Bernfield Craig M Form 3 March 20, 2013

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Bernfield Craig M

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/20/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

AVIV REIT, INC. [AVIV]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

303 W. MADISON, Â SUITE 2400

(Street)

(Check all applicable)

Chief Executive Officer

\_X\_ Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

CHICAGO, ILÂ 60606

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

Form: Direct (D) or Indirect

(I) (Instr. 5) 4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

Date Expiration Title

Amount or Number of Derivative Security

(Instr. 5) Security: Direct (D)

or Indirect (I)

Exercisable Date

Shares

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						(Instr. 5)	
Employee Stock Option	(1)	09/17/2020	Common Stock	553,411	\$ 16.5645	D	Â
Employee Stock Option	(2)	09/17/2020	Common Stock	1,106,823	\$ 16.5645	D	Â
Employee Stock Option	(3)	09/30/2020	Common Stock	12,315	\$ 17.9548	D	Â
Employee Stock Option	(2)	09/30/2020	Common Stock	24,570	\$ 17.9548	D	Â
Employee Stock Option	(4)	01/04/2021	Common Stock	11,772	\$ 18.6222	D	Â
Employee Stock Option	(2)	01/04/2021	Common Stock	23,544	\$ 18.6222	D	Â
Employee Stock Option	(5)	10/28/2021	Common Stock	29,822	\$ 18.8658	D	Â
Employee Stock Option	(2)	10/28/2021	Common Stock	59,645	\$ 18.8658	D	Â
Employee Stock Option	(6)	02/10/2022	Common Stock	202,722	\$ 18.8658	D	Â
Employee Stock Option	(2)	02/10/2022	Common Stock	405,444	\$ 18.8658	D	Â
Employee Stock Option	(7)	03/28/2022	Common Stock	59,887	\$ 18.6543	D	Â
Employee Stock Option	(2)	03/28/2022	Common Stock	119,774	\$ 18.6543	D	Â
Employee Stock Option	(8)	07/24/2022	Common Stock	50,408	\$ 18.6505	D	Â
Employee Stock Option	(2)	07/24/2022	Common Stock	100,817	\$ 18.6505	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships					
•	Director	10% Owner	Officer	Other		
Bernfield Craig M						
303 W. MADISON	ÂX	â	Chief Executive Officer	Â		
SUITE 2400	АА	A	A Chief Executive Officer	A		
CHICAGO, IL 60606						

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## **Signatures**

/s/ Samuel H. Kovitz as attorney-in-fact

03/20/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest in 25% increments on each of the first four anniversaries of September 17, 2010 and shall vest in full upon a liquidity event, including the initial public offering of the common stock of Aviv REIT, Inc. (the "IPO").
- (2) These options vest upon a liquidity event, including the IPO, if certain performance thresholds are achieved.
- (3) These options vest in 25% increments on each of the first four anniversaries of September 30, 2010 and shall vest in full upon a liquidity event, including the IPO.
- (4) These options vest in 25% increments on each of the first four anniversaries of January 4, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (5) These options vest in 25% increments on each of the first four anniversaries of October 28, 2011 and shall vest in full upon a liquidity event, including the IPO.
- (6) These options vest in 25% increments on each of the first four anniversaries of February 10, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (7) These options vest in 25% increments on each of the first four anniversaries of March 28, 2012 and shall vest in full upon a liquidity event, including the IPO.
- (8) These options vest in 25% increments on each of the first four anniversaries of July 24, 2012 and shall vest in full upon a liquidity event, including the IPO.

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#### **Remarks:**

#### Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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