

SVB FINANCIAL GROUP
Form 4
August 02, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jones David A

(Last) (First) (Middle)

SVB FINANCIAL GROUP, 3005
TASMAN DRIVE

(Street)

SANTA CLARA, CA 95054

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

SVB FINANCIAL GROUP [SIVB]

3. Date of Earliest Transaction (Month/Day/Year)

06/28/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Chief Credit Officer

6. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V Amount (A) or (D) Price				
Common Stock	06/28/2013		A	V	429 ⁽¹⁾	A \$ 49.504	95,174	D	
Common Stock	07/31/2013		M		6,000	A \$ 27.84	101,174	D	
Common Stock	07/31/2013		M		2,975	A \$ 64.37	104,149	D	
Common Stock	07/31/2013		M		1,708	A \$ 48.76	105,857	D	
Common Stock	07/31/2013		M		2,292	A \$ 48.76	108,149	D	

Edgar Filing: SVB FINANCIAL GROUP - Form 4

Common Stock	07/31/2013	M	5,000	A	\$ 60.37	113,149	D
Common Stock	07/31/2013	M	6,819	A	\$ 45.19	119,968	D
Common Stock	07/31/2013	M	1,942	A	\$ 48.15	121,910	D
Common Stock	07/31/2013	M	1,308	A	\$ 48.15	123,218	D
Common Stock	07/31/2013	F	15,029	D	\$ 87.7431	108,189	D
Common Stock	07/31/2013	F	3,356	D	\$ 87.7	104,833	D
Common Stock	07/31/2013	F	1,262	D	\$ 87.5874	103,571	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option	\$ 27.84	07/31/2013		M	6,000	05/12/2010 05/12/2016	Common Stock	6,000
Stock Option	\$ 64.37	07/31/2013		M	2,975	05/01/2013 05/01/2019	Common Stock	2,975
Stock Option	\$ 48.76	07/31/2013		M	1,708	04/29/2009 04/29/2015	Common Stock	1,708
Stock Option	\$ 48.76	07/31/2013		M	2,292	04/29/2009 04/29/2015	Common Stock	2,292
	\$ 60.37	07/31/2013		M	5,000	04/27/2012 04/27/2018		5,000

Stock Option								Common Stock	
Stock Option	\$ 48.15	07/31/2013	M	1,308	04/02/2008	04/02/2014		Common Stock	1,308
Stock Option	\$ 48.15	07/31/2013	M	1,942	04/02/2008	04/02/2014		Common Stock	1,942
Stock Option	\$ 45.19	07/31/2013	M	6,819	07/27/2011	07/27/2017		Common Stock	6,819

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jones David A SVB FINANCIAL GROUP 3005 TASMAN DRIVE SANTA CLARA, CA 95054			Chief Credit Officer	

Signatures

Denise West, Attorney-in-Fact for David Jones 08/02/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Acquired under the Issuer's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.