IntercontinentalExchange Group, Inc.

Form 4

November 14, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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0.5

Check this box if no longer

January 31, Expires: 2005

OMB APPROVAL

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

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Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Farley Thomas W

(First)

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

IntercontinentalExchange Group, Inc.

(Check all applicable)

[ICE]

(Last)

(Middle)

3. Date of Earliest Transaction

Director 10% Owner

COO of NYSE Euronext

(Month/Day/Year) 11/13/2013

X_ Officer (give title Other (specify below)

2100 RIVEREDGE PARKWAY, SUITE 500

4. If Amendment, Date Original

3.

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30328

(City) (State) (Zip)

11/13/2013

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Securities Beneficially (D) or Owned Following Reported

Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4)

Price 15,688

Code V (D) Amount

D 15,688

Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(2)

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) Holding (3)	\$ 160.58	11/13/2013		A	4,424	<u>(4)</u>	02/22/2017	Common Stock	4,424
Employee Stock Option (right to buy) Holding (3)	\$ 189.43	11/13/2013		A	4,560	<u>(4)</u>	12/28/2017	Common Stock	4,560
Employee Stock Option (right to buy) Holding (3)	\$ 80.17	11/13/2013		A	4,507	<u>(4)</u>	12/16/2018	Common Stock	4,507
Employee Stock Option (right to buy) Holding (3)	\$ 106	11/13/2013		A	4,931	<u>(4)</u>	12/10/2019	Common Stock	4,931
Employee Stock Option (right to buy) Holding (3)	\$ 112.15	11/13/2013		A	5,767	<u>(5)</u>	01/17/2022	Common Stock	5,767
Employee Stock Option (right to buy) Holding (3)	\$ 129.36	11/13/2013		A	11,390	<u>(6)</u>	01/11/2023	Common Stock	11,390
	\$ 112.48	11/13/2013		A	4,059	<u>(7)</u>	01/11/2021		4,059

COO of

Empoyee Common Stock Stock Option (right to

buy) Holding (3)

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Farley Thomas W 2100 RIVEREDGE PARKWAY NYSE **SUITE 500** Euronext ATLANTA, GA 30328

Signatures

/s/ Andrew J. Surdykowski, 11/14/2013 Attorney-in-fact

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Merger dated as of March 19, 2013, by and among IntercontinentalExchange, Inc.("ICE"), IntercontinentalExchange Group, Inc.("ICE Group"), NYSE Euronext, Braves Merger Sub, Inc., and NYSE Euronext Holdings LLC (formerly known as Baseball Merger Sub, LLC) (the "Merger Agreement"), each share of common stock of ICE and each performance based restricted stock unit of ICE held by the reporting person was converted into one share of common stock of ICE Group or one substantially equivalent performance based restricted stock unit of ICE Group, respectively. (This footnote is continued onto footnote 2).

As of the effective time (the "Effective Time") of the transactions contemplated by the Amended and Restated Agreement and Plan of

- The common stock number referred in Table I is an aggregate number and represents 9,282 shares of common stock of ICE Group and 6,406 unvested performance based restricted stock units of ICE Group, for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.
- As of the Effective Time, each ICE employee stock option was converted into an ICE Group employee stock option on the same terms (3) and conditions (including vesting schedule and per share exercise price) as applied to such ICE stock option immediately prior to the Effective Time.
- (4) These options are fully vested.
- 33.33% of the options vested on January 17, 2013, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 17, 2013 and January 17, 2015.
- 33.33% of the options vest on January 11, 2014, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 11, 2014 and January 11, 2016.
- 33.33% of the options vested on January 17, 2012, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 11, 2012 and January 11, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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