Edgar Filing: UROPLASTY INC - Form 4

| UROPLAST Form 4 | Y INC | | | | | | | | | | | |
|--|---|--|-------|--|------------|-------------|--|--------------|---|--|--|--|
| September 22 | 2, 2006 | | | | | | | | | | | |
| | FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington, D.C. 20549 | | | | | | | COMMISSION | OMB Number: | PROVAL 3235-0287 January 31, | | |
| if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b). | er STATI 5. Filed p ¹⁵ Section 1 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | Expires: 2005 Estimated average burden hours per response 0.5 | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1. Name and A HOLMAN I | 2. Issuer Name and Ticker or Trading Symbol UROPLASTY INC [UPI] | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) 15512 BOUI | 3. Date of Earliest Transaction(Month/Day/Year)05/24/2006 | | | | | | Director 10% Owner Officer (give titleX Other (specify below) below) Former CEO and Board member. | | | | | |
| | | | | nendment, Date Original Ionth/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| EDEN PRAI | IRIE, MN 553 | 47 | | | | | | | | Iore than One Re | | |
| (City) | (State) | (Zip) | Table | e I - Non | 1-De | erivative S | ecurit | ies Acq | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ear) Execution any | | 3. | ctio 8) | | es Ac posed | quired of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | - | |
| Common Stock | 05/24/2006 | | | Ζ | | 13,079 | А | <u>(1)</u> | 298,065 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

Reporting Owners

Reporting Owner Name / Address

| Reporting o when i tunie / i tuures | 5 | | | |
|---|-----------|-----------|---------|------------------------------|
| | Director | 10% Owner | Officer | Other |
| HOLMAN DANIEL G 15512 BOULDER POINTE R EDEN PRAIRIE, MN 55347 | D | | | Former CEO and Board member. |
| Signatures | | | | |
| Christie Reeves 09 | 9/22/2006 | | | |
| **0' | D . | | | |

<u>**</u>Signature of Reporting Person

1. Title of

Security

(Instr. 3)

Derivative

2.

Conversion

or Exercise

Derivative

Price of

Security

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were withdrawn from a 401(k) plan and trust and transferred to a personal IRA.

Remarks:

Dan was a former CEO and Board member for Uroplasty, Inc. Dan deceased on June 1, 2006, and his shares in the Company' and Trust have subsequently been withdrawn and placed in his personal IRA account. Signature is on behalf of Daniel G. Hol

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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4.

Code

(Instr. 8)

Execution Date, if

(Month/Day/Year)

5.

of

Derivative

Securities

Acquired

Disposed

(Instr. 3, 4, and 5)

Date

Exercisable

(A) or

of (D)

Code V (A) (D)

Relationships

TransactionNumber

7. Title and

Amount of

Underlying

(Instr. 3 and 4)

Amount or

Title Number

of

Shares

Securities

8. Price of

Derivative

Security

(Instr. 5)

9. Nt

Deriv

Secu

Bene

Own

Follo

Repo

Trans

(Insti

6. Date Exercisable and

Expiration

Date

Expiration Date

(Month/Day/Year)