#### Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4

INTERCONTINENTALEXCHANGE INC Form 4 October 15, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Short Johnathan H Issuer Symbol INTERCONTINENTALEXCHANGE (Check all applicable) INC [ICE] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify \_X\_\_Officer (give title \_ (Month/Day/Year) below) below) 2100 RIVEREDGE 10/11/2013 Sr VP, Gen. Coun. & Corp. Sec. PARKWAY, SUITE 500 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30328 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 2,400 S 10/11/2013 Μ A 15,044 D Stock (1) 104.23 Common 1,747 10/11/2013 \$80.17 16,791 D Μ A Stock (1)Common 10/11/2013 Μ 943 (<u>1</u>) A \$106 17,734 D Stock Common 6,178 S D \$ 195 D 10/11/2013 11,556 (1)Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | onof D<br>Secu<br>Acqu<br>(A) o<br>Disp<br>(D) | rities<br>uired<br>or<br>osed of<br>r. 3, 4, | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|--|--|--|--------------------|---|--|
|   |   |   |   | Code V                                 | (A)  | (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 80.17  | 10/11/2013                              |   | М                                      |  | 1,747  | (2)  | 12/16/2018         | Common<br>Stock   | 1,747                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 104.23   | 10/11/2013                              |   | М                                      |  | 2,400  | (2)  | 12/22/2016         | Common<br>Stock   | 2,400                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 106  | 10/11/2013                              |   | М                                      |  | 943  | <u>(2)</u>   | 12/10/2019         | Common<br>Stock   | 943                                    |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |   |       |  |  |  |
|---|---------------|-----------|---|-------|--|--|--|
|   | Director      | 10% Owner | Officer                                 | Other |  |  |  |
| Short Johnathan H<br>2100 RIVEREDGE PARKWAY<br>SUITE 500<br>ATLANTA, GA 30328 |               |           | Sr VP,<br>Gen. Coun.<br>& Corp.<br>Sec. |       |  |  |  |

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## Signatures

/s/ Andrew J. Surdykowski, Attorney-in-fact

10/15/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a pre-arranged trading plan established in accordance with Rule 10b5-1 of the Securities Act of 1934, as amended.
- (2) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.