#### INTERCONTINENTALEXCHANGE INC

Form 4

November 13, 2013

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sprecher Jeffrey C

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

5. Relationship of Reporting Person(s) to

Issuer

INTERCONTINENTALEXCHANGE

INC [ICE]

(Check all applicable) 10% Owner \_X\_\_ Director

Chief Executive Officer

3. Date of Earliest Transaction

(Last) (First) (Middle)

(Street)

(State)

(Zin)

(Month/Day/Year) 11/13/2013

\_X\_\_ Officer (give title \_ Other (specify below)

2100 RIVEREDGE PARKWAY, SUITE 500

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30328

(City)

(City)	(State)	Tabl	le I - Non-I	Derivative Sec	urities	Acqui	red, Disposed of,	or Beneficiall	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities 2 poor Disposed 6 (Instr. 3, 4 an	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/13/2013		Code V D	Amount 1,013,341	(D)	Price (1) (2)	0	I	CPEX
Common Stock	11/13/2013		D	24,030	D	( <u>3)</u> ( <u>4)</u>	0	I	By spouse
Common Stock	11/13/2013		D	262,668	D	<u>(5)</u>	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy) (6)	\$ 104.23	11/13/2013		D	36,802	<u>(7)</u>	12/22/2016	Common Stock	36,802
Employee Stock Option (right to buy) (6)	\$ 189.43	11/13/2013		D	14,550	<u>(7)</u>	12/28/2017	Common Stock	14,550
Employee Stock Option (right to buy) (6)	\$ 189.43	11/13/2013		D	2,220	<u>(7)</u>	12/28/2017	Common Stock	2,220
Employee Stock Option (right to buy) (6)	\$ 80.17	11/13/2013		D	27,432	<u>(7)</u>	12/16/2018	Common Stock	27,432
Employee Stock Option (right to buy) (6)	\$ 80.17	11/13/2013		D	1,363	<u>(7)</u>	12/16/2018	Common Stock	1,363
Employee Stock Option (right to buy) (6)	\$ 106	11/13/2013		D	26,672	<u>(7)</u>	12/10/2019	Common Stock	26,672
	\$ 106	11/13/2013		D	998	<u>(7)</u>	12/10/2019		998

Employee Stock Option (right to buy) (6)							Common Stock	
Employee Stock Option (right to buy) (6)	\$ 112.48	11/13/2013	D	22,453	<u>(8)</u>	01/11/2021	Common Stock	22,453
Employee Stock Option (right to buy) (6)	\$ 112.48	11/13/2013	D	2,660	(8)	01/11/2021	Common Stock	2,660
Employee Stock Option (right to buy) (6)	\$ 112.15	11/13/2013	D	23,071	<u>(9)</u>	01/17/2022	Common Stock	23,071
Employee Stock Option (right to buy) (6)	\$ 112.15	11/13/2013	D	2,768	<u>(9)</u>	01/17/2022	Common Stock	2,768
Employee Stock Option (right to buy) (6)	\$ 129.36	11/13/2013	D	32,543	(10)	01/11/2023	Common Stock	32,543
Employee Stock Option (right to buy) (6)	\$ 129.36	11/13/2013	D	3,905	(10)	01/11/2023	Common Stock	3,905
Employee Stock Option (right to buy) (6)	\$ 104.23	11/13/2013	D	6,050	<u>(7)</u>	12/22/2016	Common Stock	6,050
Employee Stock Option (right to buy) (6)	\$ 35.08	11/13/2013	D	3,529	<u>(7)</u>	12/28/2015	Common Stock	3,529

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sprecher Jeffrey C

2100 RIVEREDGE PARKWAY SUITE 500 X Chief Executive Officer

ATLANTA, GA 30328

## **Signatures**

/s/ Andrew J. Surdykowski, Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As of the effective time (the "Effective Time") of the transactions contemplated by the Amended and Restated Agreement and Plan of Merger dated as of March 19, 2013, by and among IntercontinentalExchange, Inc.("ICE"), IntercontinentalExchange Group, Inc.("ICE Group"), NYSE Euronext, Braves Merger Sub, Inc., and NYSE Euronext Holdings LLC (formerly known as Baseball Merger Sub,

- (1) Cloup J, NASE Euronext, Braves Merger Sub, Inc., and NASE Euronext Holdings ELEC (formerly known as Baseban Merger Sub, LLC) (the "Merger Agreement"), each share of common stock of ICE and each performance based restricted stock unit of ICE held by the reporting person was converted into one share of common stock of ICE Group or one substantially equivalent performance based restricted stock unit of ICE Group, respectively. (This footnote is continued onto footnote 2).
- As previously reported, the reporting person also indirectly owns shares that are beneficially owned directly by Continental Power (2) Exchange, Inc. ("CPEX"). The reporting person beneficially owns 100% of the equity interest in CPEX, which holds 1,013,341 shares of ICE common stock. Additionally, as previously reported, the reporting person also beneficially owns shares directly.

As of the Effective Time of the Merger Agreement, each share of common stock of ICE and each performance based restricted stock unit and restricted stock unit of ICE held by the reporting person was converted into one share of common stock of ICE Group, one substantially equivalent performance based restricted stock unit of ICE Group or one substantially equivalent restricted stock unit of ICE

- (3) Group, respectively. The common stock number referred in Table I is an aggregate number and represents 19,881 shares of common stock of ICE and 3,471 unvested performance based restricted stock units of ICE for which the performance period has been satisfied, and 678 restricted stock units of ICE. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.
- (4) As previously reported, the reporting person also indirectly owns shares that are beneficially owned directly by the reporting person's spouse for which the reporting person disclaims beneficial ownership.

As of the Effective Time of the Merger Agreement, each share of common stock of ICE and each performance based restricted stock unit of ICE held by the reporting person was converted into one share of common stock of ICE Group or one substantially equivalent

- (5) performance based restricted stock unit of ICE Group, respectively. The common stock number referred in Table I is an aggregate number and represents 233,645 shares of common stock of ICE and 29,023 unvested performance based restricted stock units of ICE for which the performance period has been satisfied. The performance based restricted stock units vest over a three year period, in which 33.33% of the performance based restricted stock units vest each year on the anniversary of the respective grant dates.
- As of the Effective Time, each ICE employee stock option was converted into an ICE Group employee stock option on the same terms

  (6) and conditions (including vesting schedule and per share exercise price) as applied to such ICE stock option immediately prior to the

  Effective Time.
- (7) These options are fully vested.
- (8) 33.33% of the options vested on January 11, 2012, the first anniversary date of grant, and the remaining 66.67% of the options vested or will vest in equal monthly installments between February 11, 2012 and January 11, 2014.
- (9) 33.33% of the options vested on January 17, 2013, the first anniversary date of grant, and the remaining 66.67% of the options either have vested or will vest in equal monthly installments between February 17, 2013 and January 17, 2015.

(10)

Reporting Owners 4

33.33% of the options vest on January 11, 2014, the first anniversary date of grant, and the remaining 66.67% of the options vest in equal monthly installments between February 11, 2014 and January 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.