Facebook Inc Form 4 November 12, 2013

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005 Estimated average

burden hours per 0.5 response...

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Add<br>EBERSMAN | •         | ting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|-----------------------------|-----------|---------------|--|---|--|--|--|
|                             |           |               | Facebook Inc [FB]                                  | (Check all applicable)  |  |  |  |
| (Last)                      | (First)   | (Middle)      | 3. Date of Earliest Transaction                    |   |  |  |  |
|                             |           |               | (Month/Day/Year)                                   | Director 10% Owner  |  |  |  |
| C/O FACEBO                  | OK, INC., | 1601          | 11/08/2013   | X Officer (give title Other (specify  |  |  |  |
| WILLOW ROAD                 |           |               |  | below) below) Chief Financial Officer   |  |  |  |
| (Street)                    |           |               | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |  |
|                             |           |               | Filed(Month/Day/Year)                              | Applicable Line)  |  |  |  |
| MENLO PAR                   | K, CA 940 | 25            |  | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |  |

| (City)                               | (State)                              | (Zip) Tal   | ble I - Non                             | -Derivative Se                               | curiti | es Acquired          | , Disposed of, or  | Beneficially   | Owned   |
|--------------------------------------|--------------------------------------|---|---|--|--------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities on Disposed of (Instr. 3, 4 an | (D)    | red (A) or Price     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 11/08/2013                           |   | G(1) V                                  | 1,400,000                                    | D      | \$ 0                 | 318,924  | I  | By The<br>Ebersman<br>Family<br>Trust UA<br>DTD<br>5/29/02 (2)    |
| Class A<br>Common<br>Stock           | 11/08/2013                           |   | G(3) V                                  | 1,400,000                                    | A      | \$ 0                 | 1,562,662  | D  |   |
| Class A<br>Common<br>Stock           | 11/12/2013                           |   | S <u>(4)</u>                            | 494,399                                      | D      | \$<br>46.5467<br>(5) | 1,068,263  | D  |   |

Edgar Filing: Facebook Inc - Form 4

Class A \$  $S^{(4)}$ Common 11/12/2013 417,301 D 47.0183 650,962 D (6) Stock

By The Ebersman Class A Family Common 108,090 Ι 2012 Stock

Irrevocable Trust (7)

9. Nu

Deriv

Secu

Bene

Own

Follo

Repo

Trans

(Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 8. Price of 5. 6. Date Exercisable and 7. Title and Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative Security or Exercise any Code of (Month/Day/Year) Underlying Security (Instr. 3) Price of (Instr. 8) Derivative Securities (Instr. 5) (Month/Day/Year) Derivative (Instr. 3 and 4) Securities Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount or Expiration Title Number Date Exercisable Date of Shares Code V (A) (D)

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

EBERSMAN DAVID A C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025

Chief Financial Officer

**Signatures** 

/s/ Michael Johnson as attorney-in-fact for David A. Ebersman

11/12/2013

\*\*Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: Facebook Inc - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction represents the transfer of shares that are beneficially owned by the reporting person from The Ebersman Family Trust UA DTD 5/29/02 to his individual account and does not reflect a purchase or sale of securities.
- (2) Shares held of record by The Ebersman Family Trust UA DTD 5/29/02, David A. Ebersman and Michelle Ebersman, Trustees.
- (3) This transaction represents the transfer of shares for the purposes of estate planning, and not a purchase or sale, of securities by The Ebersman Family Trust UA DTD 5/29/02, David A. Ebersman and Michelle Ebersman, Trustees to David Ebersman.
- (4) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.84 to \$46.8371 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (5).
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$46.84 to \$47.35 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (6).
- (7) Shares held of record by The Ebersman Family 2012 Irrevocable Trust, David A. Ebersman and Michelle Ebersman, Trustees, the beneficiaries of which include the reporting person's minor children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.