0287		
Check this box if no longer STATEME	NT OF CHANGE	F CHANGES IN BENEFICIAL OWN				NERSHIP OF		January 31, 2005		
Section 16.	SECURITIES						Estimated average burden hours per			
Form 4 or Form 5 obligations may continue.response0.5See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5										
(Print or Type Responses)										
1. Name and Address of Reporting Per McCarthy Callum	son <u>*</u> 2. Issuer Na Symbol	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
IntercontinentalExchange Group, Inc.					(Checl	k all applicable)				
(Last) (First) (Mide	(Month/Dav/Year)				Offic	_X_ Director10% Owner Officer (give titleOther (specify				
2100 RIVEREDGE11/13/2013below)below)PARKWAY, SUITE 500										
(Street)	Filed(Month/Day/Year) Applicable Line					Line)	Joint/Group Filing(Check			
ATLANTA, GA 30328 Form filed by More than One Reporting Person										
(City) (State) (Zip) Table I -	Non-Deriv	ative Secu	irities A	cquired, Disp	osed of	, or Beneficial	ly Owned		
1.Title of Security2. Transaction Da(Instr. 3)(Month/Day/Year		cution Date, if Transaction Code			Securitie Benefic) Owned	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		Code V	Amount	(A) or (D)	Reporte Transac Price (Instr. 3	tion(s)	(I) (Instr. 4)			
Common Stock 11/13/2013		А	4,922	A d	(<u>1)</u> (<u>2)</u> 4,922		D			
Deferred 11/13/2013 Compensation		А	1,081	A	<u>(3)</u> 1,081		D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
McCarthy Callum 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328	Х							
Signatures								
/s/ Andrew J. Surdykowski, Attorney-in-fact		11/14	/2013					
**Signature of Reporting Person		Da	ite					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As of the effective time (the "Effective Time") of the transactions contemplated by the Amended and Restated Agreement and Plan of Merger dated as of March 19, 2013, by and among IntercontinentalExchange, Inc. ("ICE"), IntercontinentalExchange Group, Inc. ("ICE Group"), NYSE Euronext, Braves Merger Sub, Inc., and NYSE Euronext Holdings LLC (formerly known as Baseball Merger Sub, LLC)

(1) Of our), NTSE Euronext, Braves Merger Sub, Inc., and NTSE Euronext Holdings EEC (formerly known as Basedan Merger Sub, EEC) (the "Merger Agreement"), each share of common stock of ICE and each restricted stock unit of ICE held by the reporting person was converted into one share of common stock of ICE Group or one substantially equivalent restricted stock unit of ICE Group, respectively. (This footnote is continued onto footnote 2).

The common stock number referred in Table I is an aggregate number and represents 3,792 shares of common stock of ICE Group and(2) 1,130 restricted stock units of ICE Group held by the reporting person immediately following the Effective Time. The restricted stock units vest on the one-year anniversary of the grant date, which is February 28, 2013.

- As of the Effective Time, each Deferred Compensation Obligation of ICE issued to the reporting person under the ICE 2003 Restricted (3) Stock Deferral Plan for Outside Directors was converted into an award of a substantially equivalent Deferred Compensation Obligation of
- ICE Group pursuant to the terms of the Merger Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.