Edgar Filing: GOODRICH PHILIP A - Form 4

GOODRICH PHILIP A

Form 4 February 14, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Rep Goodrich Philip A.					and Ticke Inc. "B"	Person(s)	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
(Last) (First) (Middle)				of Reporting Person,					Statement for onth/Day/Year bruary 13, 2003	10% Owr X Officer Other (specific visite visite)	Director			
(Street) Bristol, CT 06011-0489									If Amendment, ate of Original Ionth/Day/Year)	7. Individ (Check A <u>X</u> Form fi Person _ Form fi	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting			
(City) (State)			able I				ive Se		Disposed of, or Beneficially Owned					
1. Title of Security (Instr. 3)	action Date (Month/ Day/	Execu Date, if any		Trans- action Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) Amount (A) Pric		D) 5)	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock, Par Value \$0.01 Per Share	02/12/03			A (1)		20,000	A	\$18.90	0 44,2	75 D				
Common Stock, Par Value \$0.01 Per Share									289.08	80 I	By Employee Stock Purchase Plan			
Common Stock, Par Value \$0.01 Per Share									2,253.244	<u>(2)</u> I	By 401(k) Plan			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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	2. Conver-						6. Date Exerc							11. Natu
Derivative	sion or	action	Deemed	Trans-	of		and Expiratio	n	Amount of		Derivative	Derivative	Owner-	of Indire
Security	Exercise	Date	Execution	action	Derivat	ive	Date		Underlying		Security	Securities	ship	Beneficia
	Price of		Date,	Code	Securit	ies	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownersh
(Instr. 3)	Derivative	(Month/	if any		Acquire	ed	Year)		(Instr. 3 & 4)			Owned	of Deriv-	(Instr. 4)
	Security	Day/	(Month/	(Instr.	(A) or							Following	ative	
	_	Year)	Day/	8)	Dispose	ed						Reported	Security:	
			Year)	ĺ	of (D)							Transaction(s)	Direct	
												(Instr. 4)	(D)	
					(Instr. 3	3, 4						(Instr. 1)	or	
					& 5)	_							Indirect	
						(D)	D /	ь.	TC'41				(I)	
				Code V	(A)			Expira-	Title	Amount			(Instr. 4)	
							Exer-cisable	tion		or			(
								Date		Number				
										of				
										Shares				
Stock	\$19.12	02/13/03		A	10,000		(3)	02/13/13	Common	10,000		10,000	D	
Option									Stock					
(Right to														
Buy)														

Explanation of Responses:

- (1) Reporting Person has received 20,000 shares of Performance Accelerated Restricted Stock that is subject to forfeiture if certain events occur.
- (2) Represents the number of shares beneficially owned as of February 13, 2003.
- (3) The options vest at the rate of 33-1/3% on the first, third and fifth anniversaries of the grant date.

By: /s/ Signe S. Gates, Attorney-in-Fact Februrary 13, 2003

Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).