

KLOTZBACH KEVIN B

Form 3

December 13, 2005

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *KLOTZBACH KEVIN B
(Last) (First) (Middle)

220 LIBERTY STREET

(Street)

WARSAW, NY 14569

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
12/05/20053. Issuer Name and Ticker or Trading Symbol
FINANCIAL INSTITUTIONS INC [FISI]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior VP & Treasurrer5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

500

D

A

Common Stock

1,251 ⁽¹⁾

I

Held under 401K plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	01/31/2003 ⁽²⁾	01/30/2012	Common Stock	800	\$ 25.33	D	Â
Stock Option (right to buy)	02/19/2004 ⁽³⁾	02/18/2013	Common Stock	774	\$ 22.51	D	Â
Stock Option (right to buy)	02/05/2005 ⁽⁴⁾	02/04/2014	Common Stock	1,157	\$ 23.8	D	Â
Stock Option (right to buy)	02/24/2006 ⁽⁵⁾	02/23/2015	Common Stock	2,553	\$ 21.05	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KLOTZBACH KEVIN B 220 LIBERTY STREET WARSAW, NY 14569	Â	Â	Â Senior VP & Treasurrer	Â

Signatures

Kevin B. 12/13/2005
Klotzbach

__Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Held under 401(K) as of 10/31/05.
- (2) The option is exercisable in three equal annual installments beginning January 31, 2003.
- (3) The option is exercisable in three equal annual installments beginning February 19, 2004.
- (4) The option is exercisable in four equal annual installments beginning February 5, 2005.
- (5) The option is exercisable in four equal annual installments beginning February 24, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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