#### HALLADOR ENERGY CO

Form 4 May 12, 2011

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Yorktown VI Associates LLC

Symbol

(Check all applicable)

[HNRG]

HALLADOR ENERGY CO

3. Date of Earliest Transaction

4. If Amendment, Date Original

Director X\_\_ 10% Owner

(Street)

(State)

(First)

(Month/Day/Year)

05/11/2011

Officer (give title below)

Other (specify

410 PARK AVENUE, 19TH

(Middle)

6. Individual or Joint/Group Filing(Check

**FLOOR** 

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10022-4407

(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of | 2. Transaction Date | 2A. Deemed         |
|------------|---------------------|--------------------|
| Security   | (Month/Day/Year)    | Execution Date, if |
| (Instr. 3) |                     | any                |
|            |                     | (Month/Day/Year)   |

4. Securities Acquired 3. Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported

(A) or

Transaction(s) (Instr. 3 and 4)

(D) Price Code V Amount

Common

Stock, par 05/11/2011 value \$0.01

750,000 J(1)(2)

D \$0 5,807,166 <sup>(2)</sup> I See Footnote (3)

(9-02)

per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

1

### Edgar Filing: HALLADOR ENERGY CO - Form 4

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.            | 6. Date Exerc | cisable and | 7. Title  | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|---------------|---------------|-------------|-----------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber      | Expiration D  | ate         | Amount    | t of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of            | (Month/Day/   | Year)       | Underly   | ing         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | 8) Derivative |               |             | Securitie | es          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities    |               |             | (Instr. 3 | and 4)      |             | Own    |
|             | Security    |                     |                    |            | Acquired      |               |             |           |             |             | Follo  |
|             | •           |                     |                    |            | (A) or        |               |             |           |             |             | Repo   |
|             |             |                     |                    |            | Disposed      |               |             |           |             |             | Trans  |
|             |             |                     |                    |            | of (D)        |               |             |           |             |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,    |               |             |           |             |             |        |
|             |             |                     |                    |            | 4, and 5)     |               |             |           |             |             |        |
|             |             |                     |                    |            |               |               |             | ^         | mount       |             |        |
|             |             |                     |                    |            |               |               |             |           | mount       |             |        |
|             |             |                     |                    |            |               | Date          | Expiration  | Title N   | r<br>Jumber |             |        |
|             |             |                     |                    |            | Exercisable   | Date          |             |           |             |             |        |
|             |             |                     |                    | C + V      | (A) (D)       |               |             | 0         |             |             |        |
|             |             |                     |                    | Code V     | (A) (D)       |               |             | S         | hares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

10% Owner Officer Other Director

Yorktown VI Associates LLC 410 PARK AVENUE 19TH FLOOR NEW YORK, NY 10022-4407

X

# **Signatures**

/s/ W. Howard Keenan, Jr., Managing Member of Yorktown VI Associates LLC

05/12/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pro rata distribution from Yorktown Energy Partners VI, L.P., Yorktown VI Company LP and the reporting person.
- The reporting entity disclaims beneficial ownership of these securities except to the extent of its pecuniary interest therein, and this report **(2)** shall not be deemed an admission that the reporting entity is the beneficial owner of the securities for Section 16 or any other purpose.
- These securities are owned directly by Yorktown Energy Partners VI, L.P. The reporting person is the general partner of Yorktown VI Company LP, the general partner of Yorktown Energy Partners VI, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2