Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4

INTERCONTINENTALEXCHANGE INC

Form 4

Common

Stock

11/06/2013

November 08, 2013

FORM 4 LINITED STATES SECURITIES AND EVCHANCE COMMISSION									OMB APPROVAL		
	Washington, D.C. 20549						OMMISSION	OMB Number:	3235-028		
Check the character of	nger		_ ~			Expires:	January 31 2009				
subject t Section	subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES						ERSHIP OF	Estimated average burden hours per response 0.			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person * Vice Charles A			2. Issuer Name and Ticker or Trading Symbol INTERCONTINENTALEXCHANGE					5. Relationship of Reporting Person(s) to Issuer			
			INC [IC	CE]				(Check all applicable)			
(Last) 2100 RIVE	3. Date of Earliest Transaction (Month/Day/Year) 11/06/2013					Director 10% Owner Selection Other (specify below) below) President & Chief Op. Officer					
PARKWA	Y, SUITE 500								p		
	Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
ATLANTA	A, GA 30328							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativ	e Secu	ırities Acqui	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date any (Month/Day/Year)			Date, if	Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
_				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(msu. 4)		
Common Stock	11/06/2013			M	2,000 (1)	A	\$ 80.17	44,718	D		
Common Stock	11/06/2013			S	1,400 (1)	D	\$ 198.4886	43,318	D		

600 (1) D

199.7367 42,718

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 80.17	11/06/2013		M	2,000	<u>(4)</u>	12/16/2018	Common Stock	2,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Vice Charles A

2100 RIVEREDGE PARKWAY

SUITE 500

ATLANTA, GA 30328

President & Chief Op. Officer

Signatures

/s/ Andrew J. Surdykowski, Attorney-in-fact

11/08/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- The price range for the aggregate amount sold by the direct holder is \$198.2250 \$198.8750. The Issuer will upon request by the Staff of (2) the U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.

Reporting Owners 2

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- The price range for the aggregate amount sold by the direct holder is \$199.70 \$199.81. The Issuer will upon request by the Staff of the (3) U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares
- (3) U.S. Securities and Exchange Commission or a security holder of the Issuer provide the full information regarding the number of shares sold at each separate price.
- (4) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.